Name	MUNI-OTC Symbol	CLEARING NO. OTC/ Other	MUNI	MUNI Type
A. R. Schmeidler & Co., Inc.	SCHM		0443†	CNS
Aaron Capital Inc.	AACI	0158Δ	0158†	CNS
Abacus Investments, Inc.	ABAI	0309Δ	0309†	CNS
Abbey National Securities Inc.	ANSI	0642Δ		
Abel/Noser Corp.	ANOS	0100Δ		
ABG Sundal Collier, Inc.	ABGS	0103Δ		
ABN Amro Chicago Corporation Bond Trading	AABT		5231	TFT
ABN AMRO Clearing Chicago LLC	SHMR	0695		
ABN AMRO Clearing Chicago LLC	SIMA	0330Δ		
ABN AMRO Clearing Chicago LLC	SIMX	0330Δ		
ABN AMRO Clearing Chicago LLC	ATGX	0396Δ		
ABN AMRO Clearing Chicago LLC	BRIX	0396Δ		
ABN AMRO Clearing Chicago LLC	CACX	0396Δ		
ABN AMRO Clearing Chicago LLC	COAA	0396Δ		
ABN AMRO Clearing Chicago LLC	COAX	0396Δ		
ABN AMRO Clearing Chicago LLC	FCAP	0396Δ		
ABN AMRO Clearing Chicago LLC	FWBA	0396Δ		
ABN AMRO Clearing Chicago LLC	HEDX	0396Δ		
ABN AMRO Clearing Chicago LLC	HNCA	0396Δ		
ABN AMRO Clearing Chicago LLC	IMCX	0396Δ		
ABN AMRO Clearing Chicago LLC	MNTX	0396Δ		
ABN AMRO Clearing Chicago LLC	MQRX	0396Δ		
ABN AMRO Clearing Chicago LLC	OPTA	0396Δ		
ABN AMRO Clearing Chicago LLC	OTFX	0396Δ		
ABN AMRO Clearing Chicago LLC	QLBX	0396Δ		
ABN AMRO Clearing Chicago LLC	RTSF	0396Δ		
ABN AMRO Clearing Chicago LLC	WEBX	0396Δ		
ABN AMRO Clearing Chicago LLC	ZENA	0396Δ		
ABN AMRO Clearing Chicago LLC	JEXF	0536Δ		
ABN AMRO Clearing Chicago LLC	PITX	0541Δ		
ABN AMRO Clearing Chicago LLC	PRPX	0541Δ		
ABN AMRO Clearing Chicago LLC	SWDX	0541Δ		
ABN AMRO Clearing Chicago LLC	ALLX	0695Δ		
ABN AMRO Clearing Chicago LLC	ATIX	0695Δ		
ABN AMRO Clearing Chicago LLC	CHVX	0695Δ		
ABN AMRO Clearing Chicago LLC	COGX	0695Δ		
ABN AMRO Clearing Chicago LLC	CSSX	0695Δ		
ABN AMRO Clearing Chicago LLC	FMGF	0695Δ		
ABN AMRO Clearing Chicago LLC	GPTX	0695Δ		
ABN AMRO Clearing Chicago LLC	GTTX	0695Δ		
ABN AMRO Clearing Chicago LLC	IMMX	0695Δ		
ABN AMRO Clearing Chicago LLC	LARX	0695Δ		
ABN AMRO Clearing Chicago LLC	LBKX	0695Δ		
ABN AMRO Clearing Chicago LLC	OBDX	0695Δ		
ABN AMRO Clearing Chicago LLC	OFSX	0695Δ		
ABN AMRO Clearing Chicago LLC	PISX	0695Δ		
ABN AMRO Clearing Chicago LLC	QEDX	0695Δ		
ABN AMRO Clearing Chicago LLC	QLTX	0695Δ		
ABN AMRO Clearing Chicago LLC	SETA	0695Δ		
ABN AMRO Clearing Chicago LLC	SETX	0695Δ		
ABN AMRO Clearing Chicago LLC	SLTX	0695Δ		
ABN AMRO Clearing Chicago LLC	SUNX	0695Δ		
ABN AMRO Clearing Chicago LLC	TTTX	0695Δ		
ABN AMRO Clearing Chicago LLC/Bonds	ABND	0575		
ABN AMRO Clearing Chicago LLC/CPM	FLTG	0330		
ABN AMRO Clearing Chicago LLC/Institutional	AACC	0541		
ABN AMRO Clearing Chicago LLC/Portfolio Margining	APMA	0396		
ABN AMRO Securities (USA) LLC	ABNA	0349		
Abner, Herrman & Brock, Inc.	AHBI	0352Δ	0352†	CNS
Academy Securities, Inc.	ACAD	0352Δ	0352†	CNS
Acadia Life International Limited		6902		
ACAP Financial, Inc.	ACAP	8072Δ		
Access Financial Group, Inc.	AFGI	0352Δ	0352†	CNS
Access Securities, Inc.	AXCB	0443Δ	0443†	CNS
Access Securities, Inc.	CBAL		0443†	CNS
Access Securities, Inc.	AXCS	0443Δ		
Access Securities, Inc.	AXGP	0501Δ		
Accrued Equities, Inc.		5678		
ACPI Global UCITS Funds PLC		3329		
Activa Capital Markets, Inc.	CNCR	0158Δ		
Activner Securities, Inc.	ACTI		0443†	CNS
Adirondack Funds		6613		
Adirondack Trading Group LLC	ADKT	0309Δ	0309†	CNS
Adirondack Trading Group, LLC	ADAK	0052Δ	0052†	CNS
ADP Inc./TPA		7120		
Advance Capital I, Inc.		5860		
Advanced Advisor Group, LLC		6015		
Advanced Asset Trading, Inc.	BRGI	0235Δ	0235†	CNS
Advanced Equities Services Corp	SALD	0158Δ		
Advanced Equities, Inc.	ADVA	0052Δ	0052†	CNS
Advantage GFC LLC	PINO	0750Δ		
Adviser Dealer Services, Inc.		5706		
Advisors Asset Management, Inc.	FISB		0226†	CNS
Advisors Asset Management, Inc.	FISA	0443Δ	0443†	CNS
Advisors Clearing Network, Inc.		0359		
Advisors Clearing Network, Inc.	ADCN	0158Δ		
AEB Corporation	AEBC	0443Δ		
Aegis Capital Corp.	AGCP	0235Δ	0235†	CNS
Aegis Capital Corp.	AGIS	0235Δ	0235†	CNS
Aegis Capital Corp.	AEGC	0512Δ	0512†	CNS
Aegis Capital Corp.	AGCC	0352Δ		
Aegis Investments, Inc.	AEGS	0750Δ	0750†	CNS
AG BD, LLC	AGBD	0352Δ		
Agency Trading Group, Inc.	ATGP	0226Δ	0226†	CNS
AIG Retirement Advisors, Inc		0542		
AIG Retirement Advisors, Inc./Account 2		0681		
Akar Capital Management, Inc.	CAAA	0158Δ	0158†	CNS
Akjensen, Inc.	AKJI	0158Δ	0158†	CNS
Alamo Capital Corp.	ALAC	0279Δ	0279†	CNS
Alanar Incorporated	ALIN		0309†	CNS
Alaris Trading Partners, LLC	DDAY	0501Δ		
Alerus Securities Corporation	FNBS	0235Δ	0235†	CNS
Alexander (Don) Investments, Inc.	DAII	0235Δ	0235†	CNS
Alexander Capital, L.P.	ACLP	0235Δ	0235†	CNS
Alexander Investment Services Co.	ALIS	0235Δ	0235†	CNS
Alexandra & James Co.	AJCO		0443†	CNS

Alfa Capital Markets (USA), Inc.	ALFA	0443∆		
Algo Engineering Execution Services LLC	ALGF	0541∆		
AlgoPartners, LLC	ALGP	0050∆		
All Funds, Inc.	AFIN		0226†	CNS
Alleghany Investments Inc.	ALLE		0226†	CNS
Allen & Company LLC	ALLN	0443∆		
Allen & Company of Florida, Inc.	ALEN		0141†	CNS
Alliance Advisory & Securities, Inc.	ALAD		0235†	CNS
Alliance Bernstein Investment Research and Investment Management, Inc.		5515		
Alliance Financial Group, Inc.	AKGS	0141∆	0141†	CNS
AllianceBernstein Investment Research and Investment Mgmt, Inc./Sanford C. Bernstein		5553		
AllianceBernstein Investment Research and Investment Mgmt., Inc./ACIT		6584		
AllianceBernstein Investment Research and Investment Mgmt., Inc./International Div.		5809		
Alliant Securities, Inc. Turner, Nord, Kienbaum	BZTN		0141†	CNS
Alliant Securities, Inc. Turner, Nord, Kienbaum		8084		
Allianz Global Investors Distributors LLC		5554		
Allianz Global Investors Distributors LLC/Allianz Global Investors Fund SICAV		5609		
Allianz Global Investors Distributors LLC/College Access Plan		5579		
Allianz Global Investors Distributors LLC/MI 529 Advisors Plan		6768		
Allianz Global Investors Distributors LLC/Oklahoma Dream 529		5921		
Allianz Global Investors Distributors LLC/Pimco Advisory Service		5784		
Allianz Global Investors Distributors LLC/Premier Multi-Series VIT		5703		
Allianz Life Insurance Co. of New York-Fixed Business		4682		
Allianz Life Insurance Co. of North America-Fixed Business		4570		
Allianz Life Insurance Company of New York		4524		
Allianz Life Insurance Company of North America		4523		
Allied Beacon Partners, Inc.	ABPI	0235∆	0235†	CNS
Allison (M.E.) & Co., Inc.	MEAL	0279∆	0279†	CNS
Allison-Williams Company	ALWL	0279∆		
Allstate Financial Services, LLC	LAGI		0226†	CNS
Allstate Financial Services, LLC		0222		
Allstate Financial Services, LLC/Allstate Financial Annuities		5341		
Allstate Life Insurance Company		4528		
Allstate Life Insurance Company of New York		4557		
Allstate Life Insurance Company of New York/Administered by Prudential		4688		
Allstate Life Insurance Company of New York/Administered by SE2 Technology Solutions		4697		
Allstate Life Insurance Company of New York/Fixed		4751		
Allstate Life Insurance Company/Administered by Prudential		4687		
Allstate Life Insurance Company/Administered by SE2 Technology Solutions		4696		
Allstate Life Insurance Company/Cigna		4580		
Allstate Life Insurance Company/CIGNA/Administered by Se2 Technology Solution		4690		
Allstate Life Insurance Company/Fixed		4750		
Allston Trading, LLC	ALTN	0695∆		
Allston Trading, LLC	ALTR	0695∆		
Alluvion Securities, LLC	ALLU	0750∆	0750†	CNS
AloStar Bank of Commerce	ASBC		6776	TFT
Alpine Securities Corporation	ALPS	8072		
ALPS Distributors Inc.		5642		
ALPS Distributors Inc./Cognios Funds		7032		
ALPS Distributors Inc./James Advantage Funds		5613		
ALPS Distributors Inc./Whitebox Funds		3763		
ALPS Distributors, Inc./ALPS Variable Investment Trust #6609		6609		
ALPS Distributors, Inc./AQR Funds		5922		
ALPS Distributors, Inc./Aspen Funds		3717		
ALPS Distributors, Inc./BBH Funds		5722		
ALPS Distributors, Inc./Bennett Funds		5544		
ALPS Distributors, Inc./BPVFunds		3768		
ALPS Distributors, Inc./Brown Capital		3740		
ALPS Distributors, Inc./Caldwell & Orkin		5684		
ALPS Distributors, Inc./Century Capital Management Trust		5597		
ALPS Distributors, Inc./Corner Cap Funds		5384		
ALPS Distributors, Inc./Cortina Funds		3762		
ALPS Distributors, Inc./CRM Funds		6619		
ALPS Distributors, Inc./Cullen Funds		5466		
ALPS Distributors, Inc./Cullen Funds plc		3710		
ALPS Distributors, Inc./Drexel Hamilton Funds		5455		
ALPS Distributors, Inc./Emerald Funds		3619		
ALPS Distributors, Inc./FirstHand Funds		4901		
ALPS Distributors, Inc./FIT-ALPS		5904		

Funds				
ALPS Distributors, Inc./Grandeur Peak Funds		3759		
ALPS Distributors, Inc./Heartland Funds		5571		
ALPS Distributors, Inc./Heartland International Small Cap Fund		5345		
ALPS Distributors, Inc./Henssler Funds		6550		
ALPS Distributors, Inc./Holland Balanced Fund		6597		
ALPS Distributors, Inc./Index IQ Trust		6505		
ALPS Distributors, Inc./Laudus Funds		4903		
ALPS Distributors, Inc./Mairs and Power Funds Trust		6546		
ALPS Distributors, Inc./Oak Funds		5761		
ALPS Distributors, Inc./Pathway Advisors Funds		3356		
ALPS Distributors, Inc./Paxworld Funds		4940		
ALPS Distributors, Inc./Redmont Funds		3787		
ALPS Distributors, Inc./River North Funds		6520		
ALPS Distributors, Inc./Seafarer Funds		3777		
ALPS Distributors, Inc./Stadion Funds		6692		
ALPS Distributors, Inc./State Street Institutional Investment Trust		6516		
ALPS Distributors, Inc./Stone Harbor		5309		
ALPS Distributors, Inc./Stonebridge Capital Management		5806		
ALPS Distributors, Inc./The Arbitrage Fund		5521		
ALPS Distributors, Inc./Tilson Funds		5337		
ALPS Distributors, Inc./Transparent Value Fund		5314		
ALPS Distributors, Inc./Vulcan Value Partners Fund		5313		
ALPS Distributors, Inc./Wakefield Fund		3688		
ALPS Distributors, Inc./WesMark Funds		5944		
ALPS Distributors, Inc./Wilmington Funds		5696		
Altegris Portfolio Management, Inc. dba Altegris Funds		3476		
AlterNet Securities Inc.	ALTX	0099Δ		
Alton Securities Group Inc.	ASGI	0235Δ	0235†	CNS
Altrushare Securities LLC	ATRU	0100Δ		
Alzaid Financial Services, Inc.	AZAD	0750Δ	0750†	CNS
AM&M Financial Services, Inc.		3920		
AM&M Investment Brokers, Inc.	AMMI		0443†	CNS
Ambassador Financial Group, Inc.	AFGT	0279Δ	0279†	CNS
America Invest Online, Inc.	AIII	0052Δ	0052†	CNS
American Capital Partners	ACPL	0235Δ		
American Centurion Life Assurance Company		4600		
American Century Brokerage, Inc.	ACBD	0443Δ	0443†	CNS
American Century Investment Services Inc./Kansas Postsecondary Savings Program		5338		
American Century Investment Services Inc./SICAV Funds		3728		
American Century Investment Services, Inc.		5646		
American Diversified Financial Group, LLC	ADFG	0235Δ	0235†	CNS
American Enterprise Investment Services Inc.	HRBF	0756	0756	CNS
American Enterprise Investment Services Inc.		3433		
American Enterprise Life Insurance Company		4541		
American Funds Distributors, Inc.		0754		
American Funds Distributors, Inc.		5504		
American Funds Distributors, Inc./LC Planpremier		6508		
American General Distributors, Inc		5718		
American General Life Insurance Company		4502		
American General Life Insurance Company/SE2		4537		
American General Life Insurance Company/SunAmerica		4516		
American General Life Insurance Company/Sunamerica (SE2)		4648		
American General Life Insurance Company/SunAmerica (Service Only Fixed)		4507		
American General Life Insurance Company/Western National		4623		
American General Life Insurance Company/Western National(SunAmerica Fixed)		3310		
American General Securities Incorporated	AMGN		0443†	CNS
American Heritage Securities, Inc.	AHSI		0141†	CNS
American Independent Securities Group, LLC	AISG	0235Δ	0235†	CNS
American Independent Securities Group, LLC		6912		
American Investors Group	AIGI		0279†	CNS
American Municipal Securities Inc.	AMMS		0141†	CNS
American National Insurance Company		4569		
American Network Securities Corp.	AMNN		0443†	CNS
American Portfolios Financial Services, Inc.		0555		
American Trust Investment Services, Inc.	PEER	0235Δ	0235†	CNS
American Union Securities, Inc.	AUSI	0158Δ		
American United Life Insurance Company		4591		
American United Life Insurance Company		4746		
American United Life Insurance Company/2		4754		

American United Life Insurance Company/3		4757		
America's Choice Equities LLC	ACEP	0052Δ	0052†	CNS
America's Portfolio's	APFS		0443†	CNS
Ameritas Investment Corporation	BLNI	0443Δ	0443†	CNS
Ameritas Investment Corporation		0249		
Ameritas Life Insurance Corp.		4539		
Ameritrade, Inc.	AMTD		0188†	CNS
AmTrust Investment Services, Inc.		7148		
Amundi Luxembourg S.A.		3391		
AMUR Advisors, LLC	AMUR	0309Δ		
ANB Financial Group LLC	ANBF		0309†	CNS
Ancora Securities, Inc.	DALE	0443Δ	0443†	CNS
Ancora Securities, Inc.		4951		
Anderson & Strudwick, Inc.	ANSR		0443†	CNS
Andes Capital Group, LLC	ACGP	0235Δ	0235†	CNS
Anovest Financial Services, Inc.	ANOV	0750Δ	0750†	CNS
ANZ Securities, Inc.	ANZS		0443†	CNS
APB Financial Group, LLC	APBI	0103Δ		
Apex Clearing Corporation	PFCL	0158	0158	CNS
Apex Clearing Corporation	APCC	0158Δ		
Apex Clearing Corporation	PDSK	0158Δ		
Apex Clearing Corporation/Merrimac Corp		0373		
Aplifi, Inc.		6358		
Apto Partners, LLC	APTO	0103Δ	0103†	CNS
Aquila Distributors, Inc.		5552		
Aradir Corp.	ARAD	0352Δ		
Arbor Court Capital LLC		7023		
Arbor Research & Trading, Inc.	ARTI	0388Δ	0388†	CNS
ARCA Capital Investments, Inc.	ACII	0158Δ		
Arcadia Investment Corp.	AICO	0352Δ	0352†	CNS
Arcadia Securities, LLC	ARCD	0443Δ		
Archey & Co. LLC	JINS	0052Δ		
Archipelago Securities, LLC	ACRA	0436		
Archipelago Securities, LLC	ALLC	0436Δ		
Archipelago Securities, LLC	ARRB	0436Δ		
Archipelago Trading Services, Inc.	ARCA	0436Δ		
Ardour Capital Investments, LLC	ARDR	0158Δ		
Arete Wealth Management	KEYS		0226†	CNS
Ariel Distributors LLC		5751		
ARKA Securities, Inc.	ARKA		0443†	CNS
ARMA Financial Services, Inc.	ARMA		0226†	CNS
Artisan Partners Distributors, LLC		6653		
Arvest Investments, Inc.	ARVS	0141Δ	0141†	CNS
Arvest Investments, Inc.		4988		
ASB Securities, LLC		5581		
Ascendiant Capital Markets, LLC	ASCT	0103Δ	0103†	CNS
Ascendiant Capital Markets, LLC	ASCM	0158Δ		
Ashmore Investment Management (US) Corporation		5195		
Ashmore Investment Management (US) Corporation/Foreign Account		3370		
Ashton Young, Inc.	MOMY	0279Δ	0279†	CNS
Askar Corp.	ASKR		0141†	CNS
Aspen Equity Partners, LLC	ASPN	0309Δ	0309†	CNS
Associated Bank, N.A.		2257		
Associated Bond Brokers	ABBI		0279†	CNS
Associated Investment Services, Inc.	ASOI	0443Δ	0443†	CNS
Associated Investment Services, Inc.		7192		
Associated Securities Corporation	ASSO	0075Δ		
AST Investor Services LLC	ASTI		0226†	CNS
ATEL Securities Corporation		1846		
Athene Annuity & Life Assurance Company		4562		
Atis, Inc.	JEVO	0235Δ	0235†	CNS
Atlantic Financial Services of Maine, Inc.	AFSM	0750Δ		
Atlantic Trading Partners Incorporated	ATTR	0235Δ	0235†	CNS
Atlas One Financial Group, LLC	AOFG	0158Δ	0158†	CNS
Atlas One Financial Group, LLC	AFGL	0161Δ	0161†	CNS
Atrium Trading, Inc.	ATRM	0017Δ		
Audobon Securities, Inc.	AUDO		0418†	CNS
Auerbach Grayson & Co. Inc.	AGCO	0443Δ		
Aufhauser Securities, Inc.	ARBI	0443Δ	0443†	CNS
Aura Financial Services, Inc.	AURA	0750Δ	0750†	CNS
Auriga USA, Inc.	ARGA	0443Δ	0443†	CNS
Aurora Capital LLC	AURC	0052Δ	0052†	CNS
Ausdal Financial Partners, Inc.		6905		
Automated Trading Desk Financial Services, LLC	ATDF	0505Δ		
Automated Trading Desk Financial Services, LLC	AUTO	0505Δ		
Autonomous Research LLP	ARUK	0049Δ	0049†	CNS
Autonomous Research US, LP	ARUS	0049Δ		
Avenir Financial Group, Inc.	AFGR	0052Δ	0052†	CNS
Aventura Securities, LLC	AVEN		0226†	CNS
Avian Securities, LLC	AVIA		0443†	CNS
Aviate Global LP	AVUS	0049Δ	0049†	CNS
Avila Capital Markets, Inc.	AVIL	0226Δ	0226†	CNS
AVM, L.P.	AVML	0443Δ	6704	TFT
Avondale Partners, LLC	AVON	0226Δ	0226†	CNS
AWM Securities, Inc.	FINC	0279Δ		
AX Trading, LLC	AXTN	0158Δ		
AXA Advisors, LLC	AXAA		0075†	CNS
AXA Equitable Life Insurance Company		4535		
AXA Equitable Life Insurance Company/Equivest Employer Sponsored		7005		
AXA Equitable Life Insurance Company/Life Insurance		4632		
AXA Equitable Life Insurance Company/Retirement Gateway		7006		
AXA Network, LLC		7123		
Axelrod Associates, Inc.	AXEL	0727Δ	0727†	CNS
Axiom Capital Management, Inc.	AXIO	0352Δ	0352†	CNS
AYRE Invstments, Inc.	AYRE	0052Δ	0052†	CNS
Azzad Funds		5662		
BAC Florida Investments Corp.	BCCS		0443†	CNS
Backstrom McCarley Berry & Co., LLC	BMCB		0103†	CNS
Baird (Robert W.) & Co., Incorporated	BARD	0547	0547	CNS
Baird Patrick & Co., Inc.	BPAT	0443Δ	0443†	CNS
Baker & Co., Incorporated	BCOM	0235Δ	0235†	CNS

Baker (C.P.) Securities Incorporated	CPBC	0750Δ		
Baldwin (Leigh) & Co., LLC	LEBO	0226Δ	0226†	CNS
Ballew Investments, Inc.	BALL		0443†	CNS
Banc of America Securities, LLC	BASV	0352Δ		
Banc West Investment Services		6130		
Banca IMI Securities Corp.	BIMI	0136	0136	CNS
Banco Votorantim Securities, Inc.	VOTO	0443Δ	0443†	CNS
Bancorp Bank (The)	TBBK		0226†	CNS
Bancorp Bank (The)		4859		
Bancorp Bank (The)/Liquid Cash Account		3388		
Bancorp Bank (The)/Master Demand Account Advantage		3606		
Bancorp Bank (The)/Participant Protection Account		3308		
Bancorpsouth Investment Services, Inc.	BSIS		0443†	CNS
BancorpSouth Investment Services, Inc.		6048		
Banesto Securities, Inc.	BSTO		0443†	CNS
Banif Securities Inc.	BANF	0443Δ	0443†	CNS
Bank of America N.A.		2236		
Bank of America N.A./ GWIM TRUST OPERATIONS		0955		
Bank of America, N.A.	NBNA		6737	TFT
Bank of America, N.A./Lasalle Bank N.A.		2251		
Bank of Oklahoma, N.A.	BOKL		6752	TFT
Bankers & Investors Co.	BAIN	0279Δ	0279†	CNS
Bankers Bank	BBID		6409	TFT
BankersBanc Capital Corporation	BBCC	0750Δ	0750†	CNS
Bankoh Investment Services, Inc.	PCIS		0226†	CNS
Bannockburn Partners, LLC	BPLC	0158Δ		
Bannockburn Partners, LLC	GOAL	0750Δ		
Banyan Securities, LLC	BNYN	0226Δ	0226†	CNS
Barclays Capital Inc.		5340		
Barclays Capital Inc./Barclays Celsius Funds Ireland/Malta		5375		
Barclays Capital Inc./Barclays Multi-Manager Fund PLC		3350		
Barclays Capital, Inc.	BCAP	5101		
Barclays Capital, Inc.	BCHY	5101Δ		
Barclays Capital, Inc.	BCPP	5101Δ		
Barclays Capital, Inc.	BWEL		0229†	CNS
Barclays Capital, Inc./Fixed Income	BCAP		7256	CNS
Barclays Capital, Inc./LE	LEHM	0229	0229	CNS
Barclays Capital, Inc./LE	LATS	0229Δ		
Barclays Capital, Inc./LE	LEHB	0229Δ		
Barclays Capital, Inc./LE	LEHP	0229Δ		
Barclays Capital, Inc./LE	LHMA	0229Δ		
Barclays Capital, Inc./LE	LHMB	0229Δ		
Barclays Capital, Inc./LE	LHMS	0229Δ		
Barclays Capital, Inc./LE	LHSB	0229Δ		
Barclays Capital, Inc./LE	LSAH	0229Δ		
Barclays Capital, Inc./Market Maker		0055		
Baron Capital Inc.		4862		
Barrett & Company	BARR	0226Δ	0226†	CNS
Barrington Research Associates, Inc.	BRRR	0352Δ	0352†	CNS
Barron (Nancy) & Associates, Inc.	NBAR		0226†	CNS
Barton Creek Ltd.	BCLT		0309†	CNS
Base Securities LLC	CARL		0443†	CNS
Basis Financial, LLC	BFLN	0052Δ	0052†	CNS
BATS Trading, Inc.	BATM	0050Δ		
BATS Trading, Inc.	BYXM	0050Δ		
BATS Trading, Inc.	BATS	0103Δ		
BATS Trading, Inc.	BYXX	0103Δ		
Baum (George K.) & Co.	BAUM	0129	0129	CNS
Bay Colony Securities Co., Inc.	LMSC	0235Δ	0235†	CNS
Bay Crest Partners, LLC	BAYC	0161Δ		
BB&T Investment Services, Inc.	BBTT	0702Δ	0702†	CNS
BB&T Investment Services, Inc.		0156		
BB&T Securities, LLC	BBNT	0702	0702	CNS
BBS Securities, Inc./CDS		5085		
BBVA Investments Inc.	BCMR	0443Δ	0443†	CNS
BBVA Securities Inc.	LTIN		2786	CNS
BCP Securities, LLC	BRCY	0443Δ	0443†	CNS
Beacon Gate LLC	BEAG	0501Δ		
Beacon Investment Company	BICA		0443†	CNS
Beaconsfield Financial Services, Inc.	BEAF	0235Δ	0235†	CNS
Beal (M.R.) & Company	BEAL	0443Δ	0443†	CNS
Bear Creek Securities, LLC	BCRK	0052Δ	0052†	CNS
Beck (H.), Inc.		0731		
Becker & Suffern Ltd.	BECS		0443†	CNS
Bedford Securities, Inc.	BSIN		0418†	CNS
Bedrok Securities, LLC	STLZ	0443Δ	0443†	CNS
Bel Air Securities LLC	BELS	0352Δ	0352†	CNS
Bellamah, Neuhauser & Barrett, Inc.	BNBB	0750Δ	0443†	cns
Belle Haven Investments, LP	BHLP		0226†	CNS
Belle Haven Investments, LP	BHII	0443Δ	0443†	CNS
Beloyan Investment Securities, Inc.	BELO	0052Δ	0052†	CNS
Belvedere Trading LLC	BELV	0501Δ		
Benchmark Company, LLC (The)	BNCH	0352Δ	0352†	CNS
Benchmark Investments, Inc.	BMRK	0235Δ	0235†	CNS
Benchmark Securities LLC	BMKS	0309Δ	0309†	CNS
Benefit Trust Company		0761		
Benefit Trust Company		6647		
Benefit Trust Company/DST Squirrel		3383		
Benefit Trust Company/Prime Plan Solutions		3694		
Benjamin & Jerold Brokerage I, LLC	BEGK	0100Δ		
Benjamin & Jerold Brokerage, Inc.	BEJJ	0052Δ	0052†	CNS
Benjamin F. Edwards & Co.	BFEC	0443Δ		
Benjamin Securities Investment Co., Inc.	BENS	0279Δ		
Bentley-Lawrence Securities, Inc.	BLSI	0727Δ	0727†	CNS
Berean Capital, Inc.	WHWL	0727Δ	0727†	CNS
Berghoff & Company, Inc.	BEMC	0235Δ	0235†	CNS
Bermuda Investment Advisory Services	BERM		0443†	CNS
Bernardi Securities, Inc.	BNDI		0443†	CNS
Bernstein (Sanford C.) & Co., Inc.	BERN	0013	0013	CNS
Berry Shino Securities, Inc.	BSAZ		0103†	CNS
Berthel, Fisher & Company Financial Services, Inc.	BFFI	0226Δ	0226†	CNS
Bertolet Capital Trust		6701		

Berwyn Financial Services	BFSA	0226Δ		
Best Direct Securities, LLC	BDSE	0750Δ		
BestVest Investments, Ltd.	BVIS	0235Δ	0235†	CNS
Beta Capital Management	BECM	0352Δ		
Beyer & Co.	BEYR	0727Δ		
BGB Securities Inc.	BGCM	0103Δ		
BGC Financial Inc.	MAXC	0537Δ		
BGC Financial Inc.	MINT	0537Δ		
BGC Financial, Inc.	BGCE	0161Δ		
BGC Financial, Inc.	EBKR	0537Δ		
BGC Financial, Inc.	MAXN	0537Δ		
BGC Financial, L.P.	BGCF	0537	0537	CNS
BGC Financial, L.P.	WHBB	0537Δ	0537†	CNS
BGC Financial, L.P.	WOHU		0537†	CNS
BHIL Distributors, Inc.		6532		
BHIL Distributors, Inc./Cook & Bynum Funds		5928		
BHIL Distributors, Inc./Diamond Hill Funds		4729		
BHIL Distributors, Inc./Independent Franchise Partners US Equity Fund		3754		
BHIL Distributors, Inc./Lion Global Investors Limited		3368		
BHIL Distributors, Inc./Praxis Funds		5791		
BHIL Distributors, Inc./Robeco Institutional Asset Management B.V.		3607		
BHIL Distributors, Inc./The Boston Trust & Walden Funds		6622		
BHK Securities, LLC	BHKS		0141†	CNS
Bianco Financial Inc.	BFIB	0158Δ		
BIDS Trading L.P.	BIDS	0161Δ	0161†	CNS
Bill Few Associates, Inc.	BFEW		0443†	CNS
Biltmore International Corporation	BMIC	0824Δ		
Biondo Asset Management LLC	BGIA	0226Δ	0226†	CNS
Birchwood Securities Corp.	BWEX	0595Δ	0595†	CNS
Biremis Corporation	BRMS	0100Δ		
Birkelbach Investment Securities, Inc.	BRKE		0443†	CNS
Bishop, Rosen & Co., Inc.	BISH	0226Δ	0226†	CNS
Black (James I.) & Co.	BLCK	7031	7031	CNS
Blackburn & Company	BLKB	0642Δ	0642†	CNS
BlackRock Capital Markets, LLC	BRCM	0352Δ	0352†	CNS
BlackRock Execution Services	BGIS	0352Δ	0352†	CNS
BlackRock Institutional Trust Company, N.A.		4722		
BlackRock Investments, LLC		5882		
BlackRock Investments, LLC/BlackRock Global Funds		4982		
BlackRock Investments, LLC/BlackRock Liquidity Funds		5813		
BlackRock Investments, LLC/Bond Allocation Target Shares		4868		
BlackRock Investments, LLC/ICS		5123		
Blackwall Capital Markets, Inc.	BCMI	0235Δ	0235†	CNS
Blair (William) & Company, LLC	WBLR	0771	0771	CNS
Blair (William) & Company, LLC		5538		
Blaylock Robert Van, LLC	BRVL	0443Δ	0443†	CNS
Bley Investments Group, Inc.	BLAY	0443Δ	0443†	CNS
Blinkbox, Ltd.	BBOX	0873Δ		
Block Kelly Company	BKKY	0750Δ		
Bloomberg Tradebook LLC	BOOK	7001		
Bloomberg Tradebook LLC	IOIX	0651Δ		
Blount Parrish & Co., Inc.	BPCO		0750†	CNS
Blue Alternative Asset Management LLC		3405		
Blue Bay Capital Ltd.	BBAY	0750Δ		
Blue Capital Securities, Inc.	BCSB	0352Δ	0352†	CNS
Blue Creek Securities, Inc.	ITFS		0226†	CNS
Blue Trading, Inc.	BTNY	0158Δ		
Blue Trading, Inc.	WBCO	0501Δ		
Blue Vase Securities, LLC	BLVS	0161Δ		
Bluebid Brokerage, LLC	BBBI		0443†	CNS
Bluefin Research Partners, Inc.	TUNA	0443Δ	0443†	CNS
BlueFire Capital, LLC	FIRE	0695Δ		
Blum (Robert) Municipals Inc.	RBMU		0279†	CNS
BMA Securities	BMAS	0158Δ	0158†	CNS
BMA Securities	BMSS	0512Δ		
BMA Securities	BMAK	0824Δ		
BMO Capital Markets Corp.	BMOC	0045		
BMO Capital Markets GKST Inc.	GKST		2344	CNS
BMO Capital Markets GKST Inc.	GKST		6001	TFT
BMO Harris Bank NA	HRST		6713	TFT
BMO Harris Bank NA		2697		
BMO Harris Bank NA/Trust		0992		
BMO Harris Financial Advisors, Inc.		5152		
BMO Nesbitt Burns Inc./CDS	NTBF	5043●		
BMO Nesbitt Burns Securities, Ltd.	NBSL	0352Δ		
BNP Paribas Investment Partners Trust Company		5493		
BNP Paribas Investment Services, LLC	BNPC	0049Δ		
BNP Paribas Prime Brokerage, Inc.	BPBI	2154	2154	CNS
BNP Paribas Securities Corp.	BNPS	0049	0049	CNS
BNP Paribas Securities Corp.		5551		
BNP Paribas Securities Corp.	BNPB	0049Δ		
BNP Paribas Securities Corp.	PARI	0049Δ		
BNP Paribas Securities Corp.	BNPX	2154Δ		
BNP Paribas Securities Corp./Fixed Income	PARI		0630	CNS
BNP Paribas Securities Corp./Henderson Horizon Funds		5866		
BNP Paribas Securities Corp./Lion Global Investors		3604		
BNP Paribas, New York Branch		2787		
BNY Capital Markets Inc.	BKCM	0443Δ	0443†	CNS
BNY Convergex Execution Solutions, LLC	NYFT	0100Δ		
BNY Convergex Execution Solutions, LLC	NYFX	0100Δ		
BNY Mellon Capital Markets LLC	BKMM	0443Δ		
Bodell Overcash Anderson & Co.	BOLL	0309Δ	0309†	CNS
Boe Securities Inc.	BOSE	0161Δ	0161†	CNS
Boenning & Scattergood, Inc.	BOSC	0141Δ	0141†	CNS
BofA Distributors, Inc.		5320		
Bolton Securities Corporation	BLTN	0235Δ	0235†	CNS
BOM Capital	BCBM	0161Δ	0161†	CNS
Bond One, LLC	BDON	0750Δ	0750†	CNS

BondDesk Trading LLC	BDDK		0226†	CNS
Bonddesk Trading, LLC	BDTR		0226†	CNS
Bonds.com, Inc	BNDS		0443†	CNS
Bonwick Capital Partners, LLC	WICK	0309Δ	0309†	CNS
BOSC, Inc.	BOKF		6757	TFT
BOSC, Inc.	BOKI	0443Δ	0443†	CNS
Boston Merchant Financial Services, Inc.	BMFS		0279†	CNS
Botzum (C.A.) & Company	BOTZ	0052Δ	0052†	CNS
Boursa Investments	BRSA	0103Δ	0103†	CNS
Boursa Investments	BOIN	0873Δ		
Bozarth, Newton & Murphy Securities, Inc.	BNMI		0226†	CNS
BPU Investment Management Inc.	BZPI	0443Δ	0443†	CNS
Bradesco Securities, Inc.	BRSE	0226Δ	0226†	CNS
Branch Banking and Trust Company		5385		
Branch Banking and Trust Company/Crump Life Insurance Services		3376		
Brandis Tallman LLC	NBST		0103†	CNS
Brasil Plural Securities LLC	BRPR	0443Δ		
Braver Stern	BRST		0443†	CNS
Braver Stern Securities Corp.	BRAV	0352Δ		
Braymen, Lambert and Noel Securities, Ltd.	BLNS	0309Δ	0309†	CNS
Bread & Butter Fund, Inc.		5358		
Brean Murray, Carret & Co., LLC	CRRT		0443†	CNS
Brecek & Young	BYAI		0226†	CNS
Breen Capital, LLC	BMUR	0158Δ	0388†	CNS
Brent (H.D.) & Company, Inc.	BRNT	0235Δ	0235†	CNS
Briggs-Ficks Securities, LLC	BFSL	0235Δ	0235†	CNS
Brighton Securities Corp.	BGHT		0141†	CNS
Brill Securities, Inc.	BRIL	0352Δ	0352†	CNS
Brinker Capital Securities Corp.	BRNK		0226†	CNS
Brinson Patrick Securities Corporation	BRIN	0158Δ		
Brittingham, Inc.	BRIT		0226†	CNS
Broad Street Securities	BDST		0352†	CNS
Broadband Capital Management, LLC	BAND	0226Δ	0226†	CNS
Brockhouse & Cooper, Inc.	BROC	0443Δ		
Broker Dealer Financial Services Corp.	BKFC		0141†	CNS
Broker Dealer Financial Services Corp.		5985		
Brookline Group, LLC	BRKL	0103Δ	0103†	CNS
Brookshire Securities Corporation	BSCT	0052Δ	0052†	CNS
Brookshire Securities Corporation	BSCO	0750Δ	0750†	CNS
Brookview Capital LLC	BKVW		0443†	CNS
Brown & Brown Securities, Inc.	BRBR	0279Δ	0279†	CNS
Brown Advisory Securities, LLC	BSEC		0141†	CNS
Brown Associates, Inc.	BWNA	0235Δ	0235†	CNS
Brown Brothers Harriman & Co.	BBHC	0010	0010	CNS
Brown Brothers Harriman & Co.		5930		
Brown Brothers Harriman & Co./BBH Collective Investment Series Trust		5135		
Brown Brothers Harriman and Company/ETF		0109		
Brown, Lisle/Cummings, Inc.	BRLI		0226†	CNS
Brownstone Investment Group	BIGG	0501Δ		
Brownstone Investment Group, LLC	BIGZ	0443Δ	0443†	CNS
Brownstone Investment Group, LLC	BIGP	0443Δ		
BTG Capital Corp	PACT	0443Δ		
BTIG, LLC	BTIX	0352Δ	0352†	CNS
BTIG, LLC	BTIS	0443Δ		
BTIG, LLC	BAYT	0501Δ		
BTIG, LLC	BTIG	0501Δ		
Buckingham Research Group Incorporated (The)	BUCK	0226Δ	0226†	CNS
Buckman, Buckman & Reid, Inc.	BBRF	0158Δ	0158†	CNS
Buckman, Buckman & Reid, Inc.	BKRT	0235Δ	0235†	CNS
Buell Securities Corp.	BUEL	0443Δ	0443†	CNS
Bulltick Securities, Inc.	BLTS	0443Δ	0443†	CNS
Bulltick, LLC	BLTK	0443Δ		
Burke & Quick Partners LLC	BAQP	0443Δ	0443†	CNS
Burke, Lawton, Brewer & Burke, LLC	BURK		0141†	CNS
Burnham Securities Inc.	BRNM	0352Δ	0352†	CNS
Burnham Securities Inc.		5583		
Burrill LLC	BURR	0103Δ	0103†	CNS
Butler Larsen Pierce & Company, Inc.	BLPC		0279†	CNS
Buttonwood Partners, Inc.	BPWP		0226†	CNS
C.I.G. Corp.		6479		
C.M. Life Insurance Company		4547		
C.O.R.E Marketing Inc		6006		
Cabot Lodge Securities LLC	CLSS		0443†	CNS
Cabrera Capital Markets, Inc.	CABA	0161Δ	0161†	CNS
Cabrera Capital Markets, Inc.	CABR	0443Δ	0443†	CNS
Cadaret, Grant & Co. Inc.		0241		
Cadaret, Grant & Co. Inc./Cadaret Grant Agency Inc.		3309		
Cadaret, Grant & Co., Inc.	CGAT	0443Δ	0443†	CNS
Cadwyn Point Partners LLC	CADP	0100Δ		
Cain Brothers & Company, LLC	CBCI		0443†	CNS
Calamos Financial Services LLC		5835		
Calamos Financial Services LLC/Calamos Global Fund PLC		6621		
Caldwell International Securities Corporation	CISC	0279Δ	0279†	CNS
Caldwell Securities Ltd./CDS		5013		
Caldwell Securities, Incorporated	CALD	0103Δ		
Caldwell Trust Company		2687		
Cales Investments, Inc.	CALE	0750Δ		
Callaway Financial Services, Inc.	CALL	0309Δ	0309†	CNS
Calton & Associates, Inc.	CALT	0279Δ	0279†	CNS
Calvert Investment Distributors, Inc.		5543		
Cambria Capital, LLC	CAMC	0052Δ	0052†	CNS
Cambridge Investment Research, Inc.	CIRI	0443Δ	0443†	CNS
CAMCO Investors Trust		3613		
Campbell & Company, Inc.		1796		
Canaccord Capital Corporation/CDS	CCAI	5046Δ		
Canaccord Genuity Corp.CDS	CICF	5046●		
Canaccord Genuity Inc.	ADAM	0352Δ	0352†	CNS
Canaccord Genuity Inc.	CANA	0443Δ		
Canaccord Genuity Securities LLC	CSTI	0352Δ	0352†	CNS

Canaccord Wealth Management (USA) Inc.	NRAM	0443Δ		
Cantella & Co., Inc.	CTLA	0443Δ	0226†	CNS
Cantella & Co., Inc.		0699		
Canterbury Consulting Incorporated	CTBY		0226†	CNS
Cantone Research, Inc.	CANR		0141†	CNS
Cantor Fitzgerald & Co.	CANT	0696	0696	TFT
Cantor Fitzgerald & Co.	CFCS	0501Δ	0501†	CNS
Cantor Fitzgerald & Co.	CFPT	0197Δ		
Cantor Fitzgerald & Co.		0197Δ		
Cantor Fitzgerald & Co.	ESPD	0696Δ		
Cantor Fitzgerald & Co. - Cantor Clearing Services	CSPD	0197	0197	CNS
Cantor Fitzgerald & Co./Aqua Securities, L.P.	AQUA	7310	7310	CNS
Cantor Fitzgerald & Co./Debt Capital Markets	CFCO	7311	7311	CNS
Cantor, Weiss & Friedner, Inc.	CWEI		0443†	CNS
Cape Securities Inc.	CAPE		0226†	CNS
Capital Analysts Inc.	CAPI	0443Δ	0443†	CNS
Capital Financial Services, Inc.	CAPF	0235Δ		
Capital Group Securities (The)	CGSE	0235Δ	0235†	CNS
Capital Growth Resources, Inc.	WALT	0158Δ	0158†	CNS
Capital Guardian Trust Company		4873		
Capital Guardian Trust Company/Capital International Funds		5107		
Capital Guardian Trust Company/CGPCS		5389		
Capital Guardian Trust Company/US Bank		7077		
Capital Guardian, LLC	CGDN	0443Δ		
Capital Institutional Services, Inc.	CIST	0161Δ	0161†	CNS
Capital Institutional Services, Inc.		0475		
Capital Integration Systems LLC		1836		
Capital Integration Systems LLC		1837		
Capital Integration Systems LLC		1844		
Capital Integration Systems LLC		3470		
Capital Investment Brokerage Inc.	CAPB	0750Δ	0750†	CNS
Capital Investment Group, Inc.	CAPG	0750Δ	0750†	CNS
Capital Investment Group, Inc.		0545		
Capital Investment Group, Inc.		5664		
Capital Investment Services, Inc.	CISE	0443Δ		
Capital Management Securities, Inc.	CMSI	0279Δ		
Capital One Investment Services LLC		0431		
Capital One Investment Services LLC/Chevy Chase Securities, Inc.		0290		
Capital One Southcoast, Inc.	SOCO	0443Δ	0443†	CNS
Capital Path Securities, LLC	PATH	0052Δ	0052†	CNS
Capital Path Securties	CAPT		0512†	CNS
Capital Portfolio Management Inc.	CPMI	0352Δ	0352†	CNS
Capital Securities Investment Corporation	CSIC		0226†	CNS
Capital Securities of America, Inc.	CPAM		0141†	CNS
Capital Select Investment	CSIN	0235Δ	0235†	CNS
Capital Synergy Partners	CSPI	0235Δ	0235†	CNS
Capitalquest Securities, Inc.	CQSI		0443†	CNS
Capitol Securities Management, Inc.	CSMI	0443Δ	0443†	CNS
Capitol Securities Management, Inc.	CSCV	0702Δ	0702†	CNS
CAPMARUSA, LLC		6007		
CapNet Securities Corporation	MITC	0279Δ	0279†	CNS
Caprock Securities, Inc.	CLSI	0279Δ	0279†	CNS
Capstone Asset Planning Co./Capstone Church Bond Fund		5838		
Capstone Financial Services, Inc.		5801		
Capstone Investments	CPST	0103Δ		
Capstone Investments	CPSE	0873Δ		
Cardinal Bank		5996		
Cardinal Investments Inc.	CRDL	0235Δ	0235†	CNS
Carey, Thomas, Hoover & Breault, Inc.	HOOV		0226†	CNS
Carillon Investments, Inc.	CLLN		0226†	CNS
Caris & Company, Inc.	CRIS		0443†	CNS
Carl P. Sherr and Co., LLC	SHRR		0443†	CNS
Carlin Equities LLC	CLYN		0443†	CNS
Carnes Capital Corp.	CCCO	0352Δ		
Carolina Capital Markets, Inc.	CARC	0702Δ	0702†	CNS
Carolinas Investment Consulting, LLC	CICL		0141†	CNS
Carter Validus Mission Critical REIT, Inc.		1785		
Carter, Terry & Company, Inc.	CATE	0235Δ	0235†	CNS
Carty & Company, Inc.	CART		0443†	CNS
Cary Street Partners LLC	CARY		0141†	CNS
Cascade Investment Group, Inc.	BJRC	0235Δ	0235†	CNS
Casey Professional Services, LLC	CPSI	0501Δ		
Casimir Capital, L.P.	CSMR		0226†	CNS
Casimir Capital, L.P.	CASI	0158Δ		
Cass (Quincy) Associates Inc.	QCAS		0226†	CNS
CastleOak Securities, L.P	COAK	0197Δ	0197†	CNS
Castlewood Securities LLC	RFLL	0352Δ	0352†	CNS
Cathay Securities, Inc.	CATH	0158Δ		
CBG Financial Group, Inc.	CBFG	0750Δ	0750†	CNS
CBIZ Financial Solutions, Inc.	BGSG	0226Δ	0226†	CNS
CBIZ Financial Solutions, Inc.		0682		
CCM Securities, LLC	TURN	0161Δ	0161†	CNS
CCM Securities, LLC	TRNP	0551Δ		
CCO Investment Services Corp		6313		
CD Funding Securities, LLC	CDFS	0052Δ		
CDS Clearing and Depository Services Inc.		5099		
Celadon Financial Group LLC	CLDN	0551Δ		
Celadon Financial Group LLC	CFGN	0824Δ		
Celadon Financial Group, LLC	SPLN	0443Δ		
Centaurus Financial Inc.	CNFI		0443†	CNS
Centennial Securities Company, LLC	CTNL		0141†	CNS
CenterState Bank of Florida, N.A.	CSFL		6770	TFT
Central Securities, LLC	CENT	0750Δ	0750†	CNS
Central States Capital Markets, LLC	CSCM		0443†	CNS
Central Trust Bank	CTBK		0279†	CNS
Central Trust Bank		2880		
Centurion Life Insurance Company		6005		
Ceros Financial Services, Inc.	CERS	0226Δ	0226†	CNS
Ceros Financial Services, Inc.		5331		
Ceros Financial Services, Inc.	CFSI	0158Δ		
Cert Direct Securities Inc.	CDSO	0750Δ	0750†	CNS
CertusSecurities, Inc.	CRTS	0226Δ	0226†	CNS

CF Global Trading, LLC	CFGT	0161Δ	0161†	CNS
CF Global Trading, LLC	CFGO		0161†	CNS
CFD Investments	CFDI	0235Δ	0235†	CNS
CFT Securities LLC	QBSI	0352Δ	0352†	CNS
CG Compass (USA) LLC	CGCO	0352Δ		
Chapdelaine Corporate Securities	CHPY	0158Δ		
Chapin, Davis	CHDA		0141†	CNS
Chardan Capital Markets LLC	AABA	0158Δ		
Charles Schwab & Co. Inc./ Charles Schwab Bank		2993		
Charles Schwab & Co. Inc./MF Clearing Services		7471		
Charles Schwab & Co., Inc.	CHAS	0164	0164	CNS
Charles Schwab & Co., Inc.		5489		
Charles Schwab & Co., Inc./Schwab Global Investing Account	CSGI	7587		
Charles Schwab Bank		5655		
Charles Vista, LLC	CVTA	0052Δ	0052†	CNS
Charleston Capital Corporation	CLAY		0309†	CNS
Charter One Securities Inc.		7237		
Charter Pacific Securities, LLC	CPSL	0750Δ	0750†	CNS
Chase Investment Services Corp.	CISI	0352Δ	0352†	CNS
Chatsworth Securities LLC	CHTS	0279Δ	0279†	CNS
Chauner Securities, Inc.	CHNR	0235Δ	0235†	CNS
Cheevers & Company Inc	CHBC	0161Δ	0161†	CNS
Chela Technology Partners, LLC	CAMM	0352Δ	0352†	CNS
Chelsea Financial Services	CFIN	0309Δ	0309†	CNS
Chevy Chase Trust Company		4708		
Chicago Analytic Trading Company, LLC	CATC	0017Δ	0443†	CNS
Chicago Board of Options Exchange/Bear Stearns	CBOH	0352Δ		
Chicago Board Options Exchange, Inc.		0739		
Chickasaw Securities, LLC	CHCK	0352Δ	0352†	CNS
Chilian Partners L.P.	CHIL	0352Δ	0352†	CNS
Choice Trade	CTRD	0595Δ	0595†	CNS
Choicetrade	LETS	0158Δ	0158†	CNS
Chopper Securities LLC	CHPR	0695Δ		
Churchill Capital USA, Inc.	CHLC	0443Δ		
Churchill Financial LLC	CHCH	0352Δ	0352†	CNS
CIBC World Markets Corp.	CWMK	0438	0438	CNS
CIBC World Markets Corp.	CWMC	0438Δ		
CIBC World Markets, Inc.	WGIF	5030●		
CICC US Securities, Inc.	CICC	0443Δ		
CIG Securities	CIGC	0052Δ	0052†	CNS
CIM SECURITIES, LLC	CIMS	0279Δ		
Citadel Securities LLC	CDRG	0395	0395	CNS
Citadel Securities LLC	PRDG	0395Δ	0395†	CNS
Citadel Securities LLC	CDEL	0161Δ		
Citadel Securities LLC	APOG	0395Δ		
Citadel Securities LLC	CATS	0395Δ		
Citadel Securities LLC	CCMX	0395Δ		
Citadel Securities LLC	CEDL	0395Δ		
Citadel Securities LLC	CEMM	0395Δ		
Citadel Securities LLC	CTDL	0395Δ		
Citadel Securities LLC	ICRD	0395Δ		
Citadel Securities LLC	IELP	0395Δ		
Citadel Securities LLC	CDGO	0551Δ		
Citation Financial Group, L.P.	CITA	0443Δ	0443†	CNS
Citibank, N.A.		0908		
Citic Securities International USA, LLC	CSIU	0501Δ		
Citicorp Financial Services Corporation	CFSC	0418Δ		
Citigroup Global Markets Inc.	SBSH	0418	0418	CNS
Citigroup Global Markets Inc.	CCAT	0418Δ		
Citigroup Global Markets Inc.	CGQT	0418Δ		
Citigroup Global Markets Inc.	CXCX	0418Δ		
Citigroup Global Markets Inc.	GATE	0418Δ		
Citigroup Global Markets Inc.	CGWM	0443Δ		
Citigroup Global Markets Inc./Correspondent Clearing	CGMI	0505		
Citigroup Global Markets Inc./Salomon Brothers	SALB		0274	CNS
Citigroup Private Bank & Trust		2032		
Citizens Investment Services Corp.	CISV		0226†	CNS
City National Bank		2392		
City National Bank, Beverly Hills, California	CBEV		0226†	CNS
City National Securities Inc.	CNSI		0226†	CNS
City Securities Corporation	CITY		0141†	CNS
CJS Securities, Inc.	CJSC	0443Δ		
Clancy Financial Services, Inc	CLAN	0284Δ	0284†	CNS
Clarity Fund, Inc.		5386		
Clark Dodge & Co., Inc.	CLRK	0235Δ	0235†	CNS
Clarke Lanzen Skalla Investment Firm, LLC		3913		
Clarkson Capital Markets	CLKS	0100Δ	0100†	TFT
Clayton, Lowell & Conger Inc.	CLCI	0052Δ	0052†	CNS
Clearing Services of America	CSOA		0443†	CNS
Clearview Trading Advisors Inc.	CLVU	0501Δ		
Cleary Gull Inc.	TGUL	0235Δ	0235†	CNS
CLSA Americas, LLC	CLSA	0651Δ		
CM Securities, Inc.	CMSE	0158Δ	0158†	CNS
CMFG Life Insurance Company		4734		
CMFG Life Insurance Company/Insurance Services		3399		
CMFG Life Insurance Company/MEMBERS Life Insurance Company		7072		
CMG Institutional Trading, LLC	CMGS		0727†	CNS
CNBS, LLC	CNBS		6707	TFT
CNL Capital Markets Corp.		3599		
CNL Capital Markets Corp.		3656		
CNL Capital Markets Corp.		3657		
CNL Capital Markets Corp.		3658		
CNL Capital Markets Corp.		3659		
CNL Capital Markets Corp.		3661		
CNL Capital Markets Corp.		3662		
Coastal Equities, Inc.	COAS		0141†	CNS
Coastal Securities L.P.	COST		0443†	CNS
Cobalt Capital, Inc.		1753		
Cobra Trading, Inc.	CBRV	0595Δ	0595†	CNS
Cobra Trading, Inc.	CBRA	0103Δ		
Coburn & Meredith, Inc.	COBR		0141†	CNS
Cohen & Steers Securities LLC		5780		

Cohen & Steers Securities, LLC/Cohen & Steers SICAV Funds		3600		
Cohen Bros. & Company	COHN	0235Δ	0235†	CNS
Cohen Bros. & Company	CDOI	0727Δ	0727†	CNS
Coker & Palmer	COKE	0352Δ	0352†	CNS
Coker & Palmer	CPPM		0443†	CNS
Coldwater Securities Incorporated	CLDS	0352Δ		
Cole Capital Corporation		3403		
Collins & Co.	COLL	0235Δ	0235†	CNS
Collins Capital Advisors, LLC	CCAL	0750Δ		
Collins Stewart, Inc.	STEW	0352Δ	0352†	CNS
Colonial Securities Inc.	COLS	0158Δ	0158†	CNS
Colorado Financial Service Corporation	COFS	0309Δ	0309†	CNS
Columbia Management Investment Distributors, Inc.		5501		
Columbia Management Investment Distributors, Inc./Future Scholar		5601		
Columbia Management Investment Distributors, Inc./Trust Collective Funds		4843		
Comerica Bank		2108		
Comerica Bank		5878		
Comerica Securities	CMCA	0443Δ	0443†	CNS
Commerce Bank		2170		
Commerce Bank Investment Services, Inc.	CICI		0443†	CNS
Commerce Brokerage Services	CMCE	0226Δ	0226†	CNS
Commerce Square Trading, LLC	TSRV	0161Δ		
Commerce Street Capital, LLC	CSCL	0158Δ	0158†	CNS
Commerz Markets LLC	DRKW	0126		
CommerzeBank Capital Corp.	CCMC		0443†	CNS
Commonwealth Annuity and Life Insurance Company		4521		
Commonwealth Equity Services, L.L.P.		0177		
Commonwealth Financial Network	CMMW	0226Δ	0226†	CNS
Commonwealth Funding, Inc.	WACX	0750Δ		
Commonwealth Securities and Investments, Inc.	CSII		0443†	CNS
Community Bank, N.A.		5960		
Compass Bank	CBSS		2483	TFT
Compass Bank/Asset Management Group		2484		
Compass Brokerage, Inc.	CBIN		0443†	CNS
Compass Brokerage, Inc.		7227		
Compass Point Research & Trading, LLC	CMPT	0443Δ		
Computershare Securities Corp.	CSCB		0161†	CNS
Concept Capital Markets, LLC	ATPA	0161Δ	0161†	CNS
Concept Capital Markets, LLC	CCCM	0352Δ	0352†	CNS
Concept Capital Markets, LLC	CCMB	0443Δ		
Concise Capital Management LP		1882		
Concorde Funds, Inc.		5669		
Concorde Investment Services, LLC	CNCD	0235Δ	0235†	CNS
Concorde Investment Services, LLC		3773		
Condera Securities LLC	RDST	0352Δ	0352†	CNS
Conestoga Funds		4917		
Conifer Securities, LLC	CNFR	0443Δ	0443†	CNS
Conners & Co., Inc.	CONR	0235Δ		
Conservative Financial Services, Inc.	CONF	0235Δ	0235†	CNS
Consolidated Capital Management, Inc.	CONC	0727Δ	0727†	CNS
Consolidated Financial Investments, Inc.	CFII	0352Δ	0352†	CNS
Constellation Wealth Advisors LLC	CWAA		0443†	CNS
Consultiva Securities, Inc.	CONS		0141†	CNS
Continental Investors Services, Inc.	CONT		0279†	CNS
Continuity Partners Group, LLC	CPGL		0226†	CNS
ConvergEx Execution Solutions LLC	ECUT	0100	0100	TFT
ConvergEx Prime Services LLC	CXPS	0017Δ		
ConvergEx Prime Services LLC	NOPO	0352Δ		
Cooper (C.K.) & Company, Inc.	CKCC	0052Δ	0052†	CNS
Cooper Malone McClain Inc.	CMMC		0279†	CNS
Coordinated Capital Securities, Inc.	COOR		0141†	CNS
COR Clearing LLC	LGNT	0052	0052	CNS
COR Clearing LLC	CORR	0052Δ	0052†	CNS
Corby Capital Markets, Inc.	CRBY		0226†	CNS
Corinthian Partners, LLC	CRTH	0443Δ	0443†	CNS
Cormark Securities Inc./Valeurs Moilieres Cormark Inc.		5055		
Corporate Investments Group Inc.	CINV	0158Δ	0158†	CNS
Cortland Fund Services LLC		1863		
Cortland Fund Services LLC		1866		
Cosse International Securities Inc.	COSE	8153	8153	CNS
Coughlin & Co., Inc.	CGLN		0103†	CNS
Country Capital Inc.	CMGT	0235Δ	0235†	CNS
Country Club Bank, Kansas City	CCBI		6744	TFT
Country Trust Bank		2561		
Country Trust Bank		5185		
Courage Capital Management, LLC		1886		
Cova Capital Partners LLC	CPLC	0235Δ	0235†	CNS
Cowen & Company, LLC	COWN	0226Δ	0226†	CNS
Cowen & Company, LLC	COWP	0226Δ	0226†	CNS
Cowen and Company, LLC	COWX	0161Δ	0161†	CNS
Cowen and Company, LLC	COWR	0443Δ		
Cowen and Company, LLC	COWL	0551Δ		
Cowen Capital LLC	ATMC	0161Δ		
Coyle Securities LLC	COYL	0235Δ	0235†	CNS
CP Capital Securities	CPCG		0226†	CNS
CPS Insurance Services		6911		
Craig Scott Capital, LLC	CSCP	0052Δ		
Craig-Hallum Capital Group, LLC	CHLM	0161Δ	0161†	CNS
Credential Securities Inc./CDS		5083		
Credicorp Securities, Inc.	CRED		0443†	CNS
Credit Agricole Cheuvreux North America, Inc.	CRAG	0651Δ		
Credit Agricole Securities (USA) Inc.	LYON	0651	0651	CNS
Credit Suisse Private Advisors	CSPA		0226†	CNS
Credit Suisse Securities (USA) LLC	FBCO	0355	0355	CNS
Credit Suisse Securities (USA) LLC	FBPC	0443Δ	0443†	CNS
Credit Suisse Securities (USA) LLC		5735		
Credit Suisse Securities (USA) LLC	AESW	0103Δ		
Credit Suisse Securities (USA) LLC	AESX	0355Δ		
Credit Suisse Securities (USA) LLC/Luxembourg Funds		4994		
Creditex Securities Corporation	CTSC	0443Δ	0443†	CNS

Crescent Securities Group, Inc.	CAGI	0279Δ	0279†	CNS
Crescent Securities Group, Inc.	CSNT	0309Δ	0309†	CNS
Cressman Esser Securities, Inc.	CESI	0235Δ	0235†	CNS
Crews & Associates, Inc.	CREW		5158	CNS
CRI Securities Inc.	CRII		0443†	CNS
Croft Funds Corporation		6640		
Cronin & Co., Inc.	CRON		0443†	CNS
Cross Point Capital LLC	CRPT		0443†	CNS
Crowell, Weedon & Co.	CWCO	0574	0574	CNS
Crown Capital Securities, L.P.	CCSS		0443†	CNS
CRT Capital Group LLC	CRTG		0387	CNS
CRT Capital Group, LLC	CRTC	0443Δ	0443†	CNS
Crystal Capital Partners, LLC		3473		
CU Investment Solutions, Inc.	UCCM	0279Δ		
Cue Financial Group, Inc.	CUEF		0443†	CNS
Cummins Financial Corporation	JDCO	0235Δ	0235†	CNS
CUNA Brokerage Services		0239		
Curian Clearing, LLC	CURN	0300		
Curran Advisory Services, LLC	CLLC		0226†	CNS
Curren & Company	CURR	0352Δ	0352†	CNS
Curtiswood Capital, LLC	CTWD	0052Δ	0052†	CNS
CUSO Financial Services, L.P.	CUSO		0443†	CNS
CUSO Financial Services, L.P.		0329		
Cutter & Company Brokerage, Inc.	CUTT	0141Δ	0141†	CNS
Cutter & Company, Inc.	CUTW	0702Δ		
Cuttone & Co., Inc.	CUPS	0443Δ		
CV Brokerage Inc.	CVBR	0158Δ		
D.J. St Germain Co., Inc.	DJST	0443Δ	0443†	CNS
Daily Access Corporation		7100		
Dain Correspondent Services	DCSD	0235Δ	0235†	CNS
Daiwa Capital Markets America Inc.	DAWA	0647	0647	CNS
Daiwa Securities Trust Company		0667		
Dakota Securities International, Inc.	DAKO	0052Δ	0052†	CNS
Dale K. Ehrhart, Inc.	DKEI		0141†	CNS
Darbie (J.H.) & Co., Inc.	JDRB	0158Δ	0158†	CNS
Dardis (Jack) & Associates	JDAA		0443†	CNS
Dart Executions, LLC	DCVL	0501Δ	0501†	CNS
Dart Executions, LLC	DETF	0501Δ	0501†	CNS
Dart Executions, LLC	DART	0501Δ		
Dart Executions, LLC	DEGS	0501Δ		
Dart Executions, LLC	DRTG	0501Δ		
Dart Executions, LLC	DRTR	0501Δ		
Dart Executions, LLC	DCHF	0695Δ		
Darwood Associates, Inc.	DARA	0235Δ	0235†	CNS
Daugherty Cole, Inc.	DAGH		0443†	CNS
Davenport & Company LLC	DAVA	0715	0715	CNS
David Harris & Co., Inc.	DHCO	0235Δ	0235†	CNS
Davidson (D.A.) & Co. Inc.	DADA	0361	0361	CNS
Davis Distributors, LLC		5563		
Davis Distributors, LLC/Clipper Fund		5965		
Davis Distributors, LLC/Davis Fund Sicav		4890		
Davis Distributors, LLC/Selected		5850		
Davis Securities LLC	DAVS	0226Δ	0226†	CNS
Davlin Philanthropic Funds		3612		
Dawson James Securities, Inc.	DJSI	0158Δ	0158†	CNS
Dawson James Securities, Inc.	DJSA	0750Δ		
DBTC Americas-Private Bank		2177		
De La Rosa (E.J.) & Co., Inc.	EDLR		0103†	CNS
Dealerweb Inc.	JJKE	0161Δ	0161†	CNS
Dealerweb Inc.	RCML	0161Δ	0161†	CNS
Dealerweb Inc.	DLWB	0161Δ		
Dealerweb Inc.	RCMI	0608Δ		
Dealerweb Inc.	DLTA	0161Δ	0161†	CNS
Deep ATS, LLC	HUGE	0103Δ		
Delafield & Hambrecht, Inc.	DELA		0443†	CNS
Delaney Equity Group LLC	DLNY	0052Δ	0052†	CNS
Delaware Distributors L.P.		5510		
Delaware Distributors, L.P./Delaware Investments Global Funds, PLC		6572		
Delaware Distributors, L.P./Optimum Funds		6672		
Delta Equity Services Corp.	RAYG	0443Δ	0443†	CNS
Delta Trust Investments, Inc.	DTII		0141†	CNS
DeMatteo Moness, LLC	DEMA	0501Δ		
Dempsey Financial Network	DEMF		0443†	CNS
Dempsey Lord Smith, LLC	DLSI		0226†	CNS
Depfa First Albany Securities, LLC	DEFA	0443Δ	0443†	CNS
Descap Securities, Inc.	DESS	0158Δ		
Desjardins Securities Inc./CDS	DESJ	5028●		
Desjardins Securities International, Inc.	VMDI	0443Δ		
Destra Capital Investments LLC	DSTR		0443†	CNS
Destra Capital Investments LLC		3739		
Detwiler Fenton Wealth Management Inc	DFWM		0226†	CNS
Detwiler, Mitchell, Fenton & Graves, Inc.	FEDE	0226Δ	0226†	CNS
Deutsche Bank National Trust Company		3649		
Deutsche Bank Securities Inc.	DBAB	0573	0573	CNS
Deutsche Bank Securities Inc.	DBPC	0443Δ	0443†	CNS
Deutsche Bank Securities Inc.	DBIB	0573Δ	0573†	CNS
Deutsche Bank Securities Inc.	DBKS		0573†	CNS
Deutsche Bank Securities Inc.	DBAE	0573Δ		
Deutsche Bank Securities Inc.	DBAT	0573Δ		
Deutsche Bank Securities Inc.	DBAX	0573Δ		
Deutsche Bank Securities Inc.	DBLV	0573Δ		
Deutsche Bank Securities Inc.	DBMS	0573Δ		
Deutsche Bank Securities Inc.	DBPR	0573Δ		
Deutsche Bank Securities Inc.	DBPT	0573Δ		
Deutsche Bank Securities Inc.	DBQT	0573Δ		
Deutsche Bank Securities Inc.	DBRC	0573Δ		
Deutsche Bank Securities Inc.	DBRT	0573Δ		
Deutsche Bank Securities Inc.	DBST	0573Δ		
Deutsche Bank Securities Inc.	DBUL	0573Δ		
DeWaay Financial Network, LLC	DWFN		0443†	CNS
DFA Securities LLC		6684		
DFA Securities LLC/Dimensional Funds PLC and Dimensional Funds II PLC		3746		
Diamant Investment Corp.	DAVE	0344	0344	CNS
Diamond Hill Securities, Inc.	BSFS	0727Δ		
Dillon Gage Securities Inc.	DILG		0279†	CNS
Dinosaur Securities, L.L.C.	ROAR	0103Δ	0103†	CNS
Dinosaur Securities, L.L.C.	DINO	0158Δ	0158†	CNS
Dinosaur Securities, L.L.C.	TREX	0226Δ	0226†	CNS

Direct Access Brokerage Services, Inc.	DIRW	0103Δ		
Direct Access Partners LLC	DAPJ	0161Δ	0161†	CNS
Direct Access Partners LLC	DAPL	0443Δ		
Direct Access Partners LLC	DAPG	0501Δ		
Direct Edge ECN LLC	EDGA	0161Δ		
Direct Edge ECN LLC	EDGX	0161Δ		
Direct Trading Institutional LP	DTAP		0161†	CNS
Discern Securities, Inc.	DCRN	0100Δ		
Ditto Trade, Inc.	DITO	0158Δ		
Divine Capital Markets, LLC	DIVA	0158Δ	0158†	CNS
DLS Capital Partners, Inc.	DLSC	0309Δ	0309†	CNS
DME Securities, LLC	DMES	0158Δ		
DMG Securities, Inc.	DSGG		0226†	CNS
DNB Nor Markets, Inc.	DNOR	0501Δ		
Dodge & Cox Funds		5483		
Dodge & Cox Worldwide Investments Ltd.		3684		
Doft & Co., Inc.	DOFT	0443Δ	0443†	CNS
Doley Securities LLC	DOLY		0279†	CNS
Doley Securities, LLC	DOLE	0158Δ		
Domestic Financial Service, Inc.	DFIC	0279Δ	0279†	CNS
Dominick & Dominick, Inc./CDS	DOMK	0443Δ	0443†	CNS
Dominion Investor Services Inc.	DOMI	0309Δ	0309†	CNS
Donnelly Penman & Partners Inc.	DPFH	0750Δ		
Dorn & Co., Inc.	DORN	0235Δ		
Dorsey & Co. Inc.	DORS	0141Δ	0141†	CNS
Dougherty & Company LLC	DOTC	0352Δ	0352†	CNS
Douglas & Co. Municipals	DGLS		0443†	CNS
Dowling & Partners Securities LLC	DOWL	0443Δ		
Downstate Securities Group, Inc.	DDBI	0235Δ	0235†	CNS
DPEC Capital, Inc.	IPRV	0750Δ	0750†	CNS
Dratel Group, Inc. (The)	DRAT	0571Δ	0571†	CNS
Drexel Hamilton, LLC	DREX	0501Δ	0443†	CNS
Dreyfus Service Organization, Inc.		6322		
Driehaus Securities LLC		6642		
Driehaus Securities LLC / Driehaus Active Income Fund		5923		
DSIL Investment Services, LLC		6631		
DST Market Services, LLC		0626		
Du Pasquier & Co., Inc.	PASQ	0443Δ	0443†	CNS
Dubeau Capital USA, Inc.	DCUS	0158Δ		
Duncan & Williams, Inc.	DNWL	0443Δ	0443†	CNS
Duncan (R.M.) Securities Inc.	DCAN		0279†	CNS
Dundee Securities Corporation	DSCS	5039Δ		
Dunham & Associates Investment Counsel, Inc.		5675		
Duniya Trading, Inc.	DNYA	0158Δ		
Dupree & Company, Inc.		6618		
DWM Securities Inc./CDS	DCAF	5039●		
DWS Investments Distributors, Inc.		5503		
DWS Investments Distributors, Inc./DWS Invest SICAV		5863		
DWS Investments Distributors, Inc./DWS Investments SA		4974		
DWS Investments Distributors, Inc./Most 529		3721		
DXS Investment Distributors, Inc.	DIDI		0443†	CNS
Dynamex Trading, LLC	MEXD	0551Δ		
Dynasty Capital Partners, Inc	DCPI	0279Δ		
E*Trade Cap MKTS/CHXL Trading	ETMM	0100Δ		
E*Trade Cap Mkts/Special Account	GVSA	0100Δ		
E*Trade Capital Markets, LLC	ETRF	0161Δ	0161†	CNS
E*Trade Capital Markets, LLC	DNID	0100Δ		
E*Trade Capital Markets, LLC	ETLS	0100Δ		
E*Trade Capital Markets, LLC	ETNY	0100Δ		
E*Trade Capital Markets, LLC	ETRD	0100Δ		
E*Trade Capital Markets/DEMP	DEMP	0100Δ		
E*Trade Clearing, LLC	ETCL	0385	0385	CNS
E*Trade Securities, LLC	ETRS	0385Δ	0385†	CNS
E.S. Financial Services, Inc.	ESFS	0443Δ	0443†	CNS
E1 Asset Management, Inc.	EVRS	0052Δ	0052†	CNS
e3m Investments, Inc./CDS		5066		
Eagle Fund Distributors, Inc.		5822		
Eagle Life Insurance Company		6030		
Eagle One Investments, LLC	EOIL	0727Δ		
Earlybird Capital, Inc.	EBRD	0103Δ	0103†	CNS
East Shore Partners, Inc.	ESPN	0443Δ		
East/West Securities Corp.	EWSC	0158Δ	0158†	CNS
East/West Securities Corp.	EWST	0158Δ		
Eastern Bank Capital Markets	EAST		6742	TFT
Eaton Vance Distributors, Inc.		5509		
Eaton Vance Distributors, Inc./Eaton Vance International (Caymen Islands) Funds LTD.		5715		
Eaton Vance Distributors, Inc./Eaton Vance International (Ireland) PLC		5438		
Eaton Vance Distributors, Inc./Eaton Vance Trust Company		5456		
Eaton Vance Distributors, Inc./Eaton Vance Variable Trust Funds		4938		
Eaton Vance Distributors, Inc./Exchange Funds		5110		
Eaton Vance Distributors, Inc./US Charitable		5760		
Ebix, Inc.		6317		
EBX LLC	EBXL	0161Δ	0161†	CNS
ED& F Man Capital Markets Inc.	EDFC	0388Δ	0388†	CNS
EDI Financial, Inc.	EDIR	0235Δ	0235†	CNS
EDI Financial, Inc.	EDIF	0309Δ	0309†	CNS
Edmond de Rothschild Asset Management		3718		
Edward D. Jones & Co., L.P.		1871		
Edward Jones / CDS	EDJC	5012●		
EFG Capital International	EFGC	0352Δ	0352†	CNS
EJ Sterling Inc.	LSSI	0750Δ		
Electronic Access Direct, Inc.	EADA	0750Δ		
Electronic Brokerage Systems, LLC	BELZ	0627		
Electronic Brokerage Systems, LLC	DFIN	0161Δ		
Electronic Transaction Clearing, Inc.	ETCC	0873		
Electronic Transaction Clearing, Inc.	ETAA	0873Δ		
Electronic Transaction Clearing, Inc.	ETAC	0873Δ		
Electronic Transaction Clearing, Inc.	ETAD	0873Δ		
Electronic Transaction Clearing, Inc.	ETAE	0873Δ		
Electronic Transaction Clearing, Inc.	ETAF	0873Δ		

Electronic Transaction Clearing, Inc.	ETAG	0873Δ		
Electronic Transaction Clearing, Inc.	ETAH	0873Δ		
Electronic Transaction Clearing, Inc.	ETAJ	0873Δ		
Electronic Transaction Clearing, Inc.	ETAK	0873Δ		
Electronic Transaction Clearing, Inc.	ETAL	0873Δ		
Electronic Transaction Clearing, Inc.	ETAM	0873Δ		
Electronic Transaction Clearing, Inc.	ETAN	0873Δ		
Electronic Transaction Clearing, Inc.	ETAO	0873Δ		
Electronic Transaction Clearing, Inc.	ETAP	0873Δ		
Electronic Transaction Clearing, Inc.	ETAQ	0873Δ		
Electronic Transaction Clearing, Inc.	ETAR	0873Δ		
Electronic Transaction Clearing, Inc.	ETAS	0873Δ		
Electronic Transaction Clearing, Inc.	ETAT	0873Δ		
Electronic Transaction Clearing, Inc.	ETAU	0873Δ		
Electronic Transaction Clearing, Inc.	ETAV	0873Δ		
Electronic Transaction Clearing, Inc.	ETAW	0873Δ		
Electronic Transaction Clearing, Inc.	ETAX	0873Δ		
Electronic Transaction Clearing, Inc.	ETAY	0873Δ		
Electronic Transaction Clearing, Inc.	ETAZ	0873Δ		
Electronic Transaction Clearing, Inc.	ETBA	0873Δ		
Electronic Transaction Clearing, Inc.	ETBB	0873Δ		
Electronic Transaction Clearing, Inc.	ETBC	0873Δ		
Electronic Transaction Clearing, Inc.	ETBD	0873Δ		
Electronic Transaction Clearing, Inc.	ETBE	0873Δ		
Electronic Transaction Clearing, Inc.	ETBF	0873Δ		
Electronic Transaction Clearing, Inc.	ETBG	0873Δ		
Electronic Transaction Clearing, Inc.	ETBH	0873Δ		
Electronic Transaction Clearing, Inc.	ETBI	0873Δ		
Electronic Transaction Clearing, Inc.	ETBK	0873Δ		
Electronic Transaction Clearing, Inc.	ETBL	0873Δ		
Electronic Transaction Clearing, Inc.	ETBO	0873Δ		
Electronic Transaction Clearing, Inc.	ETBP	0873Δ		
Electronic Transaction Clearing, Inc.	ETBS	0873Δ		
Electronic Transaction Clearing, Inc.	ETBW	0873Δ		
Electronic Transaction Clearing, Inc.	ETCD	0873Δ		
Electronic Transaction Clearing, Inc.	ETCE	0873Δ		
Electronic Transaction Clearing, Inc.	ETCG	0873Δ		
Electronic Transaction Clearing, Inc.	ETCH	0873Δ		
Electronic Transaction Clearing, Inc.	ETCI	0873Δ		
Electronic Transaction Clearing, Inc.	ETCJ	0873Δ		
Electronic Transaction Clearing, Inc.	ETCK	0873Δ		
Electronic Transaction Clearing, Inc.	ETCN	0873Δ		
Electronic Transaction Clearing, Inc.	ETCO	0873Δ		
Electronic Transaction Clearing, Inc.	ETCP	0873Δ		
Electronic Transaction Clearing, Inc.	ETCQ	0873Δ		
Electronic Transaction Clearing, Inc.	ETCR	0873Δ		
Electronic Transaction Clearing, Inc.	ETCS	0873Δ		
Electronic Transaction Clearing, Inc.	ETCT	0873Δ		
Electronic Transaction Clearing, Inc.	ETCU	0873Δ		
Electronic Transaction Clearing, Inc.	ETCV	0873Δ		
Electronic Transaction Clearing, Inc.	ETCW	0873Δ		
Electronic Transaction Clearing, Inc.	ETCX	0873Δ		
Electronic Transaction Clearing, Inc.	ETCY	0873Δ		
Electronic Transaction Clearing, Inc.	ETCZ	0873Δ		
Electronic Transaction Clearing, Inc.	ETDA	0873Δ		
Electronic Transaction Clearing, Inc.	ETNF	0873Δ		
Elevation LLC	ELTD	0161Δ	0161†	CNS
Elevation, LLC	ELEV	0750Δ	0750†	CNS
Elish & Elish Brokerage and Research	ELSH	0052Δ	0052†	CNS
Emergent Financial Group, Inc.	VTMW	0235Δ	0235†	CNS
Emerging Growth Equities, Ltd.	EGRO	0226Δ	0226†	CNS
Emmet & Co., Inc.	EMET		5234	TFT
Empire Asset Management Company	EAMC	0352Δ	0352†	CNS
Empire Financial Group, Inc.		4438		
Empirical Research Partners LLC	EMRP	0161Δ		
Encompass Fund		5397		
English Concepts, Inc.	PMAS		0443†	CNS
Equibond Inc.	EQAL	0103Δ	0103†	CNS
Equinox Fund Management, LLC		3865		
Equinox Securities, Inc.	EQNX	0052Δ	0052†	CNS
Equitable Advisors, Inc.	STAD		0141†	CNS
Equitas America, LLC	EQUA		0443†	CNS
Equity Concepts	EQCP	0494Δ	0494†	CNS
Equity Services, Inc.	EQSV		0226†	CNS
Equity Services, Inc.		0560		
Equity Station, Inc.	ESTF	0226Δ	0226†	CNS
Equity Station, Inc.	ESTA	0158Δ		
Eroom Securities L.L.C.	EROM	0551Δ		
Eroom Securities LLC	EROP	0158Δ		
Eroom Securities LLC	EROS	0501Δ		
Eroom Securities LLC	ESLL	0501Δ		
ESN North America, Inc.	ESNA	0049Δ		
Esposito Securities, LLC	ESPP		0443†	CNS
Esposito Securities, LLC	ESPO	0501Δ		
Essex National Securities, Inc.		0254		
Essex Radez LLC	GLPS	0613Δ		
Essex Securities LLC	BJCM	0750Δ	0750†	CNS
Essex-Radez LLC	ERCO	0613		
Estrada Hinojosa & Co.	ESTR	0309Δ	0309†	CNS
ETC Brokerage Services, LLC	ETCB	0052Δ	0052†	CNS
EULAV Securities LLC		5827		
Euro Pacific Capital, Inc.	EURP	0226Δ	0226†	CNS
Europa Securities, LLC	ERPO	0750Δ		
Evercore Group LLC	EVRC	0443Δ		
Evertrade Direct Brokerage, Inc.	EVER		0226†	CNS
Evolution Securities US Inc,	ESUS		0443†	CNS
Evolve Securities, Inc.	EVOL		0226†	CNS
E-W Investments, Inc.	EWIN		0226†	CNS
EWT, LLC	EWTV	0749Δ		
Exane, Inc.	EXAN	0443Δ		
Excel Securities and Associates, Inc.	EXCS		0443†	CNS
Factfet Systems, Inc.	FAFT		0161†	CNS
Falcon Research, Inc.	FLCN	0158Δ	0158†	CNS
Falcon Research, Inc.	PIDG	0158Δ		
Falcon Square Capital, LLC	FALC	0103Δ	0103†	CNS
Fallen Angels Family of Funds		6589		
Family Income Planning, Inc	FIPC	0750Δ		
Family Investors Co.	FAMV		0226†	CNS
Family Management Securities, LLC	FMGT		0443†	CNS
Farina & Associates, Inc.	FARA	0103Δ	0103†	CNS
Farina & Associates, Inc.	FARC	0158Δ		
Farina & Associates, Inc.	FARJ	0158Δ		
Fator Securities	FATO	0049Δ		

FBT Investments, Inc.	FBTI	0443Δ	0443†	CNS
FCG Advisors LLC	FCGA	0226Δ	0226†	CNS
FCNB Investments	FCNB		0052†	CNS
Federal Life Insurance Company(Mutual)		6333		
Federated Investors Corp.		5529		
Federated Securities Corp./Federated International Management Limited		5759		
Federated Securities Inc.	FEDR	0235Δ		
Feil Daily Investment Company	LGLL		0141†	CNS
Feldman Securities Group, LLC	FELD	0727Δ		
Feldman, Ingardona & Co.	FMAC		0226†	CNS
Feltl and Company	FLTL	0226Δ	0226†	CNS
Fenimore Asset Management Trust		6632		
Ferretti Group, Inc.	STEP	0100Δ		
FFP Securities	FFPS		0443†	CNS
FGC Securities, LLC	FGCS	0595Δ	0595†	CNS
Fidelity Brokerage Services LLC	FIBS		0226†	CNS
Fidelity Clearing Canada ULC	FIDT	5040●		
Fidelity Clearing Canada ULC/CDS	FCCU	5059●		
Fidelity Distributors Corporation/Fidelity International Limited		5785		
Fidelity Investments Institutional Services Co., Inc.		5894		
Fidelity Investments Institutional Services Co., Inc./Fidelity Destiny Plans		5713		
Fidelity Investments Life Insurance Company		4730		
Fidelity Investments Life Insurance Company		6908		
Fidelity Investments Life Insurance Company/Empire Fidelity Investments Life		4748		
Fidelity Investments Life Insurance Company/Fidelity Insurance Agency, Inc.		7130		
Fidelity Security Life Insurance Company		6468		
Fiduciary - SSB - DTC 0987		0987		
Fiduciary Management Inc.		6664		
Fiduciary Trust Company International		0937		
Fieldpoint Private Services, LLC	NTMG	0443Δ	0443†	CNS
Fieldstone Services Corp.	FSTN	0158Δ		
Fifth Street Capital, LLC	GRCP	0750Δ		
Fifth Third Bank (The)		2116		
Fifth Third Securities, Inc.	OHIO	0226Δ	0226†	CNS
Fifth Third Securities, Inc.		0322		
FIG Partners, LLC	BNKS	0141Δ	0141†	CNS
Finance 500, Inc.	FANC	0158Δ	0158†	CNS
Financial Advisers of America, LLC	BOYS		0226†	CNS
Financial Advisers of America, LLC		6012		
Financial Assets Corporation	FACA		0443†	CNS
Financial Counseling Corporation	FINC		0443†	CNS
Financial Designs Corporation	RONB	0750Δ		
Financial Institution Marketing Company, Inc.		6498		
Financial Network Investment Corporation	FNIC	0443Δ	0443†	CNS
Financial Network Investment Corporation		0343		
Financial Northeastern Securities Inc.	FNSJ	0352Δ	0352†	CNS
Financial Planning Consultants Inc.	FPCI	0235Δ	0235†	CNS
Financial Research Company	FINR		0352†	CNS
Financial Sciences, Inc.	FISI	0158Δ	0158†	CNS
Financial Telesis Inc.	JWFS		0443†	CNS
Financial West Group	FINW	0226Δ	0226†	CNS
Financial West Group, Inc		4713		
Financorp Group International Corp.	FGIC	0226Δ	0226†	CNS
Fintech Securities	FINX	0161Δ	0161†	CNS
Fintegra, LLC	FINT	0443Δ	0443†	CNS
Fintegra, LLC		0281		
FinTrust Brokerage Services, LLC	ACSL		0226†	CNS
1st Discount Brokerage, Inc.	FDSC	0158Δ	0158†	CNS
1st Global Capital Corp.	FGCC	0226Δ	0226†	CNS
1st Global Capital Corp.		0296		
1st Munich Capital, LLC	MNCH	0352Δ	0352†	CNS
First Allied Securities		0146		
First Allied Securities Inc.	FAST	0352Δ	0352†	CNS
First American Capital and Trading Corporation	STCS	0158Δ	0158†	CNS
First American Municipals, Inc.	FAMI	0235Δ	0235†	CNS
First American Securities	FAMS	0279Δ	0279†	CNS
First Analysis Securities Corporation	FANA	0161Δ		
First Ballantyne, LLC	FBLT	0750Δ	0750†	CNS
First Bank Puerto Rico Securities, Corp.	FBPR		0750†	CNS
First Bermuda Securities (BVI), Ltd.	BRMF	0158Δ	0158†	CNS
First Brokerage America, LLC	FBAL		0226†	CNS
First Brokers Securities, Inc.	FATS	0763	0763	TFT
First Citizens Financial Plus, Inc.	FCFP		0141†	CNS
First Citizens Investor Services, Inc.	FCIT		0443†	CNS
First Clearing LLC	FCCP	0141	0141	CNS
First Clearing, LLC/Wachovia Securities		0030		
First Clearing, LLC/WFA LLC		0733		
First Commercial Bank of Birmingham, Alabama	FCBI		6755	TFT
First Dallas Securities, Inc.	FDSI	0141Δ	0141†	CNS
First Dominion Capital Corporation		5823		
First Empire Securities, Inc.	FESI	0443Δ	0443†	CNS
First Financial Equity Corp.	FFEC	0279Δ		
First Georgetown Securities, Inc.	FGOE		0226†	CNS
First Heartland Capital Inc./Centerre Capital, LLC		5376		
First Heartland Capital, Inc	FHCI		0443†	CNS
First Heartland Capital, Inc.		0336		
First Honolulu Securities Inc.	FHON	0235Δ	0235†	CNS
First Independent Financial	FIFS	0309Δ	0309†	CNS
First Integrity Capital Partners Corp	KACI	0279Δ	0279†	CNS
First Investors Corporation		5146		
First Investors Corporation		5545		
First Kentucky Securities Corporation	FKSC	0235Δ	0235†	CNS
First Liberties Financial	FLIB	0235Δ	0235†	CNS
First London Securities Corporation	FLSD	0052Δ	0052†	CNS
First London Securities Corporation	FLSC	0309Δ	0309†	CNS

First Manhattan Co.	FMCO	0443Δ	0443†	CNS
First Merger Capital Inc	FSMG	0052Δ	0052†	CNS
First MetLife Investors Insurance Company		4512		
First Midstate Inc.	FMID		6792	TFT
First Midwest Securities Inc.		6459		
First Midwest Securities, Inc.	FMSI	0052Δ	0052†	CNS
First Midwest Securities, Inc.	FSTM	0158Δ		
First National Banker's Bank	FNBB		6753	TFT
First National Capital Markets, Inc.	FNCM		6708	TFT
First National Capital Markets, Inc.		5371		
First New York Securities L.L.C.	MPFG	0501Δ	0501†	CNS
First New York Securities LLC	FNYS	0352Δ	0352†	CNS
First New York Securities LLC	FNYG	0501Δ		
First Pacific Mutual Fund, Inc.		3745		
First Republic Group, LLC	REPG		0443†	CNS
First Republic Securities Co.	FSRP	0443Δ		
First Research Finance Inc.	FIRF	0279Δ	0279†	CNS
First Security Financial Advisors, Inc.	FSFA		0443†	CNS
First South Carolina Securities, Inc.	FSCC		6731	TFT
First Southern Securities LLC	FRST	0309Δ	0309†	CNS
First Southwest Company	FSWC	0309	0309	CNS
First St. Louis Securities, Inc.	FLOU		0443†	CNS
First State Securities, Inc.	FSSO	0279Δ		
First Symetra National Life Insurance Company of New York		4621		
First Symetra National Life Insurance Company opf New York/First Symetra NY Fee Based		3382		
First Tennessee Brokerage, Inc.	FTEN	0226Δ	0226†	CNS
First Tennessee Brokerage, Inc.		0192		
First Tennessee Capital Markets	FTCM		2445	TFT
First Trust Portfolios L.P./First Trust Series Funds		5374		
First Trust Portfolios, L.P.		6575		
First Trust Portfolios, L.P.	FTLP	8244	8244	CNS
First Tryon Securities, LLC	TRYN		0443†	CNS
First Washington Corp.	FWCO	0443Δ	0443†	CNS
First Western Advisors	FWAI		0226†	CNS
First Western Securities	FWSI		0141†	CNS
First Wilshire Securities Management, Inc.	FWIL	0103Δ		
First Winston Securities	FWSS		6715	TFT
FirstMerit Insurance Agency, Inc.		7246		
Firstrade Securities Inc.	FFSI	0158Δ		
Fisher (L.B.) & Company	FISH	0235Δ	0235†	CNS
Fisrt Merit Financial Services, Inc	FMFS		0226†	CNS
Fixed Income Capital Partners, LLC	FICP		0443†	CNS
Flexible Plan Investments, Ltd		6819		
Floor Broker Network Inc.	FBNW	0443Δ	0418†	CNS
Florida Atlantic Securities Corp.	FLAT	0226Δ	0226†	CNS
Florida Investment Advisers	FIAF	0443Δ	0443†	CNS
FMSBonds, Inc.	MIAM		6440	TFT
FMSBonds, Inc.	FMUS	0158Δ	0158†	CNS
FMSBonds, Inc.		0061		
FMSBonds, Inc.	MIAM	0158Δ		
FNBB Capital Markets, LLC	FCML	0279Δ	0279†	CNS
Folger Nolan Fleming Douglas Incorporated	FNFD		0141†	CNS
FOLIOfn Investments, Inc	FMES	0727Δ	0727†	CNS
FOLIOfn Investments, Inc	FTBR	0728		
Foothill Securities, Inc.		0548		
Fordham Financial Management, Inc.	FORD	0052Δ	0052†	CNS
Forefront Capital Markets LLC	FFCB	0235Δ	0235†	CNS
Forefront Capital Markets LLC	FFCM	0235Δ	0235†	CNS
Foreside Distribution Services, L.P.		4967		
Foreside Distribution Services, L.P./AmSouth Funds		5816		
Foreside Distribution Services, L.P./Asset Management Fund		6641		
Foreside Distribution Services, L.P./Cavanal Hill Funds		5807		
Foreside Distribution Services, L.P./First State Trust Company		4928		
Foreside Distribution Services, L.P./Horizon Global Advisors Umbrella Fund LLC		5633		
Foreside Distribution Services, L.P./HSBC Funds		5814		
Foreside Distribution Services, L.P./HSBC Global Investment Funds		3312		
Foreside Distribution Services, L.P./IMS Funds		4995		
Foreside Distribution Services, L.P./IRT Mutual Funds		6614		
Foreside Distribution Services, L.P./IVA Fiduciary Trust		4724		
Foreside Distribution Services, L.P./IVA Global SICAV Fund		5410		
Foreside Distribution Services, L.P./Leader Short Ten Bond Fund		5804		
Foreside Distribution Services, L.P./Matthews International		5150		
Foreside Distribution Services, L.P./Northern Trust Funds		6633		
Foreside Distribution Services, L.P./Old Mutual Global Funds PLC		5940		
Foreside Distribution Services, L.P./Permal Offshore Funds		4888		
Foreside Distribution Services, L.P./Ridgeworth Funds		4920		
Foreside Distribution Services, L.P./Signature Liquidity Fund, Ltd.		6673		
Foreside Distribution Services, L.P./Skandia Global Funds PLC		5826		
Foreside Distribution Services, L.P./SunTrust Bank Collective Trust Funds		5562		
Foreside Distribution Services, L.P./USAllianz Mutual Funds		6628		
Foreside Distribution Services, L.P./Victory Funds		5686		
Foreside Distribution Services, L.P./Victory Institutional Funds		5723		

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Foreside Fund Services, LLC/ Wright Investor 5872
Foreside Fund Services, LLC/361 Capital 3349
Foreside Fund Services, LLC/Aberdeen Funds 4899
Foreside Fund Services, LLC/American Beacon Funds 5742
Foreside Fund Services, LLC/Arden Investment Series Trust 3398
Foreside Fund Services, LLC/Avenue Mutual Funds Trust 3336
Foreside Fund Services, LLC/Bridgeway Funds 5485
Foreside Fund Services, LLC/Broadmark Funds 7049
Foreside Fund Services, LLC/Citi American Opportunity Income Fund, a Sub-Fund of Citi Investment Trust (Cayman) II 7079
Foreside Fund Services, LLC/Dundee Wealth Funds 6549
Foreside Fund Services, LLC/Henderson Global Funds 5528
Foreside Fund Services, LLC/Investment Managers Series Trust 4985
Foreside Fund Services, LLC/Liberty Street Funds 6767
Foreside Fund Services, LLC/Manor Investment Funds, Inc. 5709
Foreside Fund Services, LLC/Nomura Partners Funds, Inc. 5426
Foreside Fund Services, LLC/Performance Trust 5324
Foreside Fund Services, LLC/Perimeter Funds 3348
Foreside Fund Services, LLC/PMC Funds 5747
Foreside Fund Services, LLC/Polen Capital Investment Funds PLC 7071
Foreside Fund Services, LLC/Quaker Funds 4885
Foreside Fund Services, LLC/Ramius Funds 7063
Foreside Fund Services, LLC/Roxbury Funds 6620
Foreside Fund Services, LLC/Salient MF Trust 3351
Foreside Fund Services, LLC/Sound Shore Funds 5649
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Foreside Funds Distributors LLC/Bogle Investment Management Funds of the RBB Fund, Inc. 5818
Foreside Funds Distributors LLC/E.I.I. Realty Securities Trust 6579
Foreside Funds Distributors LLC/Fairholme Funds, Inc. 4870
Foreside Funds Distributors LLC/Fundvantage Trust 5611
Foreside Funds Distributors LLC/Guidestone Funds 5782
Foreside Funds Distributors LLC/Highland Funds 5979
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Foreside Funds Distributors LLC/Matson Family, Inc. Funds of the RBB Fund, Inc. 5947
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Foreside Funds Distributors LLC/Sterling Capital Funds 5648
Foreside Funds Distributors LLC/Stratton Family of Funds 5448
Foreside Funds Distributors LLC/The Motley Fool Funds Trust 6594
Foreside Funds Distributors LLC/The RBB Fund, Inc. 5808
Foreside Funds Distributors LLC/The Torray Funds 6554
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Foreside Funds Distributors LLCConsulting Group Capital Markets Funds		5422		
Foreside Funds Distributors LLCFirst Puerto Rico Family of Funds		5547		
Foresight Investments, LLC	FITE		0443†	CNS
Forest Securities, Inc.	FORE	0235Δ	0235†	CNS
Forester Funds, Inc.		4949		
Foresters Equity Services, Inc.		0149		
Forethought Life Insurance Company		5339		
Forethought Life Insurance Company Forethought Life Distributors, LLC		7012		
Forge Financial Group Inc.	FRGP	0750Δ	0750†	CNS
Forsyth Securities	FSII	0235Δ	0235†	CNS
Fortrend Securities, Inc.	FORI	0052Δ	0052†	CNS
Forward Securities, LLC		5889		
401Kstore.com (The)		7129		
Four Points Capital Partners LLC	AFSS	0750Δ		
Fox Run Alpha Fund, L.P.	FOXN	0352Δ	0352†	CNS
Fox, Reusch & Co., Inc.	FOXR		6851	TFT
FPA Fund Distributors, Inc.		5851		
Frank Funds		6671		
Franklin Securities, LLC	FRSE		0443†	CNS
Franklin Street Securities, Inc.	FSST	0443Δ	0443†	CNS
Franklin/Templeton Distributors, Inc.		5534		
Frazer Lanier Company (The)	FLCO		6909	TFT
Fred Alger & Co., Inc./Alger Sicav		4950		
Fred Alger & Company, Incorporated		5594		
Fred Alger & Company, Incorporated	ALGR	0158Δ		
Freedom Investments, Inc.	FDMI	0571Δ	0571†	CNS
Freedom Investors Corp.	FRIC	0279Δ	0279†	CNS
Freimark Blair & Co., Inc.	FBLC	0161Δ	0161†	CNS
Fried (Albert) & Company, LLC	AFCO	0284	0284	CNS
Friedman Luzzatto & Co	FRLZ		0103†	CNS
Friedman, Billings, Ramsey & Co., Inc.	FBRC	0352Δ	0352†	CNS
Frontegra Funds, Inc.		5507		
Frontier Financial Consultants, Inc.	FFCI		0443†	CNS
Frontier Trust Company		2563		
Frontier Trust Company/P.J. Robb Variable Corp.		6531		
Frost Bank	FROS		6751	TFT
Frost Brokerage Services, Inc.	CUFT	0226Δ	0226†	CNS
FRS Securities, LLC	FSEC		0161†	CNS
FSC Securities Corp.		0169		
FSC Securities Corporation	FSCN		0226†	CNS
FSC Securities Corporation/Advantage Capital		0191		
FSC Securities Inc.	FSCS	0443Δ	0443†	CNS
FTN Financial Services Corp.	FTSC	0202	0202	CNS
Fulcrum Securities, Inc.	OFKS	0443Δ		
Fundamental Capital Management LLC		1302		
FundQuest Incorporated		3910		
Funds Distributor, LLC		5497		
Funds Distributor, LLC/GMO Series Trust		3601		
Funds Distributor, LLC/GMO Trust		6680		
Funds Distributor, LLC/MIRAE Asset Discovery Funds		5322		
Funds Distributor, LLC/Munder Funds		5617		
Further Lane Securities L.P.	FARS	0443Δ		
Fusion Analytics Securities LLC	INDX	0103Δ		
G&L Partners, Inc.	GNLP	0103Δ		
G. F. Investment Services, LLC	GFBD		0226†	CNS
G.A. Repple & Company		4076		
Gabelli & Company, Inc.	GABE	0141Δ	0141†	CNS
Gabelli Funds - (NFDS)		5574		
Gage-Wiley & Co., Inc.	GGWI		0141†	CNS
Gagnon Securities LLC	GAGN	0352Δ		
Galloway Capital Management Ltd.		3434		
GAM Fund Management LTD		3605		
Gar Wood Securities, LLC	GARW	0352Δ	0352†	CNS
Gar Wood Securities, LLC	GWDS	0158Δ		
Garbacz Group Inc. (The)	JGII		0443†	CNS
Garden State Securities Inc.	GRST	0235Δ	0235†	CNS
Gardner Financial Services Inc.	GAFI	0235Δ	0235†	CNS
Gardner Rich, LLC	GDNR	0100Δ	0100†	TFT
Gardnyr Michael Capital, Inc.	GMCI	0750Δ	0750†	CNS
Garrett (Andrew) Inc.	ANDG	0235Δ	0235†	CNS
Garrett Nagle & Company, Inc.	GARR	0226Δ	0226†	CNS
Gates Capital Corporation	GATS	0443Δ	0443†	CNS
Gatten Sadowski Inc	GSAD		0235†	CNS
Gaye (C.E.) & Sons Securities, Ltd.	PUMP		0141†	CNS
GBM International, Inc.	GBMT	0443Δ	0443†	CNS
GBM International, Inc.	GBMS	0158Δ		
GBS Financial Corporation	GBSL	0103Δ		
GE Financial Trust Company		5970		
GE Investment Distributors, Inc.		5679		
Gelber Securities, LLC	GELB	0695Δ		
Gelber Securities, LLC	GLBY	0695Δ		
Gemini Fund Services, LLC		1919		
Gemini Fund Services, LLC		1921		
Gemini Fund Services, LLC		1922		
Gemini Fund Services, LLC		1924		
Gemini Fund Services, LLC		1927		
Gemini Fund Services, LLC		1931		
Gemini Fund Services, LLC		1933		
Gemini Fund Services, LLC		3585		
Gemini Fund Services, LLC		3586		
Gemini Fund Services, LLC		3587		
Gemini Fund Services, LLC		3589		
Gemini Fund Services, LLC		3845		
Gemini Fund Services, LLC		3854		
Gemini Fund Services, LLC		3855		
Gemini Fund Services, LLC		3858		
Gemini Fund Services, LLC		3859		
Geneos Wealth Management, Inc		0244		
General Securities Corp.	GENS	0279Δ		
Genesis Securities, LLC	GNDS	0158Δ	0158†	CNS
Genuity Capital Markets USA, Corp	GCMU	0158Δ		
Genworth Financial Securities Corporation		0471		

Genworth Life and Annuity Insurance Company		4525		
Genworth Life and Annuity Insurance Company		5752		
Genworth Life Insurance Company		4586		
Genworth Life Insurance Company of New York		4553		
Geoffrey Richards Securities Corp.	TAIG	0235Δ	0235†	CNS
Georgeson Shareholder Securities Corp.	GSSC	0161Δ	0161†	CNS
GETCO Execution Services, LLC	GFLO	0530		
GETCO Execution Services, LLC	GESX	0530Δ		
GETCO Securities, LLC	GETS	0103Δ		
GFI Securities LLC	GFIG		0443†	CNS
GFI Securities, LLC	GFIS	0501Δ		
Gilbert, Doniger Co., Inc.	GDCO		0443†	CNS
Gilboy (P.R.) & Associates, Inc.	PRGA	0279Δ	0279†	CNS
Gilder, Gagnon, Howe & Co., LLC	GILG	0352Δ	0352†	CNS
Gilford Securities Incorporated - Retail	RGIL	0141Δ	0141†	CNS
Gill Capital Partners	GLLL	0309Δ	0309†	CNS
Girard Securities, Inc.	GIRL	0226Δ	0226†	CNS
Girard Securities, Inc.		0415		
GIT Investment Services, Inc.	GIMM		0226†	CNS
Gladowsky Capital Management Corp.	GCMC	0443Δ	0443†	CNS
Glazer (C.L.) & Co.	CLGL	0443Δ		
Gleacher & Company Securities, Inc.	BPSG	0443Δ	0443†	CNS
Gleacher & Company Securities, Inc.	GLCH	0443Δ		
Glendale Securities, Inc.	GLEN	0158Δ	0158†	CNS
Glenmede Trust Company, N.A. (The)		2139		
Global Brokerage Services, Inc.	GSBI	0279Δ	0279†	CNS
Global Credit Partners, LLC	GCPL	0158Δ	0443†	CNS
Global Direct Equities, LLC	GDEQ	0103Δ		
Global Financial & Remarketing Services, LLC		3503		
Global Financial Services, Inc.	GFSI	0352Δ	0352†	CNS
Global Hunter Securities LLC	GLBL	0501Δ		
Global Investor Services, L.C.	GISJ	0352Δ	0352†	CNS
Global Investor Services, LLC	HBCO	0443Δ	0443†	CNS
Global Maxfin Capital Inc./CDS	GMAX	5026●		
Global Resource Investments, Ltd.	GRIL	0235Δ		
Global Securities Corporation/CDS	GSCF	5069●		
Global Strategic Investments, LLC	GSIL	0158Δ	0158†	CNS
Global Strategic Investments, LLC	GSRI		0443†	CNS
Global Strategic Investments, LLC	GSIC	0052Δ		
Global Trust Company		5915		
Global Trust Company/BHMS		7039		
Global Trust Company/NRS		5311		
Global Trust Company/NTRS		5357		
Global-American Investments, Inc.	GAME	0158Δ	0158†	CNS
Global-American Investments, Inc.	SEGB	0824Δ		
Globalink Securities, Inc.	PSRI	0158Δ	0158†	CNS
Glynn (J.A.) & Co.	GLYN	0443Δ	0443†	CNS
GMP Securities L.P./CDS	GMPS	5016●		
GMP Securities, LLC	MITR	0352Δ	0352†	CNS
GMS Group (The), LLC	GMSG	0443Δ	0443†	CNS
Gold Coast Securities, Inc.		4083		
Goldberg (Gary) & Co., Inc.	GRYG		0226†	CNS
Golden Beneficial Securities	GOBE	0158Δ	0158†	CNS
Goldman Sachs & Co.		5572		
Goldman Sachs & Co./Commerce Funds		5799		
Goldman Sachs & Co./Goldman Sachs International Funds		6570		
Goldman Sachs Execution & Clearing	BZZH	0501Δ		
Goldman Sachs Execution & Clearing	GSFF	0501Δ		
Goldman Sachs Execution & Clearing	GSGG	0501Δ		
Goldman Sachs Execution & Clearing	GSII	0501Δ		
Goldman Sachs Execution & Clearing	GSLL	0501Δ		
Goldman Sachs Execution & Clearing	GSMM	0501Δ		
Goldman Sachs Execution & Clearing	GSPP	0501Δ		
Goldman Sachs Execution & Clearing	GSQQ	0501Δ		
Goldman Sachs Execution & Clearing	GSRR	0501Δ		
Goldman Sachs Execution & Clearing	GSSS	0501Δ		
Goldman Sachs Execution & Clearing	GSTT	0501Δ		
Goldman Sachs Execution & Clearing	GSUU	0501Δ		
Goldman Sachs Execution & Clearing	GSVV	0501Δ		
Goldman Sachs Execution & Clearing	GSWW	0501Δ		
Goldman Sachs Execution & Clearing	GSXX	0501Δ		
Goldman Sachs Execution & Clearing	GSYY	0501Δ		
Goldman Sachs Execution & Clearing	GSZZ	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	SILK	0501	0501	CNS
Goldman Sachs Execution & Clearing, L.P.	BZYE	0501Δ	0501†	CNS
Goldman Sachs Execution & Clearing, L.P.	BTGG	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	BZWV	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	BZWW	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	BZWX	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	BZYD	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	BZYF	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	BZYG	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	BZYH	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	BZYJ	0501Δ		

Goldman Sachs Execution & Clearing, L.P.	BZZK	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	BZZN	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	GSAA	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	GSBB	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	GSCC	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	GSDD	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	GSEE	0501Δ		
Goldman Sachs Execution & Clearing, L.P.	SGMA	0501Δ		
Goldman Sachs International Ltd.		5208		
Goldman, Sachs & Co.	GSCO	0005	0005	CNS
Gordon Hasket & Co.	GHAS	0226Δ	0226†	CNS
Gordon, Haskett Capital Corporation	GHCC	0226Δ	0226†	CNS
Gorian Investment Group	GTRN		0141†	CNS
Gotham Lawrence Corporation	GOLA	0750Δ		
GP Feeder Fund Management, LLC		1849		
GP Nurmenkari, Inc.	GPNI	0284Δ		
Grace Financial Group LLC	GFML	0161Δ	0161†	CNS
Grace Financial Group LLC	GFMS	0050Δ		
Grace Financial Group LLC	GFGO	0501Δ		
Grace Financial Group, LLC	GFGL	0158Δ	0158†	CNS
Grace Financial Group, LLC	GFGP	0158Δ	0158†	CNS
Grace Financial Group, LLC	GFGH	0501Δ	0501†	CNS
Grace Financial Group, LLC	MVPF	0501Δ		
Graham (B.B.) & Company	BBGC	0235Δ	0235†	CNS
Gramercy Securities, Inc.	SCRI	0750Δ		
Granite Financial Group, Inc.	GFIN	0158Δ		
Granite Financial Group, Inc.	GFNC	0158Δ		
Grant Williams, L.P.	GWLP	0309Δ	0309†	CNS
GRB Financial LLC	GRBF	0750Δ	0750†	CNS
Great American Advisors, Inc.	AGSS		0443†	CNS
Great American Life Insurance Company		5949		
Great Lakes Fund Solutions, Inc.		1780		
Great Lakes Fund Solutions, Inc.		1782		
Great Nation Investment Group	GNTS	0309Δ	0309†	CNS
Great Pacific Securities	GPSS	0161Δ	0161†	CNS
Great Point Capital LLC	MAVB	0103Δ		
Greater Community Financial LLC	GRCF		0443†	CNS
Great-West Life & Annuity Insurance Company		4735		
Great-West Life & Annuity Insurance Company of New York		4736		
Great-West Life & Annuity Insurance Company of New York/American Funds Distributors Inc.		3334		
Great-West Life & Annuity Insurance Company/American Funds Distributors Inc.		3332		
Green Street Advisors, Inc.	GNAV	0443Δ		
Greenberg Financial Group	NEHL	0235Δ	0235†	CNS
Greenman, Parker, Connally, Greenman, Inc.	GPCG		0443†	CNS
Greentree Brokerage Services, Inc.	GBSI	0443Δ	0443†	CNS
Greenwich Financial Services LLC	GFSL	0161Δ	0161†	CNS
Greenwich Prime Trading Group, LLC	GPTG	0501Δ		
Grenel & Company	GRNL	0501Δ		
Grey Wolf Execution Partners, Inc.	GWEP	0103Δ		
GRF Capital Investors Inc.	GRFC	0235Δ	0235†	CNS
Griffin Capital Securities, Inc.		1939		
Griffin Financial Group, LLC	GRFN	0103Δ		
Griffin Securities, Inc.	GSEC	0100Δ	0100†	TFT
Griffith (M.) Locke Investment Services, Inc.	GRIF		0141†	CNS
Griffon May, Inc.	MACP		0443†	CNS
Griswold Company, Inc.	GRIS	0352Δ	0352†	CNS
Grodsky Associates, Inc.	GROD		0226†	CNS
GRW Capital Corp.	GRWC		0443†	CNS
GSECLP/Equities Execution		0048		
GSECLP/Kellogg Capital		0399		
GSECLP/Princeton Securities Group		0151		
G-Trade Service, LLC	GTRD	0443Δ		
G-Trade Services LLC	ADRD	0161Δ		
Guardian Insurance & Annuity Company, Inc. (The)		4533		
Guardian Insurance & Annuity Company, Inc./Fund Trading		4637		
Guardian Investor Services LLC		5573		
Guggenheim Distributors, LLC		4912		
Guggenheim Funds Distributors, Inc.	CLYM		0526	CNS
Guggenheim Investment Advisors, LLC		1792		
Guggenheim Securities LLC		0181		
Guggenheim Securities, LLC	GUGG	0352Δ	0352†	CNS
Guggenheim Securities, LLC	LNKS		0443†	CNS
Guggenheim Securities, LLC	GUGS	0443Δ		
Gunnar (Joseph) & Co., LLC	JGUN	0226Δ	0226†	CNS
Guzman & Company	GZML	0161Δ	0161†	CNS
Guzman & Company	GUZM	0501Δ	0501†	CNS
GVC Capital LLC	BCPI	0309Δ	0309†	CNS
GWFS Equities, Inc.		0257		
GWFS Equities, Inc.		5330		
GWFS Equities, Inc./Commission Product		3354		
GWFS Equities, Inc./Orchard Trust Company LLC		3702		
GWG Holdings, Inc.		1835		
GWM Group, Inc.	RLCC	0226Δ	0226†	CNS
H. Beck, Inc.	HBCK		0443†	CNS
H. G. Wellington & Co., Inc.	WELC	0702Δ	0702†	CNS
H.C. Denison Co.	DENI	0279Δ	0279†	CNS
H.C. Wainwright & Co., Inc.	WAIN	0158Δ	0158†	CNS
H.D. Vest Investments Services	HDVS		0141†	CNS
Hahn Securities, Inc.	WMPB	0750Δ	0750†	CNS
Halcyon Cabot Partners, Ltd.	BBHP	0158Δ	0158†	CNS
Halcyon Cabot Partners, Ltd.	HCBD	0052Δ		
Halen Capital Management, Inc	HACL	0235Δ	0235†	CNS

Halen Capital, Inc.	HCPL	0052Δ	0052†	CNS
Hallmark Investments, Inc.	HMRK	0235Δ	0235†	CNS
Hampton Securities (USA), Inc.	HMPT	0235Δ	0226†	CNS
Hancock Investment Services, Inc.	HANK		0226†	CNS
Hancock Securities Group, LLC	DHAN		0443†	CNS
Hand Benefits & Trust Company		4866		
Hand Benefits & Trust Company		5950		
Hand Securities, Inc.	AIRS	0309Δ	0309†	CNS
Hanson McClain	HMRN		0226†	CNS
Hantz Financial Services, Inc.		0614		
Hantz Financial Services, Inc.	HAFS	0279Δ		
Hapoalim Securities USA, Inc.	ITEC	0443Δ	0443†	CNS
Hapoalim Securities USA, Inc.	POLI	0443Δ	0443†	CNS
Harbor Funds Distributors, Inc.		5471		
Harbour Investments Inc.	HARB		0443†	CNS
Hardcastle Trading USA, LLC	HCTA	0501Δ	0501†	CNS
Harger and Company, Inc.	HRGR	0235Δ	0235†	CNS
Harris (Chester) & Co., Inc.	CHHS		0141†	CNS
Harris Investors Services, Inc.	HRRS		0443†	CNS
Hart (LJ) & Company	LJHT		0443†	CNS
Hartfield, Titus & Donnelly, LLC	HFLD		0443†	CNS
Hartford Investment Financial Services Co.		4856		
Hartford Investment Financial Services Co./Upromise		5892		
Hartford Life Insurance Company (The)		4501		
Hartford Life Insurance Company/Corporate Business		4662		
Hartford Life Insurance Company/Government Business		4663		
Hartford Life Insurance Company/Life Business		4658		
Hartford Life Insurance Company/Mutual Fund		4599		
Hartford Securities Distribution Company, Inc.		0765		
Hartford Securities Distribution Company, Inc./PRG		5920		
Harvard Property Trust, LLC		1622		
Harvard Property Trust, LLC		1628		
Harvard Property Trust, LLC		1634		
Harvard Property Trust, LLC		1636		
Harvard Property Trust, LLC		3683		
Harvest Capital LLC	HACA	0701Δ	0701†	CNS
Harvest Financial Corporation	HVST	0235Δ	0235†	CNS
Harvestons Securities, Inc.	HARS	0226Δ	0226†	CNS
Havkit Corporation	HAVK	0052Δ		
Hayden Stone & Co., LLC	HAST	0052Δ		
Haywood Securities (USA) Inc.	HAYV	0279Δ	0279†	CNS
Haywood Securities Inc.	HASF	5058●		
Hazlett, Burt & Watson, Inc.	HAZL	0226Δ	0226†	CNS
Hazlett, Burt & Watson, Inc.		0252		
HBK Finance, LP	HBKI		0352†	CNS
HCFP/Brenner Securities, LLC	HCBR	0158Δ	0158†	CNS
Heartland Investment Associates	HIAI		0226†	CNS
HedgeCount, LLC		3487		
HedgeCount, LLC/Hutchinson Capital Partners, LLC		3489		
Hefren-Tillotson, Inc.	HEFR	0443Δ	0443†	CNS
Hefren-Tillotson/Shelby, Kern, Frederick and Shelby Div.	SKFS		0443†	CNS
Heidtke & Company, Inc.	BUZZ		0141†	CNS
Height Securities, LLC	HITS	0443Δ		
Henley & Company LLC	HENY	0443Δ	0443†	CNS
Hennig (Carl M.) Inc.	HENN	0235Δ	0235†	CNS
Hennion & Walsh, Inc.	NHWI		0141†	CNS
Heritage Financial Systems, Inc.	HFSB	0235Δ	0235†	CNS
Herndon Plant Oakley	HPOL		0141†	CNS
Herold (Bernard) & Co.	HERO	0443Δ	0443†	CNS
Hewitt Associates LLC		7107		
Hexagon Securities, LLC	HXGN		0443†	CNS
HFI Securities, Inc.	HFIS		0141†	CNS
HFP Capital Markets LLC	HFPC	0158Δ	0158†	CNS
Higgins Capital Management, Inc.	HIGG	0235Δ	0235†	CNS
High Point Capital Group, Inc.	COHI		0226†	CNS
Highlander Capital Group, Inc.	HIGH	0443Δ	0443†	CNS
Hightower Securities, LLC	HTWR		0226†	CNS
Hightower Securities, LLC	HTJP	0352Δ	0352†	CNS
HighTower Securities, LLC		6017		
Hill Thompson Magid & Co Inc.	HILF		0443†	CNS
Hines Securities, Inc.		3584		
HLM Securities, Inc.	HLMS	0727Δ	0727†	CNS
HM Capital Management LLC		3431		
Hold Brothers Capital LLC		0430		
Hollencrest Securities, LLC	HLLC	0352Δ	0352†	CNS
Home Financial Services, Inc.	VOLS		2447	TFT
Homestead Funds, Inc.		6553		
Horace Mann Life Insurance Company		3637		
Hornor, Townsend & Kent, Inc.	HOTO		0443†	CNS
Hornor, Townsend & Kent, Inc.		0326		
Horwitz & Associates, Inc.	HORW	0352Δ		
Howells (M.S.) & Co.	HWLS	0443Δ	0443†	CNS
HRC Investment Services, Inc.	HRCS		0443†	CNS
HSBC Bank USA, N.A./IPB		2122		
HSBC Bank USA, National Association		2165		
HSBC Bank,USA, N.A./Buffalo IPS		2049		
HSBC Securities (USA) Inc.	HSBK	0816	0816	CNS
HSBC Securities (USA), Inc./Fixed Income Securities	HSBM		0486	CNS
HSBC Securities (USA), Inc./Stock Loan	HSBC	0816Δ		
Hudson Valley Capital Management	SECT	0158Δ	0158†	CNS
Hugo Marx & Co., Inc.	MARX		6741	TFT
Humbard, James W.	JWHJ	0052Δ		
Hunter Associates Inc.	HNTR	0443Δ	0443†	CNS
Hunter Scott Financial, LLC	PLCP	0750Δ		
Hunter World Markets, Inc.	WRLD		0235†	CNS
Huntingon National Bank		2305		
Huntington Asset Services, Inc.		1838		
Huntington Asset Services, Inc.		1839		
Huntington Asset Services, Inc.		1843		
Huntington Asset Services, Inc.		3581		
Huntington Asset Services, Inc.		3582		

Huntington Investment Company	HUCO	0226Δ	0226†	CNS
Huntington National Bank/Traditional Insurance		5383		
Hutchinson, Shockey, Erley & Co.	HUTC		6963	TFT
HWJ Capital Partners II, LLC	HWJC	0052Δ		
I-Bankers Securities, Inc.	MILE	0052Δ		
IBERIA Capital Partners L.L.C.	IBER	0226Δ	0226†	CNS
ICAP Corporates LLC	GARB	0148	0148	CNS
ICAP Corporates LLC	GARC	0501Δ		
ICAP Corporates, LLC	GARQ	0501Δ	0161†	CNS
ICAP Corporates, LLC	GARL	0501Δ		
ICAP Corporates, LLC	GARZ	0501Δ		
ICD Securities	ICDS	0750Δ		
ICE Execution Services LLC	ICEX	0443Δ		
ICM Securities, LLC	ICMN	0501Δ		
ICMA-RC Services, LLC		0282		
ICMA-RC Services, LLC		4942		
ICON Capital Corp.		3592		
Icon Distributors, Inc.		5549		
ICP Securities LLC	ICPX		0443†	CNS
IFC Holding, Inc./Invest Financial Corporation		0566		
IFC Holdings, Inc.	ISFA		0226†	CNS
IFMG Securities, Inc.	LBSC		0226†	CNS
IIG Horizons Securities LLC	IIGH		0226†	CNS
IJL Financial Advisors, LLC	TRUM	0158Δ	0158†	CNS
Illustro Trading LLC	ILUS	0541Δ		
Imperial Capital, LLC	IMPC	0443Δ	0443†	CNS
IMS Securities Inc.	IMSS	0279Δ		
Incapital, LLC	INCP	0235Δ	0235†	CNS
Independent Bankers' Bank of Florida	IBBF		6778	TFT
Independent Brokers LLC	INDE		0226†	CNS
Indiana Merchant Banking & Brokerage Co., Inc.	IMBB	0279Δ	0279†	CNS
Indiana Securities, LLC	INDI	0235Δ	0235†	CNS
Industrial and Commerical Bank of China Financial Services LLC	ICBK	0388	0388	CNS
Industrial and Commerical Bank of China Financial Services LLC/Equity Clearance	IBFS	0824	0824	CNS
Industrial Income Trust Inc.		3495		
Infinex Investments Inc.		0113		
Infinity Financial Services	NFTY	0235Δ	0235†	CNS
Infinium Securities, Inc.	INFC	0158Δ		
ING Financial Markets LLC	INGG	0270Δ		
ING Financial Markets, LLC	INGF	0270		
ING Financial Partners, Inc.		0492		
ING Financial Partners, Inc./LSSI		0465		
ING Institutional Plan Services, LLC		7108		
ING Investment Advisors, LLC		0291		
ING Investment Advisors, LLC/TPA Accounts		0591		
ING Investment Management Services LLC	FSFS	0352Δ	0352†	CNS
ING Investments Distributor, LLC		5734		
ING Investments Distributor, LLC/ING IODC		5859		
ING Investments Distributor, LLC/ING Offshore		5473		
ING Investments Distributor, LLC/Iowa Advisor 529 Plan		7065		
ING Investments Distributor, LLC/Wisconsin Tomorrow's Scholar 529 Plan		3379		
ING Life Insurance and Annuity Company		4508		
ING Life Insurance and Annuity Company/Fund Serv/Trading		4575		
ING Life Insurance and Annuity Company/ING Financial Solutions		4740		
ING Life Insurance and Annuity Company/INVGVA		4635		
ING Life Insurance and Annuity Company/Retirement (Sub)		7026		
ING National Trust Company		2360		
ING National Trust Company/IFS		5377		
ING Private Wealth Management LLC	INGW		0443†	CNS
ING USA Annuity and Life Insurance Company		4506		
ING USA Annuity and Life Insurance Company/Mutual Funds Trading		4510		
Ingalls & Snyder, LLC	INGS	0124	0124	CNS
Inland Real Estate Investment Corporation		1640		
Inland Real Estate Investment Corporation		1643		
Inland Real Estate Investment Corporation		1872		
Inland Real Estate Investment Corporation		3835		
Inlet Securities, LLC	LLSI	0750Δ	0750†	CNS
Instinet, LLC	INAT	0067Δ		
Instinet, LLC	INBS	0067Δ		
Instinet, LLC	INHC	0067Δ		
Instinet, LLC	INML	0067Δ		
Instinet, LLC	INMP	0067Δ		
Instinet, LLC	INTU	0067Δ		
Instinet, LLC	INTW	0067Δ		
Instinet, LLC	INAR	0436Δ		
Instinet, LLC	INJX	0536Δ		
Instinet, LLC	INCA	0067		
Institutional Capital Management, Inc.	ICMH	0235Δ	0235†	CNS
Institutional Investor Trust		3709		
Institutional Securities Corporation	ISCN	0279Δ	0279†	CNS
Insurance Technologies, LLC		6407		
Integral Financial, LLC	INFI	0279Δ		
Integrity Brokerage Services, Inc.	IBSH	0595Δ	0595†	CNS
Integrity Brokerage Services, Inc.	IBSJ	0595Δ	0595†	CNS
Integrity Funds Distributor, LLC		5657		
Integrity Life Insurance Company		4549		
Interactive Brokers Corp.	IBCO		0534†	CNS
Interactive Brokers LLC	IBKR	0017		
Interactive Brokers, LLC/Retail Clearance	IBKR		0534	CNS

Intercarolina Financial Services, Inc.	ICFS		0226†	CNS
Intercontinental Asset Management Group, Ltd.	ICON		0443†	CNS
Intermerchant Securities LLC	IMSL	0052Δ	0052†	CNS
International Assets Advisory, LLC	INTA		0226†	CNS
International Assets Advisory, LLC	INTX		0226†	CNS
International Correspondent Trading, Inc.	ICTI	0226Δ	0226†	CNS
International Strategy & Investment Group, Inc.	ISIG	0352Δ	0352†	CNS
International Strategy & Investment Group, Inc.		5591		
Internet Capital Markets Corporation	ICMC	0158Δ		
Interpacific Investors Services, Inc.	IPAC	0226Δ	0226†	CNS
InterTrading USA Securities, Inc.	ITUS	0309Δ	0309†	CNS
Intervest International Equities Corporation	IVST		0443†	CNS
Intl FC Stone Securities Inc.	FCST	0443Δ		
INTL Trading, Inc.	INTL	0161Δ	0161†	CNS
InTrade, LLC	ITLC	0238Δ		
Invemed Associates, LLC	INVM	0352Δ	0352†	CNS
Invesco Capital Markets, Inc.	VKMI	0692	0692	CNS
Invesco Distributors, Inc.		5540		
Invesco Distributors, Inc./AIM Global Advisors Limited		4882		
Invesco Distributors, Inc./Fund Management Co.		5450		
Invesco Distributors, Inc./Offshore		6592		
Investacorp, Inc.	ARCH	0226Δ	0226†	CNS
Investacorp, Inc.	INVE	0352Δ	0352†	CNS
Investacorp, Inc.		0138		
Investec Securities (U.S.) LLC	INVC	0443Δ	0443†	CNS
Investment Center (The)		0318		
Investment Center, Inc. (The)	ICEN	0443Δ	0443†	CNS
Investment Centers of America, Inc.		0565		
Investment Centers of America, Inc.		1868		
Investment Management Corporation	KCIM	0235Δ	0235†	CNS
Investment Management Services, Inc.	IMSI	0727Δ		
Investment Network, Inc.	IVNT	0235Δ	0235†	CNS
Investment Partners Asset Management		3424		
Investment Placement Group	IPGR	0352Δ		
Investment Planners Inc.	INPI	0235Δ	0235†	CNS
Investment Professionals, Inc.	INPR		0443†	CNS
Investment Professionals, Inc.		6052		
Investors Capital Corporation	ICCP	0443Δ	0443†	CNS
Investors Capital Corporation		6016		
Investors Securities Services, LLC	ISSC		0443†	CNS
Invex, Inc.	IVEX	0352Δ		
IPO Capital	IPOC	5039Δ		
Ironstone Securities Inc.	ISSB		0443†	CNS
Isaak Bond Investment, Inc.	IAAK	0279Δ	0279†	CNS
ISERoute, LLC	SPDR	0100Δ		
ISI Capital, LLC	ISIL	0103Δ	0103†	CNS
Island Traders Securities	ITSI	0158Δ		
Israel A. Englander & Co., Inc.	IAEA	0352Δ	0352†	CNS
Israel A. Englander & Co., LLC	IAEC	0551Δ		
Itau Europa Securities Inc.	IESI	0443Δ	0443†	CNS
Itau Securities, Inc.	ITAU	0443Δ		
ITB Securities, LLC	ITBS	0750Δ	0750†	CNS
ITG Canada	ITGC	5059Δ		
ITG, Inc.	ITGI	0099		
ITradedirect.com Corp.	ITDC	0750Δ	0750†	CNS
IVY Securities, Inc.	IVYS	0100Δ		
J&C Global Securities, LLC	MEAT	0052Δ		
J. Scott Securities Corp.	JSSC		0352†	CNS
J. W. Cole Financial, Inc.	JWCF		0226†	CNS
J.D. Nicholas & Associates, Inc.	FRIS	0052Δ	0052†	CNS
J.J.B Hilliard, W.L.Lyons, LLC	HLHC		0768†	CNS
J.J.B. Hilliard, W.L. Lyons, LLC	HDLY	0768	0768	CNS
J.K. Chilton & Associates, Inc.	JKCA		0103†	CNS
J.K. Financial Services, Inc.	JKFS	0279Δ	0279†	CNS
J.K.R. & Co., Inc.	JKRC		0443†	CNS
J.M. Lummis Securities, Inc.	JMLS	0388Δ		
J.P. Morgan Clearing Corp.	BSSC	0352	0352	CNS
J.P. Morgan Clearing Corp./ Burke Trading, LP		3827		
J.P. Morgan Clearing Corp./ Showel Capital, LP		3887		
J.P. Morgan Clearing Corp./Jane Street Capital, LLC		3837		
J.P. Morgan Clearing Corp./Option Opportunities		3809		
J.P. Morgan Institutional Investments, Inc.		4871		
J.P. Morgan Securities LLC	CHSI		0187	CNS
J.P. Morgan Securities LLC	JPMT	0352Δ	0352†	CNS
J.P. Morgan Securities LLC/Chase Insurance Group		0470		
J.P. Morgan Securities LLC/CISC		0432		
J.P. Morgan Securities LLC/Middle Markets		0686		
J.P. Morgan Securities LLC/NYSE		0060		
J.P. Morgan Securities LLC/Oppenheimer 401K		0758		
J.P. Morgan Securities LLC/Private Client Services Div		0406		
J.P. Morgan Securities LLC/Small Business		0693		
J.P. Morgan Securities, Inc.	BEAR	0352Δ	0352†	CNS
J.P. Morgan Securities, Inc.	BEST	0352Δ	0352†	CNS
J.P. Morgan Securities, Inc.	JPME	0352Δ		
J.P. Morgan Securities, Inc.	JPMG	0352Δ		
J.P. Morgan Securities, Inc.	JPMP	0352Δ		
J.P. Morgan Securities, Inc.	JPMS	0352Δ		
Jack V. Butterfield Investments Company	JVBF	0235Δ	0235†	CNS
Jackson National Life Insurance Company		4552		
Jackson National Life Insurance Company of New York		4584		
Jackson National Life Insurance Company/Brooke Life		4581		
Jackson Partners & Associates Inc.	JPTR	0100Δ	0100†	TFT
Jackson Securities LLC	JACK		0443†	CNS
James Baker & Associates, L.P.	BAKR		6069	TFT

Janco Partners Inc.	JANC		0443†	CNS
Jane Street Capital, LLC	JASC		0352†	CNS
Jane Street Markets, LLC	JANE	0352Δ	0352†	CNS
Jane Street Markets, LLC	JANS	0352Δ		
Jane Street Markets, LLC	JSPT	0352Δ		
Jane Street Markets, LLC	NASJ	0352Δ		
Jane Street Markets, LLC	JSOP	0551Δ		
Janney Montgomery Scott LLC	JANY	0374	0374	CNS
Janney Montgomery Scott LLC	JMSI	0374Δ		
Janus Distributors LLC		5781		
Janus Distributors LLC/Janus Capital International Limited		5467		
Jaypee International, Inc.	JPII	0049Δ		
JB Drax Honroe, Inc.	JBDH	0158Δ		
Jefferies & Co., Inc.	BDIR	0352Δ	0352†	CNS
Jefferies & Company, Inc.	PJEF	0443Δ	0443†	CNS
Jefferies Bache Securities, LLC	PGSL	0226Δ	0226†	CNS
Jefferies Execution Services, Inc.	JEFX	0536Δ		
Jefferies LLC	JEFF	0019	0019	CNS
Jefferies LLC/Jefferies Execution Services, Inc./Service Bureau	WDSB	0536		
Jefferson National Life Insurance Company		4573		
Jeffrey Matthews Financial Group, LLC	JEFM	0235Δ	0235†	CNS
Jeffries Partner Opportunity Fund II, LLC	JHYT	0019Δ	0019†	CNS
Jettrade, Inc.	JETT		0226†	CNS
JFD Securities, Inc.	JFDS	0161Δ		
JH Darbie & Co. Inc.	JHDR	0052Δ	0052†	CNS
JK Securities, Inc.	JKSI		0750†	CNS
JMP Securities, LLC	JSSF	0352Δ	0352†	CNS
JNK Securities Corp.	JNKK	0161Δ		
John Hancock Funds, LLC		5582		
John Hancock Funds, LLC/MGF Offshore Lux		5133		
John Hancock Life & Health Insurance Company/ LTC		5910		
John Hancock Life Insurance Company (U.S.A)		4513		
John Hancock Life Insurance Company (U.S.A) /LTC		5994		
John Hancock Life Insurance Company (U.S.A)/RPS LLC		3343		
John Hancock Life Insurance Company (USA) / Global Diversification		4716		
John Hancock Life Insurance Company (USA) /Diversified Equity		4714		
John Hancock Life Insurance Company (USA) /Fundamental Holdings		4715		
John Hancock Life Insurance Company (USA)/Group Pension		4661		
John Hancock Life Insurance Company (USA)/Insurance		4660		
John Hancock Life Insurance Company (USA)/RPS Seg Funds & Accounting ET7		4704		
John Hancock Life Insurance Company of New York		4607		
John Hancock Life Insurance Company of New York/RPS SEG Funds & Accounting ET7		4705		
Johnson Investment Counsel, Inc.		3779		
Johnson, Rice & Company LLC	JRCO	0352Δ		
Johnston, Lemon & Co. Incorporated	JOLE	0443Δ	0443†	CNS
Jones (Edward D.) & Co.	EDJO	0057	0057	CNS
Jones Gable & Company LTD./CDS	JNGF	5070●		
JonesTrading	JONE	0161Δ	0161†	CNS
Joseph Grace	ETSB	0235Δ	0235†	CNS
Joseph Stone Capital L.L.C.	JSCL	0052Δ		
JP Morgan Chase Bank/ADP Express Account		0409		
JP Morgan Chase Bank/IA		2357		
JP Morgan Chase Bank/J.P. Morgan InstitutionalInvestments Inc.		5978		
JP Morgan Retirement Plan Services LLC		7147		
JPMCC / Bear Specialist, Inc.		0351		
JPMCC / J.P. Morgan Securities Inc/Specialist		0363		
JPMorgan Chase Bank N.A./JPMorgan Chase Health Savings		0915		
JPMorgan Chase Bank N.A./Worldwide Securities Services Hewitt Accounts		0204		
JPMorgan Chase Bank, N.A. / Custodial Trust Company	CUST	2424		
JPMorgan Chase Bank, National Association		0902		
JPMorgan Chase Bank/PB-PCS Shared Services		6652		
JPMorgan Chase Bank/PCS Shared Services		2255		
JPMorgan Chase Bank/TIAA-CREF		2006		
JPMorgan Distribution Services, Inc.		5599		
JPMorgan Distribution Services, Inc./JPM Off-Shore Funds		5798		
JPMorgan Distribution Services, Inc./NY Advisor 529 Plan		3789		
JRL Capital Corporation	JRLC	0279Δ	0279†	CNS
Jump Trading	TIRE	0352Δ	0352†	CNS
Jump Trading	WINZ	0352Δ	0352†	CNS
Jump Trading	DYSO	0695Δ		
Jump Trading	FIGR	0695Δ		
Jump Trading	JOTA	0695Δ		
Jump Trading	KACS	0695Δ		
Jump Trading	PCKG	0695Δ		
Jump Trading	PXGG	0695Δ		
Jump Trading	WINR	0695Δ		
JVB Financial Group	JVBG	0443Δ	0443†	CNS
K.A. Associates Inc.	KALA	0352Δ	0352†	CNS
K.R. Securities LLC	KRCO	0352Δ	0352†	CNS
Kabrik Trading	KBKF	0695Δ		
Kahn Brothers & Co., Inc.	KBCO	0443Δ		

Kaiser and Company	WYOM	0443Δ	0443†	CNS
Kalos Capital Incorporated	KALO		0226†	CNS
Kashner Davidson Securities Corporation	KASH	0750Δ	0750†	CNS
KBC Financial Products	KBFP	0352Δ	0352†	CNS
KBR Capital Partners LLC		1861		
KBS Capital Markets Group, LLC		1611		
KBS Capital Markets Group, LLC		1612		
KBS Capital Markets Group, LLC		1613		
KBS Capital Markets Group, LLC		1617		
KBS Capital Markets Group, LLC		1621		
KBS Capital Markets Group, LLC		3872		
KCCI, Ltd.	KCCI	0103Δ		
KCCI, Ltd.	KCCP	0103Δ		
Kedem Capital Corp.	KEDM	0352Δ	0352†	CNS
Keefe, Bruyette & Woods, Inc.	KBWI	0793Δ	0793†	CNS
Keeley Investment Corp.	KEEL	0226Δ	0226†	CNS
Keeley Investment Corp.		5661		
Kelley (D.M.) & Co.	DMKC	0141Δ	0141†	CNS
Kellogg Capital Group LLC	PERF	0501Δ		
Kellogg Capital Markets, LLC	KEGG	0501Δ	0501†	CNS
Kelt (The) Group, LLC	TKGA		0226†	CNS
Kenneth, Jerome & Co., Inc.	KJER	0052Δ	0052†	CNS
Kenny Securities Corp.	KENY		0443†	CNS
Kensington Capital Corp.	KENS	0309Δ		
Kercheville & Company	KERC	0443Δ		
Kern, Suslow Securities, Inc.	SLOW	0158Δ	0158†	CNS
Kershner Securities, LLC	KRSH	0501Δ		
Kevin Dann & Partners, LLC	DANN	0158Δ		
Kevin Hart Kornfield & Co., Inc.	KHKC		0226†	CNS
Key Bank N.A.		5608		
Key Investment Services LLC	KISL		0443†	CNS
Key Investment Services LLC		0809		
KeyBanc Capital Markets Inc.	KEYB	0799	0799	CNS
Keybank National Association		2205		
Keystone Securities, LLC	KEYS	0750Δ		
KGS Alpha Capital Markets LLC	KGSA		0443†	CNS
Kildare Capital, Inc.	KCAP	0103Δ	0103†	CNS
Kildare Capital, Inc.	KILD		0103†	CNS
Kiley Partners, Inc.	KCIX	0309Δ	0309†	CNS
Kimelman & Baird, LLC	KIML	0352Δ	0352†	CNS
King (C.L.) & Associates, Inc.	KING	0743	0743	CNS
Kinsell, Newcomb & De Dios, Inc.	KOND	0309Δ	0309†	CNS
Kipling Jones & Co., Ltd	KIPL	0050Δ		
KJM Securities, Inc.	KJMS		0226†	CNS
KLR Group, LLC	KLRG	0100Δ		
KMS Financial Services	KMSF		0443†	CNS
KMS Financial Services, Inc.		4835		
Knight Capital Americas LLC	NITC	0295	0295	CNS
Knight Capital Americas LLC	VABD		0295†	CNS
Knight Capital Americas LLC	NITX	0295Δ		
Knight Capital Americas, L.P.	KELG	0295Δ		
Knight Capital Americas, L.P.	KELL	0295Δ		
Knight Capital Markets, LLC	TRIM	0295Δ	0161†	CNS
Knight Capital Markets, LLC	KCMP	0295Δ		
Knight Equity Markets, L.P.	NITE	0295Δ	0161†	CNS
Knight Equity Markets, L.P.	NITP	0295Δ		
Knight Execution & Clearing Services LLC	DTTX	0295Δ		
Knight Execution & Clearing Services LLC	NITD	0295Δ		
Knight Execution & Clearing Services LLC	QUAD	0295Δ		
Knight Libertas, LLC	LIBE	0443Δ	0443†	CNS
Kohn (L.M.) & Company	LMKO	0235Δ	0235†	CNS
Kohn (L.M.) & Company		0194		
Koonce Securities Inc.	KOSE	0712	0712	CNS
Korth (J.W.) & Company, Inc.	KRTH	0235Δ	0235†	CNS
Kota Global Securities Inc.	KOTA	0158Δ	0158†	CNS
Kovack Securities Inc.	KOVP	0443Δ	0443†	CNS
Kovack Securities, Inc.	KOVS	0226Δ	0226†	CNS
Kovitz Securities, LLC	KVTZ		0443†	CNS
Kramer Securities Corp.	KRAM		0141†	CNS
Krueger Brokerage, Inc.	KRUG	0235Δ	0235†	CNS
Kuhns Brothers Securities Corporation	KUHN	0309Δ	0309†	CNS
Kun-Lun Development Corporation	KLDC	0279Δ		
Kuykendall & Schneider, Inc.	KUYK	0309Δ	0309†	CNS
KW Securities Corp.	LAKA		0352†	CNS
KW Securities Corporation	KWSC	0235Δ	0235†	CNS
Kyson & Company	KYSN		0226†	CNS
Kyte Securities LLC	KYTS	0501Δ		
L.S.Y., Inc.	AMIC		0226†	CNS
LaBrunerie Financial Services	LABR		0188†	CNS
Ladenburg, Thalmann & Co. Inc.	LTCO	0226Δ	0226†	CNS
Lafayette Investments Incorporated	LSPI		0141†	CNS
Lafferty (R.F.) & Co., Inc.	LAFB	0235Δ	0235†	CNS
Laidlaw & Company (UK) Ltd.	LDLW	0750Δ	0750†	CNS
Lake Forest Securities, LLC	LAKE	0235Δ	0235†	CNS
Lake Street Capital Markets, LLC	LSCM	0103Δ		
LAM Securities	LMSE	0750Δ	0750†	CNS
Lamon & Stern Inc.	LAMS		0443†	CNS
Lampost Capital, L.C.	LAMP	0512Δ		
Lancaster Pollard & Co.	LANP	0309Δ	0309†	CNS
Landmark Investment Group, Inc	SUMC	0158Δ	0158†	CNS
Landolt Securities, Inc.	FISN		0226†	CNS
Landolt Securities, Inc.	LDOT		0226†	CNS
Lantern Investments, Inc.	LATN		0443†	CNS
Lara, Shull & May, Ltd.	LAMA	0235Δ	0235†	CNS
LaraDorbecker Securities Corporation	LDSC		0226†	CNS
Larimer Capital Corporation	LARC	0235Δ	0235†	CNS
Larkin (Emmett A.) Co., Inc.	LARK	0750Δ	0750†	CNS
LaSalle St. Securities, LLC		0232		
LaSalle Street Securities, Inc.	LASS	0226Δ	0226†	CNS
Latour Trading LLC	XGWD	0695Δ		
Latour Trading LLC	XGWX	0695Δ		
Lauren & Merlin Inc.	LMFI	0235Δ	0235†	CNS
Laurential Bank of Canada/CDS		5001		
LavaFlow, Inc.	FLOW	0641		
LavaFlow, Inc.	LAVA	0501Δ		
LavaFlow, Inc.	GOTO	0641Δ		
Lawson Financial Corporation	LWFL	0443Δ	0443†	CNS
Lazard Capital Markets LLC	LAZA	0308	0308	CNS
Lazard Capital Markets, LLC.	LZCM	0352Δ	0352†	CNS
Lazard Freres & Co., LLC		4850		

Lazard Freres & Co., LLC/Lazard Global Active Funds (Offshore)		5417		
LCS Securities, LLC	LCSS	0352Δ	0352†	CNS
LDR Capital Management LLC		1865		
Leader Investments, Inc.	LIIC	0727Δ	0727†	CNS
Leaders Group, Inc. (The)	LEAD		0226†	CNS
Lebanthal & Co., LLC	LBCO	0443Δ	0443†	CNS
Ledgewood Capital Management, LLC	LEDG		0443†	CNS
Leede Financial Markets Inc./CDS	LEDE	5071●		
Leerink Swann & Company	LEER	0443Δ	0443†	CNS
Leerink Swann & Company	LESW	0443Δ	0443†	CNS
Legacy Asset Securities Inc.	LASE	0235Δ	0235†	CNS
Legend Securities	SPCS	0158Δ		
Legend Securities, Inc.	LEGD	0052Δ	0052†	CNS
Legend Securities, Inc.	LDSI	0158Δ		
Legg Mason Investor Services, LLC		5749		
Legg Mason Investor Services, LLC/529 Plans		5570		
Legg Mason Investor Services, LLC/Citifunds		5834		
Legg Mason Investor Services, LLC/Legg Mason Collective Trust		5630		
Legg Mason Investor Services, LLC/Legg Mason Global Funds PLC		5833		
Leigh Baldwin & Co., LLC		6013		
LEK Securities Corporation	LSCI	0512	0512	CNS
Lemley, Yarling & Co.	LEYA	0235Δ	0235†	CNS
Lenox Financial Services Inc.	LENX		0279†	CNS
Leonard Securities, Inc.	LEON	0235Δ	0235†	CNS
Lerner (David) Associates, Inc.	DLAE	5144	5144	CNS
Lesko Securities Inc.	LSKO		0226†	CNS
Leumi Investment Services, Inc.	LISI		0443†	CNS
Levco Securities, Inc.	LEVN		0418†	CNS
Levinson (S.J.) & Sons LLC	SJLS	0501Δ		
Levy, Harkins & Co., Inc.	LEVY	0352Δ	0352†	CNS
Lexington Investment Co. Inc.	LEXI	0352Δ	0352†	CNS
LF Rothschild, LLC	LFRO	0235Δ	0235†	CNS
LFC Securities, LLC	LFCF		0279†	CNS
Liberty Capital Investment Corp.	PURP	0309Δ	0309†	CNS
Liberty Group, LLC	JACQ		0226†	CNS
Liberty Life Assurance Company of Boston		4641		
Liberty Partners Financial Services, Inc.	LPFS	0235Δ	0235†	CNS
Liberum Capital Inc.	LINC	0352Δ	0352†	CNS
Libucki & Co., LLC	LBKI	0100Δ		
Lieblong & Associates, Inc.	LASI		0141†	CNS
Lifemark Securities Corp.	LMAR		0226†	CNS
Lifetime Achievement Fund, Inc.		6514		
Lighthouse Financial Group, LLC	LFIN		0161†	CNS
Lightspeed Trading, LLC	INTG	0158Δ	0158†	CNS
Lightspeed Trading, LLC	LSPS	0158Δ	0158†	CNS
Lightspeed Trading, LLC	LSPG	0501Δ		
Lightspeed Trading, LLC	GMOR	0551Δ		
Lightspeed Trading, LLC	PFDK	0695Δ		
Lightspeed Trading, LLC	LSTE	0873Δ		
Lime Brokerage LLC	LITB	0100Δ		
Lime Brokerage LLC	LIWE	0103Δ		
Lime Brokerage LLC	LBNN	0161Δ		
Lime Brokerage LLC	LIFO	0330Δ		
Lime Brokerage LLC	LIMA	0436Δ		
Lime Brokerage LLC	LBEQ	0501Δ		
Lime Brokerage LLC	JXLI	0536Δ		
Lime Brokerage LLC	LBNE	0608Δ		
Lime Brokerage LLC	LIFC	0695Δ		
Lincoln Benefit Life Company		4559		
Lincoln Benefit Life Company/Administered by SE2 Technology Solutions		4689		
Lincoln Douglas Investments, LLC	LDIL		0226†	CNS
Lincoln Financial Advisors	LFAC		0226†	CNS
Lincoln Financial Securities Corporation		0211		
Lincoln Investment	LNCL	0443Δ		
Lincoln Investment Planning Inc.		0145		
Lincoln Life & Annuity Company of New York		4686		
Lincoln National Life Insurance Co./Connecticut		4615		
Lincoln National Life Insurance Company		4500		
Lincoln National Life Insurance Company/For Annuities (The)		4633		
Lincoln National Life Insurance Company/For Life		4634		
Lincoln National Life Insurance Company/II		4685		
Lincoln Variable Insurance Products Trust		7111		
Linkbrokers Derivatives Corporation	LINQ	0501Δ	0501†	CNS
Linkbrokers Derivatives Corporation	LINK	0501Δ		
Linkbrokers Derivatives Corporation	LINX	0501Δ		
Lipper Convertibles, L.P.	LPCO		0551†	CNS
Liquid Capital Securities, LLC	LIQD	0695Δ		
Liquid Prime Services, Inc.	LIQP	0103Δ	0103†	CNS
Liquidnet, Inc.	LQNT	0352Δ	0352†	CNS
Littlewood (L.P.) and Associates, Inc.	LTLI		0141†	CNS
Livermore Trading Group, Inc.	LVMR	0541Δ		
Livevol Securities, Inc.	LVLX	0017Δ		
Livevol Securities, Inc.	LVOL	0158Δ		
LLK Insurance Brokerage Services, LLC		6009		
Lloyd, Scott & Valenti, Ltd.	LSVL	0352Δ	0352†	CNS
Lloyds Securities Inc.	LYSI		0443†	CNS
Locust Street Securities, Inc.	LSSM		0443†	CNS
Lodestar Securities Corp.	LSTR		0443†	CNS
Loeb Partners Corporation/Retail	LOBR	0352Δ	0352†	CNS
Loewen, Ondaatje, McCutcheon USA Limited	LOMU	0235Δ	0235†	CNS
Lombard Odier Transatlantic, Limited Partnership	TRNS	0408		
Lombard Securities Incorporated	LOMB	0279Δ	0279†	CNS
Long (S.G.) & Company	SGLC	0235Δ	0235†	CNS
Long Island Financial Group, Inc	LIFG	0309Δ	0309†	CNS
Longbow Securities, LLC	LBOW	0443Δ		
Loomis Sayles Trust Company, LLC		3755		

Loop Capital Markets, LLC	LOOP	0443Δ	0443†	CNS
Lord Abbett Distributors		5500		
Loring Ward Securities, Inc.		5672		
Louis Capital Markets, L.P.	LOUI	0501Δ		
Lowell & Company	LOWL	0309Δ	0309†	CNS
LPL Financial Corporation	YLPL	0075	0075	CNS
LPL Financial Corporation/LPL Insurance		4747		
LTC Investment Services, Inc.	AIAI		0226†	CNS
Lucia Securities, LLC	HLIX	0235Δ	0235†	CNS
Lucia Securities, LLC		6900		
M Fund Inc.		5425		
M Holdings Securities, Inc.		0757		
M&I Distributors LLC/BMO Funds		6561		
M&I Distributors, LLC		5352		
M&T Securities, Inc.	MTDB	0443Δ	0443†	CNS
M&T Securities, Inc.		0040		
M&T Securities, Inc.	BALE	0443Δ		
M. Amarico, Inc.	AMCO	0158Δ	0158†	CNS
M.J. Whitman, LLC / Third Avenue Capital PLC		5916		
M.M. Dillon & Co. LLC	PFFR	0750Δ		
MacAllaster Pitfield Mackay Inc.	PMCI	0664		
MacDougall, MacDougall & MacTier Ltd./CDS		5022		
Mack Investments Securities, Inc.	MINV	0235Δ	0235†	CNS
Mackie Research Capital Corporation/CDS	MREC	5029Δ		
Mackie Research Capital Corporation/CDS	RECA	5029●		
Macquarie Asset Management Inc.		3849		
Macquarie Capital (USA) Inc.	MSUS	0114		
Macquarie Capital (USA) Inc.	MACQ	0114Δ		
Macquarie Private Wealth Inc./CDS	YKTN	5010●		
Macquarie Private Wealth Inc./CDS		5025		
Macro Risk Advisors, LLC	MRAD	0501Δ		
Madison Avenue Securities	MAVS	0443Δ	0443†	CNS
Madison Avenue Securities, Inc.		6428		
Madison Capital Markets, Inc.	EQUI	0049Δ		
Madison Investment Advisors, LLC		6577		
Madison Investment Advisors, LLC/Madison Funds		4987		
Madison Investment Advisors, LLC/Ultra Series Fund		3765		
Madison Williams and Company	MWCO		0443†	CNS
Magna Securities Corp.	MSCH		0443†	CNS
Magnus (E.) Oppenheim & Co., Inc.	EMOC	0750Δ	0750†	CNS
Maia Securities, Inc.	MAIA	0235Δ	0235†	CNS
Main Street Management Company	MSMC		0188†	CNS
Maine Securities Corporation	MSCB	0279Δ		
Mainline West Municipal Securities LLC	MLMS		0279†	CNS
Mainsail Group, LLC		5411		
Managed Account Services, LLC	MASE		0141†	CNS
Managers Investment Group, LLC		5736		
Manhattan Beach Trading Financial Services, Inc.	MBTS	0158Δ	0158†	CNS
Manna Capital Management	MFPC	0750Δ	0750†	CNS
Manning & Napier Investor Services, Inc.		5753		
Manning (V.M.) & Co., Inc.	MANI	0750Δ	0750†	CNS
Manufacturers & Traders Trust Company	MTSD		0922	TFT
Manufacturers & Traders Trust Company/TPA		2128		
Manufacturers and Traders Trust Company		0990		
Manulife Securities Inc./CDS		5047		
Maple Securities USA, Inc.	MAPL	0269		
Maplewood Investment Advisors, Inc.	MPLW	0226Δ	0226†	CNS
Marco Polo Securities Inc.	DVNE	0049Δ	0443†	CNS
Marcus Schloss & Co., Inc.	MSCA	0418Δ	0418†	CNS
Mark Boyar & Co., Inc.	BOYR		0443†	CNS
Market Street Financial Group LLC		6901		
MarketAxess Corporation	MKTX		0443†	CNS
Marquis Financial Services, Inc.	MAFS	0052Δ	0052†	CNS
Marsco Investment Corporation	MRSC	0287	0287	CNS
Martinez-Ayme Securities	AYME	0052Δ	0052†	CNS
Marv Capital Inc.	NTCP	0309Δ	0309†	CNS
Mason Securities, Inc.	MSIE		0443†	CNS
Massachusetts Mutual Life Insurance Company		4545		
Massachusetts Mutual Life Insurance Company		5844		
Massachusetts Mutual Life Insurance Company/Administered by se2 Technology Solutions		3389		
Massachusetts Mutual Life Insurance Company/Fund Operations		4534		
Massachusetts Mutual Life Insurance Company/Separate Investment Accounts		3697		
Matrix Capital Group, Inc.	MATX	0158Δ	0158†	CNS
Matrix Capital Group, Inc.		5681		
Matrix Capital Group, Inc. / The Monteagle Funds		6697		
Matrix Capital Group, Inc./360 Funds		5695		
Matrix Capital Group, Inc./Amidex		6606		
Matrix Capital Group, Inc./Congressional Effect Fund		5637		
Matrix Capital Group, Inc./Nest Egg Funds		5694		
Matrix Capital Group, Inc./Shields & Company		5843		
Matrix Capital Group, Inc./Stringer Asset Management		7085		
Matthew 25 Fund, Inc.		6637		
Matthews (Joseph) & Co.	JMAT	0750Δ		
Maxim Group LLC	MXMS	0050Δ		
Maxim Group, LLC	MAXM	0443Δ	0443†	CNS
Maxwell Simon Inc.	MXSI	0309Δ	0309†	CNS
MBS Capital Markets, LLC	MBSL		0443†	CNS
MBSC Securities Corporation	MBSC	0161Δ	0161†	CNS
MBSC Securities Corporation		5523		
MBSC Securities Corporation/Mellon Bank N.A. (MCM)		6657		

MBSC Securities Corporation/Mellon Bank N.A. (SMAM)		6538		
MBSC Securities Corporation/Mellon Bank, NA (TBC)		6598		
MBSC Securities Corporation/Mellon Global Funds		6615		
MBSC Securities Corporation/The Bank of New York Mellon		5913		
McAdams Wright Ragen, Inc.	MWRI	0226Δ	0226†	CNS
McCamish Systems, LLC		6403		
McClurg Capital Corporation	MCCL	0443Δ	0443†	CNS
McCourtney-Breckenridge & Company	MCTY	0235Δ	0235†	CNS
McDonnell, Inc.	MDON	0727Δ		
McGowan (J.P.) & Company, Inc.	JPMC		0443†	CNS
McKelvey (George) Co., Inc.	GMCK	0226Δ	0226†	CNS
McKenna (D.B.) & Co., Inc.	DBMC		0725†	CNS
McKim Capital, Inc.	ROND	0750Δ	0750†	CNS
McKinnon & Company Inc.	MCKN	0158Δ	0158†	CNS
McLiney & Company	MCLI		8039	TFT
McMahan Securities Co. L.P.	MMAN		0443†	CNS
McMillion Securities, Inc.	MCME	0702Δ	0702†	CNS
McNicoll, Lewis & Vlak LLC	MLVM	0161Δ	0161†	CNS
MDB Capital Group, LLC	MDBC	0226Δ	0226†	CNS
Means Investment Company, Inc.	MEAN		0226†	CNS
MEB Options, LLC	MEBO	0695Δ		
Mediterranean Securities Group, LLC	MSGP	0052Δ		
Meeschaert Capital Markets, Inc.	WMEI	0279Δ	0279†	CNS
Mell (W.H.) Associates, Inc.	MELL		0443†	CNS
Mellin Securities, Inc.	MELN	0235Δ	0235†	CNS
Melvin Securities, L.L.C.	MLVN		0443†	CNS
Mercadien Securities	MERC	0235Δ	0235†	CNS
Mercator Associates, LLC	MERQ	0158Δ	0161†	CNS
Mercator Associates, LLC	MTOR	0158Δ		
Mercer Trust Company		0347		
Mercer Trust Company		7103		
Merchant Capital, LLC	MCLL		6733	TFT
Mercor Securiteis LLC	MSLC	0017Δ		
Meredith (Robert R.) & Co.	MDTH		0443†	CNS
Meridian Equity Partners Inc.	MEPT	0158Δ		
Meridian Equity Partners Inc.	MERP	0824Δ		
Meritus Financial Group, Inc.	MEFG	0750Δ	0750†	CNS
Merlin Securities, LLC	MERL	0352Δ	0352†	CNS
Merlin Securities, LLC	RLIN	0501Δ		
Merrill Lynch Pierce Fenner & Smith Incorporated	WVBD	0161Δ	0161†	CNS
Merrill Lynch Professional Clearing Corp.	PRST	0551	0551	CNS
Merrill Lynch Professional Clearing Corp./Order Desk	PROR	0551Δ		
Merrill Lynch, Pierce, Fenner & Smith Inc.	MLCO	0161	0161	CNS
Merrill Lynch, Pierce, Fenner & Smith Inc.	MLTX	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLMA	0161Δ	0161†	CNS
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLBC	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLPK	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLRM	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLSE	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLSK	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLSL	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLTA	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLTC	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLTE	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLTI	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLTJ	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLTK	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLTL	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLUA	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLUB	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLUC	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	PXCJ	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLBB	0651Δ		
Merrill Lynch, Pierce, Fenner & Smith Incorporated/Fixed Income	BOFA		0773	CNS
Merrill Lynch, Pierce, Fenner & Smith, Inc.	BCEX	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLCA	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLCB	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLCC	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLCD	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLCG	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLEL	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLEX	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLTQ	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLTV	0161Δ		
Merrill Lynch, Pierce, Fenner & Smith, Inc.	BCEP	0551Δ		

Merrill Lynch, Pierce, Fenner & Smith, Inc./BAI		0424		
Merrimac Corporate Securities, Inc.	MERR	0158Δ	0158†	CNS
Merrimack Valley Investment Inc.	MKVA	0472		
Merriman Curhan Ford & Company	MERI	0443Δ	0443†	CNS
Merrion Securities, LLC	MERS	0352Δ	0352†	CNS
Mesirow Financial, Inc.	MSRO	0727	0727	CNS
MetLife Insurance Company of Connecticut		4583		
MetLife Investors Insurance Company		4505		
MetLife Investors USA Insurance Company		4602		
Metlife Securities, Inc.	MSII	0443Δ	0443†	CNS
MetLife Securities, Inc.		0484		
Metlife Securities, Inc./Metlife Defined Contribution Group		0597		
Metlife Securities, Inc./TPA		7131		
Metropolitan Life Insurance Company		5777		
Meyers Associates, L.P.	MYLG	0052Δ	0052†	CNS
Meyers Associates, L.P.	MYRS	0750Δ	0750†	CNS
MFR Securities, Inc.	MFRS		0443†	CNS
MFS Distributors Inc.		5516		
MFS Heritage Trust Company		6605		
MFS International Funds		5840		
MG Trust Company, LLC		5954		
MGI Securities Inc./CDS	MGIS	5008Δ		
MHA Financial Corp	MHAF	0750Δ		
MICG Investment Management	MICG		0141†	CNS
Michigan Securities, Inc.	MSIM		0226†	CNS
Mid Atlantic Capital Corp.	MACC	0226Δ	0226†	CNS
Mid Atlantic Capital Corp.		0101		
Mid Atlantic Capital Corp./Sungard-Hinsdale		0102		
Mid Florida Equities, Inc.	MOFO	0750Δ		
Midamerica Financial Services, Inc.	MFSI	0052Δ		
Midas Securities Group, Inc.		5890		
Middlegate Securities Ltd.	MDGS	0226Δ	0226†	CNS
Midland National Life Insurance Company		4709		
Midland National Life Insurance Company		7248		
Midland National Life Insurance Company/Sammons Retirement Solutions		4758		
Mid-Ohio Securities Corp.	MIOH		0226†	CNS
Midwestern Securities Trading Company, LLC	MSTC		0226†	CNS
Milkie Ferguson Investments, Inc.	MIFI		0226†	CNS
Millegan (J.W.), Inc.	JWML	0235Δ	0235†	CNS
Millennium Advisors, LLC	MADV		0443†	CNS
Miller Tabak & Co., LLC	MTCN		0443†	CNS
Miller, Tabak & Co.	MTCO	0352Δ	0352†	CNS
Millington Securities, Inc.	MTON	0279Δ		
Minnesota Life Insurance Company		4560		
Minnesota Life Insurance Company/Securian Life Insurance Company		4745		
Minnesota Valley Investments	MVII	0235Δ	0235†	CNS
Mirae Asset Securities(USA) Inc.	MASU	0279Δ		
Mischler Financial Group, Inc.	MISL	0161Δ	0161†	CNS
Mismi, Inc.	MISM	0873Δ	0161†	CNS
Mission Securities Corporation	MSEC	0750Δ	0750†	CNS
Mitchell Securities Corporation of Oregon	MORE	0361Δ		
Mitsubishi UFJ Securities (USA), Inc.	MUFG	0076	0076	CNS
Mizuho Securities (USA) Inc./Fixed Income		2396		
Mizuho Securities USA Inc.	FUJI		0443†	CNS
Mizuho Securities USA Inc.	MZHO	0892		
MKM Partners LLC	MKMP	0161Δ		
MML Bay State Life Insurance Company		4546		
MML Investors Services, Inc.		0255		
MML Investors Services, LLC	GRPS		0226†	CNS
MND Partners, Inc.	MNDY	0161Δ	0161†	CNS
MND Partners, Inc.	MNDX	0161Δ		
Mogavero, Lee & Co., Inc.	MOGA	0501Δ		
Moloney Securities	MOLO	0235Δ	0235†	CNS
Momentum Trading Partners, LLC	MPLM	0651Δ	0651†	CNS
Monarch Bay Associates, LLC	MBAY	0052Δ		
Monarch Capital Group LLC	MNRC	0443Δ	0443†	CNS
Monarch Financial Corporation of America	MFCA		0141†	CNS
Money Market One Institutional Investment Dealer	MYMK		0443†	CNS
Monness, Crespi, Hardt & Co., Inc.	MWCC	0501Δ		
Monroe Securities Inc.	MONR	0352Δ	0352†	CNS
Montag & Joselson	MOJO		0103†	CNS
Montage Securities, LLC	MTSL		0226†	CNS
Montecito Advisors, Inc.	MAWI	0103Δ	0103†	CNS
Montecito Advisors, Inc.	MORF	0158Δ		
Montrose Securities International	MSIT	0161Δ		
Monumental Life Insurance Company		4564		
Monumental Life Insurance Company/Peoples		4565		
Moors & Cabot, Inc.	MCBT	0141Δ	0141†	CNS
Moors & Cabot, Inc.	MCIN	0161Δ	0161†	CNS
Mora WM Securities	MORA	0352Δ	0352†	CNS
Morgan Creek Capital Management, LLC		3681		
Morgan Stanley & Co. Inc.	MSED	0050Δ		
Morgan Stanley & Co. Inc.	MSEE	0050Δ		
Morgan Stanley & Co. Inc.	MSEF	0050Δ		
Morgan Stanley & Co. Inc.	MSEG	0050Δ		
Morgan Stanley & Co. Inc.	MSEH	0050Δ		
Morgan Stanley & Co. Inc.	MSEI	0050Δ		
Morgan Stanley & Co. Inc.	MSEJ	0050Δ		
Morgan Stanley & Co. Inc.	MSEK	0050Δ		
Morgan Stanley & Co. Incorporated	MSEA	0050Δ		
Morgan Stanley & Co. Incorporated	MSEB	0050Δ		
Morgan Stanley & Co. LLC	MSCO	0050	0050	CNS
Morgan Stanley & Co. LLC		5857		
Morgan Stanley & Co. LLC	MSEL	0050Δ		
Morgan Stanley & Co. LLC	MSET	0050Δ		
Morgan Stanley & Co. LLC	MSML	0050Δ		
Morgan Stanley & Co. LLC	MSMP	0050Δ		

Morgan Stanley & Co. LLC/Advisor Funds		4970		
Morgan Stanley & Co. LLC/Dublin Liquidity		6646		
Morgan Stanley & Co. LLC/Liquidity Funds		5767		
Morgan Stanley & Co. LLC/Morgan Stanley Investment Funds		4944		
Morgan Stanley & Co. LLC/Universal Institutional Inc		5512		
Morgan Stanley & Co., Inc.	MSNY	0015Δ	0015†	CNS
Morgan Stanley & Co., Inc.	MSOT	0050Δ		
Morgan Stanley & Co., Inc.	MSTW	0050Δ		
Morgan Stanley Broker Dealer Services		5232		
Morgan Stanley Smith Barney LLC	DEAN	0015	0015	CNS
Morgan Stanley Smith Barney LLC	MSPW	0050Δ	0050†	CNS
Morgan Stanley Smith Barney LLC	MSSB	0418Δ	0418†	CNS
Morgan Wilshire Securities, Inc.	MWIL	0235Δ	0235†	CNS
Morgenthau & Associates, Inc.	MORG	0750Δ	0750†	CNS
Morton Clarke, Inc.	MCFM		0226†	CNS
Motif Investing, Inc.	MOTF	0158Δ		
Mountainview Securities, LLC	MVSC	0443Δ		
MPS Global Securities, LLC	MPSG	0161Δ	0161†	CNS
MS Securities Services, Inc.	MSSS		0050†	CNS
MTG, LLC	MTGO	0158Δ		
MTL Equity Products, Inc.	MTLE	0235Δ	0235†	CNS
Multi Financial Securities Corporation		0466		
Multi-Bank Securities, Inc.	MTBK		0443†	CNS
Multi-Financial Securities Corporation/Vestax Securities Corporation		0444		
Multi-Financial Securities Group	MULT		0443†	CNS
Multinvestments, Inc.	MTVN	0352Δ	0352†	CNS
Multitrade Securities LLC	MTRN		0443†	CNS
Mundoval Funds		4902		
Municenter, LLC (The)	MCTR	0161Δ	0161†	CNS
Municipal Capital Market Group	MCMG	0309Δ	0309†	CNS
Municipal Partners	MUNP		0443†	CNS
Murphy & Durieu	MURF	0443Δ	0443†	CNS
Murphy & Durieu	MURG	0103Δ		
Mutual Money Investments, Inc.	TRSR		0279†	CNS
Mutual of Omaha Investor Services, Inc.	MOIS	0235Δ	0235†	CNS
Mutual of Omaha Investor Services, Inc.		0398		
Mutual Securities, Inc.	CBOL	0226Δ	0226†	CNS
Mutual Service Corporation	MLPL	0075Δ		
Mutual Trust Co. of America Securities	MTCA	0279Δ		
MV Securities Group, Inc.	MVSG	0226Δ	0226†	CNS
MVP Financial, LLC	MVFN	0158Δ		
MVP Financial, LLC	FMVP	0595Δ		
MYD Market, Inc	MYDM	0226Δ	0226†	CNS
Mystockfund Securities, Inc.	MSFS	0052Δ	0052†	CNS
N.A. Investments Services, Inc.	NAIS		0443†	CNS
Nab Securities, LLC	NACM	0443Δ		
NAFA Capital Markets, LLC	NAFA	0309Δ	0309†	CNS
Nanes Delorme Capital	NDCM	0352Δ	0352†	CNS
Management, LLC				
NASDAQ Execution Services, LLC	BRUT	0568		
NASDAQ Execution Services, LLC	NQMR	0050Δ		
NASDAQ Execution Services, LLC	DARQ	0568Δ		
NASDAQ Execution Services, LLC	INET	0568Δ		
NASDAQ Execution Services, LLC	NQRB	0568Δ		
NASDAQ OMX BX, INC	NQBX	0163	0163	CNS
NASDAQ OMX PHLX LLC	NQPX	0237		
NASDAQ Options Services, LLC	NQOP	0520		
NASDAQ Options Services, LLC	ILND	0520Δ		
NASDAQ Options Services, LLC	PHLY	0520Δ		
NASDAQ Stock Market LLC/Omnibus Account	NSDQ	0759		
NASDAQ Stock Market, LLC. (The)	CAES	0734		
National Alliance Securities Corporation	NACL	0309Δ	0309†	CNS
National Banc Financial Inc.	NBKT	5008Δ		
National Bank Financial Inc./CDS	NBFC	5008Δ		
National Bank Financial of Canada Inc.	PTLV	0443Δ	0443†	CNS
National Financial Services Corporation	NFSC	0226	0226	CNS
National Integrity Life Insurance Company		4550		
National Life Insurance Company of Vermont		4555		
National Planning Corp.		0668		
National Securities Corporation	NAPK	0052Δ	0052†	CNS
National Securities Corporation	NATL	0226Δ	0226†	CNS
National Securities Corporation		6472		
National Security Life and Annuity Co.		4656		
National Stock Exchange	CINN	0738		
Nations Financial Group, Inc.	NFGR		0141†	CNS
Nationwide Fund Distributors, LLC		6559		
Nationwide Investment Services Corp.		0263		
Nationwide Life & Annuity Insurance Company		4577		
Nationwide Life Insurance Co./Income Products		4530		
Nationwide Life Insurance Co./Variable Life		4650		
Nationwide Life Insurance Company		4514		
Nationwide Life Insurance Company/Group Annuity		4515		
Nationwide Life Insurance Company/NLICA		4576		
Nationwide Securities, LLC		0605		
NativeOne Institutional Trading, LLC	NOIT	0161Δ	0161†	CNS
Natixis Securities Americas LLC	ABLE	0031	0031	CNS
Navaid Financial Services	PHRS	0309Δ	0309†	CNS
Navigate Advisors LLC	NVGT	0388Δ	0388†	CNS
NavPoint, LLC	NAVP	0443Δ		
NBCN, Inc./CDS	FMAF	5008●		
NBF Securities (USA) Corp.	NBFI	5008Δ		
NCP Northland Capital Partners (USA) Inc.	NCPU	0158Δ		
NDX Trading, Inc.	NDXT	0279Δ	0279†	CNS

Needham & Company, LLC	NEED	0352Δ	0352†	CNS
Needham & Company, LLC		5587		
Neidiger, Tucker, Bruner, Inc.	NTBC	0279Δ	0279†	CNS
Neiman Funds		5738		
Nelnet Capital LLC	UFSM	0750Δ	0750†	CNS
Nelnet Capital LLC/College Savings Group		6583		
Nelnet Capital, LLC		5880		
Nelson (Martin) & Co., Inc.	MNCO	0279Δ	0279†	CNS
Nelson Securities, Inc.	NELS	0235Δ	0235†	CNS
Nelsonreid, Inc.	TIGC	0235Δ	0235†	CNS
Neonet Securities, Inc.	NEON	0158Δ	0158†	CNS
Nesbitt Thomson Securities, Inc.	NTSI		0443†	CNS
Nestlerode & Co., Inc.	NSRD	0235Δ	0235†	CNS
Network 1 Financial Securities Inc.	NTFN	0052Δ	0052†	CNS
Neuberger & Berman Management, Inc.		5810		
Neuberger & Berman Management, Inc./AMT Funds		5665		
Neuberger Berman Management Inc./Lehman Brothers Alpha Funds		6681		
Neuberger Berman Management Inc./Lehman Brothers Collective Trust		6591		
Neuberger Berman, LLC	NBLB	0352Δ	0352†	CNS
New Castle Financial Group, Inc	NCFS	0052Δ	0052†	CNS
New England Securities Corp.		0707		
New England Securities Corporation	NESS	0443Δ	0443†	CNS
New Horizons Asset Management Group, LLC	NHMG		0226†	CNS
New Windsor Associates, L.P.	WINS	0352Δ	0352†	CNS
New York Life Insurance and Annuity Corp.		4548		
New York Life Insurance and Annuity Corporation/Eagle Strategies		7051		
New York Life Insurance and Annuity Corporation/Fixed Income		4571		
Newbridge Securities Corporation	BRGE	0052Δ	0052†	CNS
Newbury Street Capital Limited Partnership	NSCB	0443Δ	0443†	CNS
Newcourt Securities, Ltd.	NWCT	0309Δ		
Newedge Canada/CDS		5003		
Newedge USA LLC	PCAP	0608	0608	CNS
Newedge USA LLC	FMAT	0608Δ	0608†	CNS
Newedge USA, LLC	FIMU	0608Δ		
Newedge USA, LLC	NEAT	0608Δ		
Newedge USA, LLC	NEBW	0608Δ		
Newedge USA, LLC	NEFO	0608Δ		
Newedge USA, LLC	NEGB	0608Δ		
Newedge USA, LLC	NELD	0608Δ		
Newedge USA, LLC	NENB	0608Δ		
Newedge USA, LLC	NEPB	0608Δ		
Newedge USA, LLC	NEPH	0608Δ		
Newedge USA, LLC	NESP	0608Δ		
Newedge USA, LLC	NETT	0608Δ		
NewOak Capital Markets LLC	NEWO	0443Δ	0443†	CNS
Newport Coast Securities	NCIS	0052Δ	0052†	CNS
Newport Coast Securities, Inc.	NCSI	0158Δ	0158†	CNS
Newport Group Securities, Inc.		7218		
Newtek Securities, LLC	REVA	0052Δ	0052†	CNS
Nexcore Capital, Inc.	NEXC	0750Δ		
Next Financial Group, Inc.	NEXT		0443†	CNS
Nextrend Securities, Inc.	NTNT	0158Δ	0158†	CNS
NFP Securities, Inc.	PSII		0226†	CNS
NFP Securities, Inc.		0481		
NFSCO - Stock Loan		5157		
NGAM Distribution, L.P.		5542		
NGAM Distribution, L.P./Aurora Horizons Series		7080		
NGAM Distribution, L.P./Hansberger Funds		5525		
NGAM Distribution, L.P./Loomis Sayles Funds		5714		
NGAM Distribution, L.P./Natixis International funds (Dublin) I		6678		
NGAM Distribution, L.P./Natixis International funds (LUX) I		6677		
NI Advisors	NIAD	0158Δ	0158†	CNS
Nicholas Company, Inc.		5590		
Nikoh Securities Corporation	NIKH	0750Δ	0750†	CNS
Niphix Investments, Inc.	NIPH	0052Δ	0052†	CNS
Noble Financial Capital Markets	NOBL	0100Δ	0443†	CNS
Nollenberger Capital Partners Inc.	NCPI		0141†	CNS
Nomura Securities International, Inc.	NMRA	0180		
Nomura Securities International, Inc.	NMRB	0180Δ		
Nomura Securities International, Inc./Fixed Income		5222		
Nordberg Capital Inc.	NORD	0352Δ		
North Capital Private Securities Corporation		3453		
North Ridge Securities Corp.	NRSC		0443†	CNS
North Sea Securities, L.P.	OAKF	0052Δ	0052†	CNS
North South Capital LLC	NOSO	0443Δ		
North Star Investment Services, Inc.	ABYL		0226†	CNS
North Woodward Financial Corp.	NWFC	0309Δ	0309†	CNS
Northeast Investors Growth Fund		5821		
Northeast Investors Trust		5778		
Northeast Retirement Services, Inc		7104		
Northeast Securities Inc.	NESC	0352Δ	0352†	CNS
Northeastern Financial Group Inc.	NFGI	0103Δ	0103†	CNS
Northern Capital Sec. Corp.	NORC	0235Δ	0235†	CNS
Northern Lights Distributors, LLC		4915		
Northern Lights Distributors, LLC /Resource Real Estate Funds		7047		
Northern Lights Distributors, LLC/13D Activist Fund		3796		
Northern Lights Distributors, LLC/7Twelve Balanced Fund		5604		
Northern Lights Distributors, LLC/Adaptive Allocation Fund		6694		
Northern Lights Distributors, LLC/AdvisorOne Funds		4904		
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Northern Lights Distributors, LLC/AmericaFirst Funds 3314
Northern Lights Distributors, LLC/Arrow Funds 4824
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Northern Lights Distributors, LLC/Astor Funds 5400
Northern Lights Distributors, LLC/Avatar Fund 7076
Northern Lights Distributors, LLC/Bandon Isolated Alpha Fixed Income Fund 5393
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Northern Lights Distributors, LLC/Beech Hill Total Return Fund 5129
Northern Lights Distributors, LLC/Belvedere Alternative Income Fund 7015
Northern Lights Distributors, LLC/Biondo Fund 5477
Northern Lights Distributors, LLC/Black Knight Funds 6540
Northern Lights Distributors, LLC/Bluerock Total Income + Real Estate Fund 3387
Northern Lights Distributors, LLC/Boyar Value Fund 5796
Northern Lights Distributors, LLC/Braver Tactical Opportunity Fund 3339
Northern Lights Distributors, LLC/BTS Bond Asset Allocation Fund 5401
Northern Lights Distributors, LLC/Camelot Funds 3320
Northern Lights Distributors, LLC/Catalyst Funds 5527
Northern Lights Distributors, LLC/Chadwick & D'Amato Fund 5382
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Northern Lights Distributors, LLC/Compass EMP Funds 6607
Northern Lights Distributors, LLC/Copeland Risk Managed Dividend Growth Fund 5395
Northern Lights Distributors, LLC/Crow Point Fund 3322
Northern Lights Distributors, LLC/CWC Aggressive Value Small Cap Fund 5379
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Northern Lights Distributors, LLC/Discretionary Managed Futures Strategy Fund 7095
Northern Lights Distributors, LLC/Diversified Risk Parity Fund 5304
Northern Lights Distributors, LLC/DMS Funds 7013
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Northern Lights Distributors, LLC/Footprints Discover Value Fund 7030
Northern Lights Distributors, LLC/Ginkgo Multi-Strategy Fund 3744
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Northern Lights Distributors, LLC/GMG Defensive Beta Fund 5934
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Northern Lights Distributors, LLC/OnTrack Core Fund 7050
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Northern Trust Company (The)/Sub-Advised Collective Funds Trust-LSV		3698		
Northern Trust Company/Fixed Income Investment Fund, a Fund of the Chicago Equity Partners Group Trust		3772		
Northern Trust Company/Great Banc Collective Investment Trust I		6689		
Northern Trust Company/Mondrian Wal-Mart Focused International Equity Fund		4900		
Northern Trust Securities, Inc.	NTRC	0226Δ	0226†	CNS
Northland Securities, Inc.	NORT	0443Δ	0443†	CNS
Northpoint Trading Partners, LLC	NPTP	0443Δ		
Northpoint Trading Partners, LLC	NPNT	0501Δ		
Northstar Financial Partners	NSFP	0235Δ	0235†	CNS
Northwestern Mutual Investment Services, LLC		0171		
Northwestern Mutual Life Insurance Company		4590		
Noyes (David A.) & Co.	NOYS		0141†	CNS
NRP Financial, Inc.	OFCC		0352†	CNS
NSM Securities, Inc.	NSMS	0750Δ	0750†	CNS
NSX Securities LLC	NSXM	0050Δ		
NSX Securities LLC	NSXS	0050Δ		
Nunami Services, LLC	NAMB	0330Δ		
Nunami Services, LLC	NAMI	0695Δ		
Nuveen Securities, LLC	NVEN	0448	0448	CNS
Nuveen Securities, LLC		5520		
Nuveen Securities, LLC/Dublin Funds		4828		
NW Capital Markets Inc.	NWCM	0279Δ	0279†	CNS
NY Life Securities, Inc.	NYLS		0226†	CNS
NYFIX Transaction Services, Inc.	NYTS	0501Δ		
NYLIFE Securities, LLC		5539		
NYLIFE Securities, LLC/Collective Trust		5787		
NYLife Securities, LLC/New York Life Insurance Company Group Annuity Contracts		5363		
NYPPEX, LLC	NYPP	0235Δ	0235†	CNS
O N Equity Sales Co.	ONES		0443†	CNS
O.N. Equity Sales Company (The)		0092		
O.N. Equity Sales Company (The)/Ohio National		4753		
Oak Ridge Financial Services Group, Inc. (The)	EQTS	0279Δ	0279†	CNS
Oakmark Funds (The)		4851		
OBD Securities LLC	OBDS	0695Δ		
Oberweis Securites, Inc.	OBWS	0226Δ	0226†	CNS
Oberweis Securities, Inc.		5697		
Obex Securities LLC	OBEX	0158Δ	0158†	CNS
O'Brien & Shepard, Inc.	OBSH	0279Δ	0279†	CNS
Obsidian Financial Group LLC	GSCL	0750Δ	0750†	CNS
OC Securities, Inc.	OCSI	0158Δ		
Ocean Cross Capital Markets LLC	TBMB	0309Δ	0309†	CNS
O'Connor & Company Securities Inc.	OCON		0103†	CNS
Octagon Capital Corp./CDS	OTGN	5042●		
Octavus, LLC	STRT		0352†	CNS
OCTEG LLC	OCTG	0341		
Odeon Capital Group LLC	ODNC	0443Δ	0443†	CNS
OFG Financial Services Inc.	OFGF	0235Δ	0235†	CNS
Ogilvie Security Advisors Corporation	OTSC		0443†	CNS
Ohio National Life Insurance Company		4526		
Old Mutual Bermuda Business Services, Inc		6910		
Olivetree USA, LLC	OTUS	0443Δ		
Olmsted & Mulhall, Inc.	OLMM	0750Δ	0750†	CNS
Olson, Cross & Alamo LLC	OCAC	0235Δ	0235†	CNS
Olstein Associates, Inc.		5775		
Olympia Asset Management, Ltd.	OMPA	0158Δ	0158†	CNS
Olympia Asset Management, Ltd.	RCLF	0235Δ	0235†	CNS
Olympus Securities, LLC	OLMP	0052Δ	0052†	CNS
OM Securities, LLC	OMSL	0158Δ		
Omega Research Corporation	DXSI	0279Δ		
One America Securities, Inc		5315		
OneAmerica Funds, Inc.		5689		
O'Neil (William) & Co. Inc.	ONEL	0161Δ	0161†	CNS
Online Brokerage Services, Inc.	GLBZ	0158Δ	0443†	CNS
Oppenheimer & Close Inc.	POPP	0443Δ	0443†	CNS
Oppenheimer & Co., Inc.	OPCO	0571	0571	CNS
Oppenheimer & Co., Inc.	WMAG	0571Δ		
Oppenheimer Trust Company		0303		
OppenheimerFunds Distributor, Inc.		5511		
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Optionshouse Corporation	EZOP	0158Δ		
Optionshouse, Inc.	OPHS	0501Δ		
OptionsXpress, Inc.	OXPS	0338	0338	CNS
Optiver US, LLC	OPTU	0695Δ		
Oriental Financial Services	ORTL		0443†	CNS
Oscar Gruss & Son, Incorporated	OGRU	0161Δ	0161†	CNS
Oscar Gruss & Son, Incorporated		0420		
Oshima & Associates	OSHM		0226†	CNS
OTA Limited Partnership	OTAA	0551Δ		
OTA, LLC	OTAM	0050Δ		
OTA, LLC	OTAS	0551Δ		
Otkritie Inc.	OTKR	0352Δ	0352†	CNS
OTR Global Trading LLC	OTRT	0551Δ		
P.J. Robb Variable Corporation		6906		
Pace (A.J.) & Co., Inc.	AJAY	0352Δ		
Pacific American Securities, LLC	PAMS		0443†	CNS
Pacific Commonwealth Corporation	PCMC	0750Δ		
Pacific Crest Securities	PACS	0226Δ		
Pacific Financial Associates, Inc.	PFAI	0750Δ		
Pacific Global Fund, Inc.		4860		
Pacific Investment Securities Corp.	PISC	0750Δ		
Pacific Life & Annuity Company		4589		
Pacific Life Insurance Company		4532		

Pacific Life Insurance Company/ Life Division		6545		
Pacific Life Insurance Company/Life Division		4616		
Pacific Select Distributors, Inc.		5478		
Pactual Capital Corp.	PCCN		0443†	CNS
Palmer Square Capital Management LLC		1899		
Palmieri (Angnardo) & Co., Inc.	DPAA	0235Δ	0235†	CNS
Paloma Securities	PLMA	0352Δ	0352†	CNS
Panamerica Securities	PANA		0443†	CNS
Pan-American Financial Advisors	PAFA		0443†	CNS
Pangburn Company, The		7145		
Papamarkou Wellner & Co.	PAPA	0352Δ		
Paradigm Funds		5476		
Pareto Securities USA	NORP	0443Δ	0443†	CNS
Pariter Securities, LLC	FJRF		0226†	CNS
Park Avenue Securities LLC	PASC		0226†	CNS
Park Avenue Securities, LLC		0496		
Parker (S.C.) & Co., Inc.	PARK	0443Δ	0443†	CNS
Parnassus Investments		5783		
Partners Investment Network, Inc.	DJRC	0235Δ	0235†	CNS
Paulsen Securities Inc.	PAUS	0352Δ	0352†	CNS
Paulson Investment Company, Inc.	PAUL	0235Δ	0235†	CNS
Payden & Rygel Distributors		5535		
PCBB Capital Markets, LLC	BIGS	0352Δ	0352†	CNS
PDQ ATS	PDQM	0161Δ		
Peachtree Capital Corporation	PCCA		0226†	CNS
Pembrook Capital Management, LLC		1884		
Penates Group Inc.	BOBO	0235Δ	0235†	CNS
Pencal Administrators, Inc.		6150		
Penn Investment Group, Inc. (The)	PIGS		0443†	CNS
Penn Mutual Life Insurance Company		4572		
Pennaluna & Company Inc.	PENA	0226Δ	0226†	CNS
Pennsylvania Group Incorporated (The)	PENG		0443†	CNS
Penrod Financial Group	PENC	0052Δ	0052†	CNS
PENSCO Trust Company LLC		0579		
Penserra Securities, LLC	PNSA	0161Δ	0161†	CNS
Penserra Securities, LLC	PNSR	0161Δ	0161†	CNS
Pension Planners Securities, Inc.	PPSI	0235Δ	0235†	CNS
Penson Financial Services, Inc.	PFAR	0099Δ		
Penson Financial Services, Inc.	PITG	0099Δ		
Penson Financial Services, Inc.	PBBS	0158Δ		
Penson Financial Services, Inc.	PFAC	0158Δ		
Penson Financial Services, Inc.	PFAJ	0158Δ		
Penson Financial Services, Inc.	PFCM	0158Δ		
Penson Financial Services, Inc.	PFCT	0158Δ		
Penson Financial Services, Inc.	PFQU	0158Δ		
Penson Financial Services, Inc.	PFRC	0158Δ		
Penson Financial Services, Inc.	PFSN	0158Δ		
Penson Financial Services, Inc.	PEAR	0436Δ		
Pentegra Distributors, Inc.	RSDI	0052Δ	0052†	CNS
Penvest Securities Inc.	PVST	0052Δ		
People's Securities, Inc.	PEOP	0220		
Peraza Capital and Investment, LLC	PERZ	0158Δ	0158†	CNS
Peraza Capital and Investment, LLC	PECA	0279Δ	0279†	CNS
Percival Financial Partners, Ltd.	PERC	0158Δ	0158†	CNS
Perdiue Securities, LLC	PERD	0100Δ		
Performance Trust Capital Partners, Inc.	BBNI		0443†	CNS
Perkins, Smart & Boyd, Inc.	PERK	0309Δ		
Permac Securities	PMAC	0158Δ		
Pershing Advisor Solutions, LLC	PASL	0443Δ	0443†	CNS
Pershing LLC	PERS	0443	0443	CNS
Pershing LLC	PERB	0443Δ		
Pershing LLC	PERX	0443Δ		
Pershing LLC, Principal Trading	PERT	0443Δ		
Pershing LLC/BNYM I.S. Trust FBO Health Savings Accounts Customers		0592		
Pershing LLC/BNYM I.S. Trust FBO Managers Funds Wrap Program		0657		
Pershing LLC/BNYM I.S. Trust FBO Morningstar Wrap Program		0474		
Pershing LLC/Primerica Financial Services		0689		
Peters & Co. Limited/CDS		5014		
Petrie Parkman & Company, Inc.	PTRI		0443†	CNS
PFS Funds		6683		
PFS Investment Inc.		0468		
PGR Securities, LLC	PGRS		0443†	CNS
PHD Capital	PHDC	0052Δ	0052†	CNS
Philadelphia Brokerage Corporation	FRTH	0226Δ		
Philadelphia Investors, Ltd.	PHIN		0443†	CNS
Phillips & Company Securities, Inc.	PHCO	0443Δ	0443†	CNS
PHL Variable Life Insurance Company		4582		
PHL Variable Life Insurance Company/Part Advisor		4711		
Phoenix Derivatives Group, LLC	PHXP	0161Δ	0161†	CNS
Phoenix Life and Annuity Company		4759		
Phoenix Life Insurance Company		4579		
Phoenix Life Insurance Company/Single Premium Deferred Annuities		4538		
PI Financial Corp.	USLF	5075Δ		
PI Financial Corp./CDS	PCFF	5075●		
Pickering Energy Partners, Inc.	PICK	0161Δ	0161†	CNS
Pictet Canada L.P./CDS	PICF	5027●		
Pictet Overseas, Inc.	PICT	5027Δ		
Pierpont Securities LLC	PPSL	0443Δ		
PIMCO Investments LLC		4991		
PIMCO Investments LLC		9234		
PIMCO Investments LLC/Global Investor Series		4836		
Pine Securities USA LLC	PSUS		0443†	CNS
Pinnacle Capital Markets, LLC	FRWY	0158Δ	0158†	CNS
Pinnacle Investments, LLC	PINA		0226†	CNS
Pinnacle Investments, LLC		4925		
Pinnacle Investments, LLC/Tarkio Fund		3609		
Pinney Insurance Center, Inc.		6028		
Pioneer Investment Management USA Inc.		5502		
Pioneer Investment Management USA Inc./Pioneer Funds International		5743		

Piper Jaffray & Co.	PIPR	0311	0311	CNS
Piper Jaffray & Co.	PIPS	0311Δ		
Piper Jaffray & Co.	PIPX	0311Δ		
Pirrone & Co., Inc.	PIRR		0443†	CNS
Planmember Securities Corporation		0489		
Planned Investment Co., Inc.	PICC	0235Δ	0235†	CNS
Planner Securities, LLC	CASH	0158Δ	0158†	CNS
Plymouth Opportunity REIT, Inc.		1867		
PMK Securities & Research, Inc.	PMKS		0141†	CNS
PNC Bank N.A.	PNCM		2835	TFT
PNC Bank, N.A.		2616		
PNC Bank, N.A./IPS		2317		
PNC Funds Distributor, LLC		5151		
PNC Funds Distributor, LLC/PNC Funds		5879		
PNC Investments	PNCC	0226Δ	0226†	CNS
PNC Investments	PNCI	0768Δ	0768†	CNS
Podesta & Co.	PODE	0235Δ	0235†	CNS
Point Capital Markets, Inc.	PTCM	0443Δ		
Pointe Capital, LLC	PONT	0052Δ	0052†	CNS
Pointe Capital, LLC	PRBC	0235Δ	0235†	CNS
Polar Investment Counsel, Inc.	PICI	0279Δ	0279†	CNS
Pond Equities	POND		0141†	CNS
Popular Securities, Inc.	POPI		6779	TFT
Popular Securities, Inc.	POPS		0226†	CNS
Popular Securities, Inc.		5687		
Portales Partners, LLC	TANA	0443Δ		
Porter Christie Partners, L.P.	PCCM	0352Δ		
Portfolio Advisors A	PAAI	0235Δ	0235†	CNS
Portfolio Brokerage Services Inc.	PBSI	8052	8052	CNS
Portsmouth Financial Services	PMFS	0443Δ	0443†	CNS
Potamus Trading, LLC	HPPO	0103Δ		
Powell (Elmer E.) Company	POWL	0443Δ	0443†	CNS
Powell Capital Markets, Inc.	POCM		0443†	CNS
PPB Advisors, LLC		1833		
PPG West LLC	PPGW	0161Δ	0388†	CNS
Prager, Sealy & Co., LLC	PMLC	0443Δ	0443†	CNS
Pragma Securities LLC	PRAG	0161Δ		
Prebon Financial Products Inc.	PFPI	0161Δ	0443†	CNS
Prebon Financial Products Inc.	PBON	0501Δ	0501†	CNS
Prebon Financial Products Inc.	PREB	0501Δ		
Precision Securities LLC	PREC	0100Δ		
Preferred Client Group, Inc.	PFCG	0279Δ	0279†	CNS
Preferred Product Network, Inc.		4744		
Presidential Bank, FSB		5987		
Presidential Brokerage, Inc.	PRSB		0141†	CNS
Presidential Brokerage, Inc.		6904		
Presidio Capital Advisors, LLC	PRES	0352Δ	0352†	CNS
Presidio Financial Services, Inc.	DSSI	0226Δ	0226†	CNS
Pressprich (R.W.) & Company Inc.	RWPS	0352Δ	0352†	CNS
Primary Capital , LLC	JLEO	0052Δ		
Primary Capital, LLC	PMRY	8072Δ		
Prime Capital Services, Inc.	PMCS	0226Δ	0226†	CNS
Prime Capital Services, Inc.		0665		
Prime Executions, Inc.	SIBO	0284Δ		
Prime Global Securities, Inc.	PGSI		0443†	CNS
Prime Solutions Securities, Inc.	STLR	0235Δ	0235†	CNS
PrimeVest Financial Services, Inc.	BANK	0701	0701	CNS
Princeton Securities, Group, Inc.	PRSG	0501Δ		
Principal Life Insurance Company		4592		
Principal Life Insurance Company/Pension Division		4665		
Principal Life Insurance Company/Principal National Life Insurance Company		4752		
Princor Financial Securities, Inc.	PFSM	0188Δ		
Princor Financial Services		0375		
Princor Financial Services Corporation		5546		
Princor Financial Services Corporation /Principal Life Insurance Company		5607		
Princor Financial Services Corporation/PGI		5212		
Princor Financial Services Corporation/Principal Funds		5836		
Princor Financial Services Corporation/Union Bond & Trust		4811		
Princor Financial Services, Inc./M		0175		
Private Client Services, LLC		6011		
Private Portfolio, Inc.	PRPO		0443†	CNS
Pro Securities, L.L.C.	PROS	0873Δ		
ProEquities	PROE	0443Δ	0443†	CNS
ProEquities, Inc.		6326		
ProEquities, Inc./Insurance Processing Division		7007		
Professional Asset Management, Inc.	PAMI		0141†	CNS
Professional Asset Management, Inc.	PRAS	0727Δ	0727†	CNS
Professional Investments Services, Inc.	PFIS	0279Δ	0279†	CNS
Profinancial Inc.	PFFI		0279†	CNS
ProFunds Distributors, Inc.		4969		
Progressive Asset Management	PAMC		0226†	CNS
Promark Securities, Inc.	ACCI		0279†	CNS
Prospera Financial Services	ADSO		0141†	CNS
Protected Investors of America	PIAC	0226Δ	0226†	CNS
Protective Life and Annuity Insurance Company		4540		
Protective Life Insurance Company		4503		
Protective Life Insurance Company of New York		4629		
PRS International Investment Advisory Services Inc.		3408		
PRUCO Life Insurance Company		4597		
PRUCO Life Insurance Company of NJ		4598		
Pruco Securities, LLC	PRUC		0141†	CNS
Prudential Annuities Life Assurance Corporation		4504		
Prudential Equity Group, LLC	PRGL		0141†	CNS
Prudential Insurance Co. (The)		4596		
Prudential Insurance Company of America		4968		
Prudential Insurance Company of America/Prudential Insurance Co. of America		4733		

Prudential Insurance Company of America/Prudential Ret. Insurance & Annuity Corp.		6525		
Prudential Insurance Company of America/Prudential Trust Company, Inc.		6526		
Prudential Investment Management Services, LLC	PIMS		0226†	CNS
Prudential Investment Management Services, LLC		0450		
Prudential Investment Management Services, LLC		5805		
Prudential Investment Management Services, LLC/Worldwide Inv. Portfolio Funds		5746		
PSA Equities, Inc.	PSAE		0141†	CNS
PSG Executions, Inc.	PSGX	0501Δ		
PTI Securities & Futures L.P.	PTIS	0235Δ	0235†	CNS
PTI Securities & Futures L.P.	PTIX	0017Δ		
Pulaski Bank	PULB		0052†	CNS
Puma Capital, LLC	PUMA	0295Δ		
Puplava Securities, Inc.	PUPL	0226Δ	0226†	CNS
Purshe Kaplan Sterling Investments	SARG		0226†	CNS
Putnam Retail Management	PROG		0226†	CNS
Putnam Retail Management Limited Partnership		5505		
Putnam Retail Management Limited Partnership/Embassy Funds		5893		
PWMCO, LLC	MPWA	0467	0467	CNS
QA3 Financial Corp.	QAFC	0052Δ	0052†	CNS
QS Investors, LLC		3766		
QTrade Securities Inc./CDS		5009		
Quantex Clearing, LLC	QNTX	0294		
Quantitative Analysis Services, Inc.	QANL	0443Δ		
Quantlab Securities LP	FQLB	0396Δ		
Quantlab Securities LP	FQLS	0396Δ		
Quantlab Securities LP	ETAI	0873Δ		
Quantlab Securities LP	QETC	0873Δ		
Quantlab Securities, LP	LABQ	0158Δ		
QuantRiver Financial, LLC	QRQR	0158Δ		
Quantum Edge Derivatives Trading LLC	QEDA	0695Δ		
Quasar Distributors, LLC		5368		
Quasar Distributors, LLC/Aberdeen Offshore Funds		4957		
Quasar Distributors, LLC/AC One Funds		3639		
Quasar Distributors, LLC/Academy Fund Trust		5612		
Quasar Distributors, LLC/Advantus Funds		3364		
Quasar Distributors, LLC/Aegis Funds		6612		
Quasar Distributors, LLC/Akre Funds		5937		
Quasar Distributors, LLC/Alpha Capital Funds		5365		
Quasar Distributors, LLC/Alpine Funds		5896		
Quasar Distributors, LLC/America Trust Allegiance Fund		6638		
Quasar Distributors, LLC/Appleton Group Funds		4935		
Quasar Distributors, LLC/Artio Global Fund		6675		
Quasar Distributors, LLC/Barrett Growth Fund		5480		
Quasar Distributors, LLC/Becker Value Funds		5291		
Quasar Distributors, LLC/Boston Common Funds		5350		
Quasar Distributors, LLC/Brandes Dublin Offshore		5911		
Quasar Distributors, LLC/Brandes Investment Trust		5693		
Quasar Distributors, LLC/Brandywine Funds		4978		
Quasar Distributors, LLC/Bridges Investment Fund		5531		
Quasar Distributors, LLC/Brookfield Investment Funds		3734		
Quasar Distributors, LLC/Brown Advisory Funds		5437		
Quasar Distributors, LLC/Buffalo Funds		4931		
Quasar Distributors, LLC/Bushido Funds		3360		
Quasar Distributors, LLC/Capital Advisor Growth Fund		5691		
Quasar Distributors, LLC/Chase Growth Funds		5626		
Quasar Distributors, LLC/Coldstream Dividend Growth Fund		5327		
Quasar Distributors, LLC/Collins Capital Funds		3306		
Quasar Distributors, LLC/Congress Asset Management		5741		
Quasar Distributors, LLC/Contravisory Funds		5797		
Quasar Distributors, LLC/Country Funds		4922		
Quasar Distributors, LLC/Cove Street Funds		3749		
Quasar Distributors, LLC/Cushing Funds		5328		
Quasar Distributors, LLC/Davidson Funds		5945		
Quasar Distributors, LLC/Dearborn Partners Funds		7060		
Quasar Distributors, LLC/Doubleline Funds		5353		
Quasar Distributors, LLC/Dow Jones Islamic Index Fund		5566		
Quasar Distributors, LLC/DSM Funds		5952		
Quasar Distributors, LLC/Duncan Hurst Aggressive Growth		6567		

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Quasar Distributors, LLC/Evermore Value Trust 5407
Quasar Distributors, LLC/Fort Pitt Capital Funds 5887
Quasar Distributors, LLC/Frontegra Small Cap Core Fund 3736
Quasar Distributors, LLC/Fund * X Upgrader Fund 5666
Quasar Distributors, LLC/Geneva Advisors All Cap Growth Fund 6527
Quasar Distributors, LLC/Genworth Funds 5864
Quasar Distributors, LLC/Gerstein Fisher Funds 5938
Quasar Distributors, LLC/Glenmede Fund, Inc. 4955
Quasar Distributors, LLC/Goodhaven Fund 3700
Quasar Distributors, LLC/GPS Funds I 5495
Quasar Distributors, LLC/GPS Funds II 5977
Quasar Distributors, LLC/Great Lakes Funds 3366
Quasar Distributors, LLC/Greenspring Fund 6513
Quasar Distributors, LLC/Guinness Atkinson Funds 5754
Quasar Distributors, LLC/Harding Loevner Funds, Inc. 4981
Quasar Distributors, LLC/Hatteras Funds 5449
Quasar Distributors, LLC/Hennessy Mutual Funds, Inc. 4869
Quasar Distributors, LLC/Hodges Fund 5792
Quasar Distributors, LLC/Hotchkis & Wiley Funds 4984
Quasar Distributors, LLC/Huber Capital Funds 6530
Quasar Distributors, LLC/Intrepid Capital Funds 4815
Quasar Distributors, LLC/Investec Global Strategy Funds 5057
Quasar Distributors, LLC/Investec Offshore Funds 5755
Quasar Distributors, LLC/IronBridge Funds 5321
Quasar Distributors, LLC/Jacob Internet Fund 4960
Quasar Distributors, LLC/Jensen Portfolio, Inc. 5491
Quasar Distributors, LLC/Jordan Opportunity Fund 5917
Quasar Distributors, LLC/Julius Baer Offshore Funds 5698
Quasar Distributors, LLC/Kellner Funds 3644
Quasar Distributors, LLC/Kinetics Mutual funds 6608
Quasar Distributors, LLC/Kirr Marbach Partners Fund, Inc. 6685
Quasar Distributors, LLC/Lawson Kroeker Funds 3345
Quasar Distributors, LLC/Litman Gregory Funds 4892
Quasar Distributors, LLC/LKCM Funds 4883
Quasar Distributors, LLC/LoCorr Investment Trust 5569
Quasar Distributors, LLC/Logan Funds 3305
Quasar Distributors, LLC/MainGate MLP Funds 5366
Quasar Distributors, LLC/Mariner Funds 5406
Quasar Distributors, LLC/Matrix Advisors Value Fund, Inc. 4908
Quasar Distributors, LLC/McKinley Capital Equity Income Fund 7056
Quasar Distributors, LLC/MD Sass Funds 5918
Quasar Distributors, LLC/Merger Fund 6601
Quasar Distributors, LLC/Monetta Funds 5766
Quasar Distributors, LLC/Morgan Dempsey Funds 5354
Quasar Distributors, LLC/Muhlenkamp Fund 4986
Quasar Distributors, LLC/Mutual.com 5847
Quasar Distributors, LLC/Muzinich Funds 3646
Quasar Distributors, LLC/New Path Funds 3770
Quasar Distributors, LLC/Nuance Funds 5369
Quasar Distributors, LLC/Orinda Long Short Equity Fund 5931
Quasar Distributors, LLC/O'Shaughnessy Funds 5318
Quasar Distributors, LLC/Osterweis Fund 4840
Quasar Distributors, LLC/Pension Partners Fund 3367
Quasar Distributors, LLC/Permanent Portfolio 6627
Quasar Distributors, LLC/Perritt Funds 5602
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Quasar Distributors, LLC/Poplar Forest Partners Fund 5404
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Quasar Distributors, LLC/Primary Trend Fund 5858

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Quasar Distributors, LLC/Prospector Funds		5901		
Quasar Distributors, LLC/Provident Trust		3371		
Quasar Distributors, LLC/Purisma Funds		6643		
Quasar Distributors, LLC/Rainier Funds		4876		
Quasar Distributors, LLC/RBC Funds Trust		5413		
Quasar Distributors, LLC/Reinhart Funds		3307		
Quasar Distributors, LLC/Rochdale Funds		4990		
Quasar Distributors, LLC/Rockland Trust		5355		
Quasar Distributors, LLC/Roosevelt Funds		5636		
Quasar Distributors, LLC/Salem Trust		7010		
Quasar Distributors, LLC/Samson Funds		3346		
Quasar Distributors, LLC/Scharf Funds		3771		
Quasar Distributors, LLC/Schooner Growth & Income Fund		4723		
Quasar Distributors, LLC/Selector Management Fund		5729		
Quasar Distributors, LLC/Shenkman Funds		3374		
Quasar Distributors, LLC/Smead Value Fund		6686		
Quasar Distributors, LLC/Standard Insurance		3719		
Quasar Distributors, LLC/Stone Ridge Funds		7055		
Quasar Distributors, LLC/Strategic Income Management Funds		5905		
Quasar Distributors, LLC/TCM Small Cap Growth Fund		4898		
Quasar Distributors, LLC/Teberg Fund		4916		
Quasar Distributors, LLC/The Snow Capital Family of Funds		4976		
Quasar Distributors, LLC/Thomas White Funds		6636		
Quasar Distributors, LLC/Thompson IM Funds		5557		
Quasar Distributors, LLC/Tiedemann Funds		5731		
Quasar Distributors, LLC/Tortoise Core Infrastructure Fund		5168		
Quasar Distributors, LLC/US Bank Institutional Collective Funds		4695		
Quasar Distributors, LLC/USFS Funds		3363		
Quasar Distributors, LLC/Villere Balanced Fund		4886		
Quasar Distributors, LLC/Wall Street Fund		6626		
Quasar Distributors, LLC/WBI Funds		5326		
Quasar Distributors, LLC/WisCap Funds		6674		
Quasar Distributors, LLC/WY Funds		4874		
Quasar Distributors, LLC/YCG Funds		7008		
Quattro M Securities Inc.	QMSR	0100Δ		
Queens Road Securities, LLC		4884		
Quest Capital Strategies, Inc.	QUEC	0158Δ	0158†	CNS
Quest Capital Strategies, Inc.	QUET	0235Δ	0235†	CNS
Questar Capital Corp./Secondary		0527		
Questar Capital Corporation	POOH		0443†	CNS
Questrade Inc./CDS		5084		
Quoin Capital LLC	QUOI	0309Δ	0309†	CNS
R H Investment Corporation	RHIC	0279Δ	0279†	CNS
R.F. Lafferty & Co., Inc.	LAFC	0443Δ	0443†	CNS
R.F. Lafferty & Co., Inc.	LAFF	0443Δ	0443†	CNS
R.W. Pressprich & Co, Inc	RWPC	0443Δ		
R.W. Towt & Associates	TOWT	0750Δ	0750†	CNS
Rabo Securities USA, Inc.	RABO	0443Δ		
Radnor Research & Trading Company, LLC	RRTC	0158Δ		
Rafferty Capital Markets, LLC	JJKS	0161Δ	0161†	CNS
Rafferty Capital Markets, LLC		5726		
Rafferty Capital Markets, LLC	RAFF	0161Δ		
Rafferty Capital Markets, LLC / Satuit Funds		5867		
Rafferty Capital Markets, LLC / Sparrow Funds		5908		
Rafferty Capital Markets, LLC/Archer Funds		5124		
Rafferty Capital Markets, LLC/Berkshire Funds		5899		
Rafferty Capital Markets, LLC/Chou America Mutual Fund		5323		
Rafferty Capital Markets, LLC/Entrepreneur Shares		5367		
Rafferty Capital Markets, LLC/Epiphany Funds		6634		
Rafferty Capital Markets, LLC/Hagin Keystone Market Neutral Fund		3313		
Rafferty Capital Markets, LLC/Leuthold Funds		5656		
Rafferty Capital Markets, LLC/Longleaf Partner Funds		4863		
Rafferty Capital Markets, LLC/Marketocracy Funds		6682		
Rafferty Capital Markets, LLC/New Frontiers KC India Fund		3704		
Rafferty Capital Markets, LLC/Oracle Funds		5131		
Rafferty Capital Markets, LLC/PIP New Generation Fund		3723		
Rafferty Capital Markets, LLC/Ranger Funds Investment Trust		3775		
Rafferty Capital Markets, LLC/Tanaka Funds		5771		
Rainier Securities, LLC	RAIN		0226†	CNS

RAIT Securities	RAIT		0161†	CNS
Ramat Securities Ltd.	RMAT	0352Δ	0352†	CNS
Ramirez Tradetrek Securities, LLC	TREK		0226†	CNS
Ramius Securities, LLC	RSCO		0443†	CNS
Ramsey (M.) King Securities, Inc.	MRKS	0443Δ		
Raven Securities Corp.	RAMG	0050Δ		
Raymond C. Forbes & Co., Inc.	RAYF	0161Δ	0161†	CNS
Raymond James & Associates, Inc.	RAJA	0725	0725	CNS
Raymond James & Associates, Inc./Fl	RJFI	0390	0390	CNS
Raymond James & Associates, Inc./Morgan Keegan		0780		
Raymond James (USA) LTD.	RAJC	0158Δ		
Raymond James Ltd./CDS	MCMF	5076●		
RBC Capital Markets Corporation	DAIN	0235	0235	CNS
RBC Capital Markets Corporation	RBCD	0235Δ	0235†	CNS
RBC Capital Markets Corporation	RBCX	0235Δ	0235†	CNS
RBC Capital Markets Corporation	RBCM	0235Δ		
RBC Capital Markets Corporation	RBDA	0501Δ		
RBC Capital Markets Corporation/Retail		0071		
RBC Capital Markets, LLC	GELP	0235Δ		
RBC Captial Markets Arbitrage, S.A.	RCMA	0235Δ		
RBC Centura Securities, Inc.	CSIB		0443†	CNS
RBC Dominion Securities Corporation	RYDS	0235Δ		
RBC Dominion Securities Inc./CDS	RBCF	5002●		
RBS Securities Inc./Equities	RBSG	0425	0425	CNS
RBS Securities, Inc.		0248		
RD Capital Group, Inc.	RDCG	0443Δ		
RDM Investment Services, Inc.	RDMI		0226†	CNS
RDSC, LLC	RDSS		0141†	CNS
Realty Capital Securities, LLC		1042		
Realty Capital Securities, LLC		1046		
Realty Capital Securities, LLC		1053		
Realty Capital Securities, LLC		1682		
Realty Capital Securities, LLC		1688		
Realty Capital Securities, LLC		1690		
Realty Capital Securities, LLC		1692		
Realty Capital Securities, LLC		1694		
Realty Capital Securities, LLC		1732		
Realty Capital Securities, LLC		1734		
Realty Capital Securities, LLC		1859		
Realty Capital Securities, LLC		1860		
Realty Capital Securities, LLC		3833		
Realty Capital Securities, LLC		3834		
Reassure Life Insurance Company		4608		
Red Capital Markets, Inc.	PSIN		0443†	CNS
Redburn Partners (USA) LP	RDBN	0049Δ		
Redi Markets LLC	REDI	0158Δ	0158†	CNS
Redsky Financial, LLC	REDG	0099Δ		
RedSky Financial, LLC	RSKY	0279Δ		
RedSky Financial, LLC	RSFI	0608Δ		
Redsky Financial, LLC	RSFO	0695Δ		
Redwine & Company, Inc.	REWI		0443†	CNS
Reed (S.L.) & Company	SLRD	0352Δ	0352†	CNS
Regal Securities, Inc.	RGLD	0158Δ	0158†	CNS
Regal Securities, Inc.	RGLS	0235Δ	0235†	CNS
Regal Securities, Inc.	RGEL	0309Δ	0309†	CNS
Regional Brokers, Inc.	RBII		0141†	CNS
Regions Bank	FATN		6760	TFT
Regions Investment Services, Inc.		6359		
Regions Securities LLC	REGN		0226†	CNS
Regis Securities Corporation	QSEC	0235Δ	0235†	CNS
Reich & Tang Distributors, Inc.		5811		
Reid & Rudiger, LLC	RRUD	0235Δ	0235†	CNS
Reid and Associates	REID		0141†	CNS
Reinoso & Company, Incorporated	ROPK		0443†	CNS
Reliance Standard Life Insurance Company		4756		
Reliance Trust Company		4896		
Reliance Trust Company		5962		
Reliance Trust Company/MetLife Stable Value Fund		5312		
Reliance Trust Company/Pathway Target Date Funds		3327		
Reliance Trust Company/SSB&T		3636		
Reliance Trust Company/SWMS1		2042		
Reliance Trust Company/SWMS2		2085		
Reliance Worldwide Investments, LLC	RWWI	0279Δ	0279†	CNS
Reliant Trading	RTRS	0352Δ	0352†	CNS
Reliastar Life Insurance Company		4568		
Reliastar Life Insurance Company of New York		4653		
Reliastar Life Insurance Company/Life & Annuity Product Investment Trading		4652		
Reliastar Life Insurance Company/Reliastar New York		4606		
Reliastar Life Insurance Company/Reliastar Retirement Plans		4603		
Religare Capital Markets Inc.	RCUS	0049Δ		
Renaissance Capital Greenwich Funds		5454		
Rencap Securities, Inc.	RENC	0352Δ	0352†	CNS
Rensselaer Securities Corporation	RENS	0158Δ	0158†	CNS
Repex & Co., Inc.	RPEX	0352Δ	0352†	CNS
Resource Horizons Group, LLC	RHGL		0226†	CNS
Resource Horizons Group, LLC		0676		
Retirement Resources Investment Corp.	RRIC	0235Δ		
Reuven Enterprises Securities Division, Inc.	REUV	0158Δ	0158†	CNS
Revere Securities Corp.	KART	0750Δ	0750†	CNS
Revere Securities Corp.	REVR	0100Δ		
Reynolds Funds, Inc.		6573		
RFS Partners		4989		
R-G Investment Corporation	RGIC		0443†	CNS
RGM Securities, LLC	HBIW	0103Δ		
RGM Securities, LLC	HBIF	0695Δ		
Rhino Trading Partners, LLC	RINO	0443Δ	0443†	CNS
Rhodes Securities, Inc.	RHDS		0141†	CNS
Rice Financial Products Company	APEX		0443†	CNS
Rice, Voelker LLC	CEON	0512Δ	0352†	CNS
Richards, Merrill & Peterson, Inc.	RMPI		0141†	CNS
Rideau (I.C.) Lyons and Co.	ICRL		0443†	CNS

Ridgeway & Conger, Inc.	RWCI	0052Δ	0052†	CNS
Ridgewood Associates Inc. NJ	RGWD		0141†	CNS
Riedl, First Securities Company of Kansas	RIED	0235Δ	0235†	CNS
Riley (B.) & Co., Inc.	RILY	0226Δ		
Riley (E.K.) Investments, LLC	EKRI		0141†	CNS
RIM Securities LLC	ROCM	0443Δ		
River Cross Securities, LLLP	PRRX	0551Δ		
River Cross Securities, LLLP	RCSL	0551Δ		
Rivernorth Securities, LLC	RNSI	0017Δ		
Riverstone Wealth Management, Inc.	RSWM		0141†	CNS
Riviere Securities Inc.	BCLT	0309Δ		
Rivkin (H.) & Co., Inc.	HRIV	0158Δ		
RJJ Pasadena Securities, Inc.	RJJZ	0443Δ		
RJL Capital Group, LLC	RJLB	0161Δ	0161†	CNS
RJL Capital Group, LLC	RJLC	0158Δ		
RNA Capital, Inc.	RNAC	0309Δ	0309†	CNS
Robeco Trust Company		5325		
Robert B. Ausdal & Co., Inc.	RBAC	0235Δ	0235†	CNS
Robert Van Securities, Inc.	RVSI		0103†	CNS
Robert W. Baird & Co.		4905		
Roberts & Ryan Investments, Inc.	RRII		0443†	CNS
Robinson & Lukens, Inc.	RLUK	7607	7607	CNS
Robinson & Robinson, Inc.	ROBI	0235Δ	0235†	CNS
Robotti & Company, LLC	ROBT	0443Δ	0443†	CNS
Rochdale Securities, LLC	ROCH		0443†	CNS
Rockfleet Financial Services, Inc.	RCKF	0309Δ	0309†	CNS
Rockwell Global Capital, LLC	ROCK	0235Δ	0443†	CNS
Rockwell Securities LLC	RCKW	0235Δ	0235†	CNS
Rockwell Securities LLC	RKWS	0235Δ	0235†	CNS
Rodecker & Co. Investment Brokers, Inc.	RODE		0443†	CNS
Rodgers Brothers, Inc.	ROBO	0235Δ	0235†	CNS
Rogo Capital LLC	ROGO	0750Δ	0750†	CNS
Romano Brothers and Company	ROMO		0141†	CNS
Ronin Capital, LLC	RONI	0501Δ		
Roosevelt & Cross, Incorporated	RCRS	6931	6931	CNS
Rosenblatt Securities Inc.		0233		
Rosenblatt Securities Inc.	RBLT	0501Δ		
Rosenblatt Securities Inc.	ROSN	0501Δ		
Ross Sinclaire & Associates, LLC	RSAI	0443Δ	0443†	CNS
Roth Capital Partners, LLC	ROTH	0161Δ	0161†	CNS
Rothschild Investment Corporation	RTHS	0443Δ		
Rothschild Lieberman LTD	RSEC	0501Δ	0501†	CNS
Rowe (T.) Price Investment Services, Inc.		5586		
Royal Alliance Associates, Inc.	RAAI		0226†	CNS
Royal Alliance Associates, Inc.	ROYL	0443Δ	0443†	CNS
Royal Alliance Associates, Inc.		0089		
Royal American Investment Services, Inc.	RAIS		0443†	CNS
Royal Securities Company	RYAL	0235Δ	0235†	CNS
Royce Fund Services Inc.		5663		
RP Capital LLC	RPCP		0052†	CNS
RREEF America L.L.C.		3513		
RS Platou Markets, Inc.	PLAT	0443Δ		
RSG Capital Corp.	RAFI	0750Δ		
Ruan Securities Corporation	RANS	0727Δ	0727†	CNS
Ruane, Cunniff & Goldbarb LLC	RCSK	0443Δ	0642†	CNS
Ruane, Cunniff & Goldbarb LLC		3726		
Russell Financial Services, Inc.		5762		
Russell Implementation Srvs., Inc. Pt of Russell Inv.	RSSE	0443Δ	0443†	CNS
Russell Trust Company		4832		
Russell Trust Company		5964		
Ryan, Beck & Co.	RYAN		0443†	CNS
S. Goldman Capital LLC	SGCM	0161Δ	0161†	CNS
S.F. Investments, Inc.	SFPS	0443Δ	0443†	CNS
Sabadell Securities USA, Inc.	SABS	0443Δ	0443†	CNS
Safian Investment Research Inc.	SIRI		0352†	CNS
Safra Securities Corp.	SSCO	0443Δ		
Sage, Rutty & Co., Inc.	SAGE		0141†	CNS
Sagepoint Financial, Inc		0144		
Sagepoint Financial, Inc.	AIGN		0226†	CNS
Sagepoint Financial, Inc.	AIGF	0443Δ	0443†	CNS
Sagepoint Financial, Inc./FAB		0522		
Sagepoint Financial, Inc./FAI		0182		
Sagepoint Financial, Inc/ FA2		0556		
Sagetrader, LLC	BIAD	0551Δ		
Salt Creek Securities, LLC	SCRK	0103Δ		
Samco Capital Markets, Inc.	SRAM		0443†	CNS
Sammons Securities Company, LLC	SMNS		0226†	CNS
Sammons Securities Company, LLC		5347		
Sammons Securities Company, LLC/Sammons Financial Network LLC		3608		
Samsung Securities Services, Inc.	SSNY	0651Δ		
Samuel A. Ramirez & Company, Inc.	RREZ	0352Δ	0352†	CNS
Samuel A. Ramirez & Company, Inc.	RRPR		0443†	CNS
Samurai Trading, LLC	SAMU	0103Δ		
Sanderlin Securities, LLC	SSLC	0309Δ	0309†	CNS
Sanders Morris Harris Inc.	SMHJ		0141†	CNS
Sandgrain Securities, Inc.	GRIN	0443Δ	0443†	CNS
Sandler, O'Neill & Partners, L.P.	SDLR	0352Δ	0352†	CNS
Sanford C. Bernstein & Co., LLC	SBER	0013Δ	0013†	CNS
Sanford C. Bernstein & Co., LLC	BIRM	0013Δ		
Sanford C. Bernstein & Co., LLC	BLAV	0013Δ		
Santander Investment Securities Inc.	SANT	0443Δ		
Santander Securities Corporation	SSPR		0443†	CNS
Saperston Asset Management, Inc.	SAPA		0141†	CNS
Saratoga Capital Markets	SCSC	0161Δ	0161†	CNS
Saturna Brokerage Services, Inc.		4983		
Saudi American Bank	SABF		0161†	CNS
Sauer Dazey Investments Co.	SDIC	0235Δ	0235†	CNS
Savings Bank Life Insurance Company of Massachusetts (SBLI)		6135		
Savoy Capital Management, Inc.	SAVY	0235Δ	0235†	CNS
Saxis Group, Inc.	CADG	0501Δ		
Saxony Securities, Inc.		3358		
Saxony Securities, Inc.	SAXO	0443Δ		
Saybrook Capital Corporation	SAYB		0103†	CNS
Scarsdale Equities, LLC	SCAR	0443Δ		
SCF Securities, Inc.	SCFA		0226†	CNS

Schottenfeld Group LLC	SHOT	0501Δ		
Schroder Fund Advisors LLC		4956		
Schroder Fund Advisors LLC/Schroder Fund Advisors International Division		4853		
Schwab Retirement Plan Services Company		7119		
Schwartz, Gregory J. & Co. Inc.	GJSS	0235Δ	0235†	CNS
Scotia Capital (USA) Inc.	SCMC	0096		
Scotia Capital Inc./CDS	SCMF	5011●		
Scottrade, Inc.	SCTO	0158Δ	0158†	CNS
Scottrade, Inc.	SSIC	0705		
Scottsdale Capital Advisors	JOHN	8072Δ		
Scottsdale Capital Advisors Corp.	SCAI	0158Δ	0158†	CNS
Scout Trading LLC	SCTR	0103Δ		
SCS (USA) Inc.	SCSU	0158Δ		
Sea Port Group Securities, LLC	SPGS	0161Δ	0161†	CNS
Seaboard Securities, Inc.	SEAI	0100Δ	0100†	TFT
Seaboard Securities, Inc.	SEAB	0052Δ		
Seapine Securities, LLC	SEPN		0161†	CNS
Seaport Securities Corp.	SEAP	0158Δ	0158†	CNS
Searle & Co.	SERL	0443Δ	0443†	CNS
Second Street Securities, Inc.	SECS		0161†	CNS
SecondMarket, Inc.	GRDK	0443Δ	0443†	CNS
Secura Investment, Inc.	SECR	0727Δ		
SecureVest Financial Group	SVPB		0279†	CNS
Securevest Financial Group	MAMA	0352Δ	0352†	CNS
Securities America, Inc.	SAIN	0226Δ	0226†	CNS
Securities America, Inc.		0590		
Securities Equity Group	DOVE		0226†	CNS
Securities Industry Management Corp.	SIMC		0443†	CNS
Securities Management & Research, Inc.	SMRI	0226Δ	0226†	CNS
Securities Service Network, Inc		0247		
Securities Service Network, Inc.	SSNI	0226Δ	0226†	CNS
Securitrade Corporation	BDAK	0727Δ	0727†	CNS
Security Benefit Life Insurance Company		4527		
Security Capital Brokerage, Inc.	SCTI		0443†	CNS
Security Life of Denver/Mutual Funds		4668		
Security Research Associates Inc.	SRES	0103Δ		
Security Research Associates, Inc.	SRFL	0443Δ	0443†	CNS
Seelaus (R.) & Co., Inc.	SEEL		0141†	CNS
Segerdahl and Company	SEGE		0443†	CNS
SEI Investments Distribution	SEIC	0352Δ	0352†	CNS
SEI Investments Distribution Co./Advisors Inner Circle Fund		5763		
SEI Investments Distribution Co./Advisors Inner Circle Fund II		7052		
SEI Investments Distribution Co./Causeway Capital Management Trust		4913		
SEI Investments Distribution Co./CRA Funds		5748		
SEI Investments Distribution Co./First Hawaiian Fund		5606		
SEI Investments Distribution Co./FMB Funds		5565		
SEI Investments Distribution Co./Hancock Horizon Family of Funds		6691		
SEI Investments Distribution Co./KKR Series Trust		7002		
SEI Investments Distribution Co./RiverPark Funds Trust		5343		
SEI Investments Distribution Co./SEI Institutional Funds		5717		
SEI Investments Distribution Co./TD Asset Management USA Funds Inc.		6539		
SEI Investments Distribution Co./UA S&P 500 Index Fund		4973		
SEI Investments Distribution Co./Wilshire Funds		4875		
SEI Investments Distribution Co/New Covenant Funds		6536		
SEI Private Trust Company		2039		
SEI Private Trust Company		7110		
SEI Private Trust Company/C/O/ GWP		2663		
SEI Trust Company		4952		
Seibert (J.D.) & Company, Inc.	SEIB	0158Δ	0158†	CNS
Seidel & Shaw, LLC	SEID	0158Δ	0158†	CNS
Seidel & Shaw, LLC	DAVW	0158Δ	0309†	CNS
Selkirk Investments, Inc.	EMPR	0279Δ	0279†	CNS
Seminole Financial	SEMT	0197Δ	0197†	CNS
Sendero Securities, LLC	SEND	0352Δ		
Sentinel Brokers Company	SEBR	0279Δ	0279†	CNS
Sentinel Financial Services Company		5873		
Sequoia Investments, Inc.	SEQU	0309Δ	0309†	CNS
Seslia Securities	SESL	0099Δ		
Seslia Securities, Inc.	SESL		0158†	CNS
Sethi Financial Investments	SSFG	0750Δ		
Seton Securiteis Group, Inc.	SSGI	0052Δ	0443†	CNS
Seven Points Capital, LLC	SPTS	0049Δ		
Seven Points Capital, LLC	SEVP	0158Δ		
SF Sentry Securities, Inc.	SFSS	0352Δ	0352†	CNS
SG Americas Securities, LLC	SGAS	0286	0286	CNS
SG Americas Securities, LLC	SGOV	0286Δ	0286†	CNS
Shareholders Services Group Inc.	SSGR	0443Δ	0443†	CNS
Shaw (D.E.) Securities, LLC	SHAW	0352Δ		
Shay Financial Services, Inc.	SHAY	0443Δ	0443†	CNS
Shearman, Ralston, Inc.	RACO	0158Δ	0158†	CNS
Shelby Cullom Davis & Co., LP	DAVI		0226†	CNS
Shepard & Vrbanac Securities, Inc.	SPDV	0727Δ	0727†	CNS
Sherman (David) & Co.	SHER	0727Δ		
Shoreline Trading Group LLC	SHTP	0158Δ		
Shufro, Rose & Company, LLC	SHRE	0352Δ	0352†	CNS
SIA Securities Corp.		5779		
Sidoti & Company, LLC	SIDC	0100Δ		
Siebert (Muriel) & Co., Inc.	SBRT	0226Δ	0226†	CNS
Siebert Brandford Shank & Co., LLC	SBSC		0226†	CNS
Sierra Equity Group Ltd.	BBII	0235Δ	0235†	CNS
Sierra Income Corporation		1834		
Sierra Pacific Securities, LLC	SPAC	0309Δ	0309†	CNS
Sigma Financial Corporation	SGFC		0226†	CNS
Sigma Financial Corporation		5346		

Signal Securities, Inc.	SGNL	0279Δ	0279†	CNS
Signator Investors, Inc.	JHDI		0226†	CNS
Signature Securities	SSGC	0226Δ	0226†	CNS
SignatureFD, LLC		3456		
SII Investments		0640		
SII Investments, Inc.	SIIW		0443†	CNS
Silver Leaf Partners, LLC	LEAF	0443Δ		
Simmons & Company International	SIMM	0443Δ	0443†	CNS
Simmons First Investment Group, Inc.	SIGI	0309Δ	0309†	CNS
Sims (Herbert J.) & Co., Inc.	HJSC		0443†	CNS
Sisung Securities Corp.	SSNG	0443Δ	0443†	CNS
Sky Capital LLC	SKYC	0750Δ	0750†	CNS
Skyebanc Inc.	SKYE		0226†	CNS
SL Hare Capital, Inc.	SLHC	0103Δ	0103†	CNS
SMBC Nikko Securities America, Inc.	SUMI	0443Δ	6700	TFT
SMF Trading, Inc.	SMFT	0103Δ		
SMF Trading, Inc.	SMFW	0103Δ		
SMH Capital Inc.	SMHI	0443Δ	0443†	CNS
Smith (E.H.) Jacobs & Co., Inc.	EHSC	0161Δ	0161†	CNS
Smith (R.W.) & Associates, Inc.	RWSM	0279Δ	0279†	CNS
Smith Hayes Financial Services Corp./Colorado Bondshares		5708		
Smith Hayes Financial Services Corporation	SHFS	0226Δ	0226†	CNS
Smith Hayes Financial Services Corporation		3705		
Smith Hayes Financial Services Corporation		5575		
Smith, Brown & Groover, Inc.	SGBI		0226†	CNS
Smith, Moore & Co.	SMMO	0494	0494	CNS
Smith, Moore & Co.	SMCO	0235Δ	0235†	CNS
SNC Capital Management Corp.	SNCC	0158Δ		
Snowden Account Services, Inc.	SNWS		0443†	CNS
Solowey & Co.	SLWY	0226Δ	0226†	CNS
Solowey & Co.	SOLO	8006		
Sorrento Pacific Financial, LLC		0346		
Sorsby Financial Corp.	SORS	0235Δ	0235†	CNS
Source Capital Group, Inc.	SORE		0141†	CNS
South Georgia Capital LLC		3414		
Southeast Investments N.A., Inc.	SEIN		0226†	CNS
Southlake Capital LLC	SLAK	0279Δ	0279†	CNS
Southwest Securities, Inc.	SWST	0279	0279	CNS
Southwest Securities, Inc.		1956		
Southwestern Capital Market, Inc.	SCMT		0279†	CNS
Souza Barros Securities, Inc.	SOUZ	0443Δ		
Spartan Securities Group, Ltd.	MICA	0158Δ		
Specialist Clearance	SPCL	0352Δ		
Spectrum Asset Management Inc.	SAMI	0352Δ	0352†	CNS
Spectrum Capital, Inc.	SPCA		0188†	CNS
Spencer Clarke LLC	SPEN		0443†	CNS
Spencer Edwards, Inc.	SPIN	0750Δ	0750†	CNS
Spencer Edwards, Inc.	SEDS	0052Δ		
Spencer Edwards, Inc.	SEII	8072Δ		
Spencer Trask Ventures, Inc.	STSI	0235Δ	0235†	CNS
Spencer-Winston Securities Corporation	SPWI	0158Δ	0158†	CNS
Spire Securities, LLC	SPLC		0226†	CNS
Spring Street Partners, L.P.	SSPT	0352Δ	0352†	CNS
Spyglass Securities, LLC	SPYG	0052Δ	0052†	CNS
SQT Trading, LLC	SQTF	0695Δ		
SSB - Bank Portfolio	STBK		2436	TFT
SSB-Trust Custody		2319		
St Bernard Financial Services, Inc	KEEN	0309Δ		
STAAR Investment Trust		6736		
Stanchart Securities International, Inc.	SCFL		0443†	CNS
Standard Chartered Securities (North America) Inc.	SCBS	0443Δ		
Standard Credit Securities	SCSI	0370Δ	0370†	CNS
Standard Insurance Company		4701		
Standard Investment Chartered, Inc.	STVT	0103Δ		
Stark (R.M.) & Co., Inc.	RMST	0443Δ	0443†	CNS
Stark Municipal Brokers	SPSR		0279†	CNS
State Discount Brokers Inc.	STDB	0158Δ	0158†	CNS
State Farm VP Management Corp.		4971		
State Street /ACH HR Solutions LLC/Ford Motor Company		2524		
State Street Bank & Trust Co./ACS HR Solutions, LLC/DTCC		5966		
State Street Bank & Trust Co./ACS HR Solutions/Rio Tinto		5329		
State Street Bank & Trust Co./ACS/Glaxosmithkline		5623		
State Street Bank & Trust Co./ADP-Morgan Stanley		5991		
State Street Bank & Trust Co./AXA Equitable Life Insurance Company		0228		
State Street Bank & Trust Co./FBO Qualified Plans		5165		
State Street Bank & Trust Co./IBT		4946		
State Street Bank & Trust Co./IBT-Account # 2		2767		
State Street Bank & Trust Co./Inst'l Custody		2132		
State Street Bank & Trust Co./JP Morgan Retirement Plan Services/Deluxe Cp		5435		
State Street Bank & Trust Co./JP Morgan Retirement Plan Services/Hallmark Cards Inc.		2547		
State Street Bank & Trust Co./Lincoln Retirement Services		3752		
State Street Bank & Trust Co./Mainstay		0487		
State Street Bank & Trust Co./NYLIM/SIP		5953		
State Street Bank & Trust Co./Sanford Bernstein Alliance Capital		6519		
State Street Bank & Trust Co./T. Rowe Price Retirement Plan Services		5968		
State Street Bank & Trust Co./Wealth Management Services 2		2955		
State Street Bank & Trust Co./Wealth Manager Services		6503		
State Street Bank & Trust Company		2950		

State Street Bank & Trust Company/Hewitt Associates/Estee Lauder		7037		
State Street Bank & Trust Company/Hewitt/Ally		7004		
State Street Bank & Trust Company/ING/State of Minnesota		3689		
State Street Bank & Trust Company/JPM Retirement Plan Services/Bechtel		3629		
State Street Bank & Trust Company/JPMorgan/Celanese Americas LLC		7022		
State Street Bank & Trust Company/JPMorgan/Pacificorp Plus Employees Savings Plan		3686		
State Street Bank & Trust Company/NRS/Bechtel NR Program DC Master Trust		3647		
State Street Bank & Trust Company/NRS/Delphi		3687		
State Street Bank & Trust Company/NRS/Halliburton Company		7086		
State Street Bank & Trust Company/NRS/Intel		5197		
State Street Bank & Trust Company/NRS/NADART		7078		
State Street Bank & Trust Company/NRS/SBERA		3353		
State Street Bank & Trust Company/NRS/Wichita Retirement Systems		7066		
State Street Global Markets, LLC	SSBS	0189	0189	CNS
State Street Global Markets, LLC	SSLL	0189Δ	0189†	CNS
State Street Global Markets, LLC	SSGM	0226Δ	0226†	CNS
State Street Global Markets, LLC		5641		
State Street Global Markets, LLC	PULT	0443Δ		
State Street Global Markets, LLC	PULX	0443Δ		
State Street Global Markets, LLC/State Street Collective Fund		6511		
State Street/ACS/ConAgra Foods		5100		
State Trust Capital, LLC		6576		
State Trust Investments, Inc.	STTR		0443†	CNS
StateTrust Investments Inc.	STTT	0052Δ	0052†	CNS
Steben & Company, Inc.		3841		
SteelPoint Securities, LLC	SHIV		0226†	CNS
Stephen A. Kohn & Associates, Ltd.	SAKL		0226†	CNS
Stephens, Inc.	SPHN	0419	0419	CNS
Sterling Enterprise Group, Inc.	SEGI	0750Δ	0750†	CNS
Sterling Grace Municipal Securities Co.	STGC	0279Δ	0279†	CNS
Sterling Investments	SLIN		0052†	CNS
Sterling Trader Execution Services, Inc.	STHL	0873Δ		
Sterling Trader Execution Services, Inc.	STRL	0873Δ		
Stern Brothers & Co.	SBRO		0443†	CNS
Stern Fisher Edwards, Inc.	SFEI		0226†	CNS
Sterne Agee & Leach, Inc	SALC	0443Δ	0443†	CNS
Sterne Agee Capital Markets, Inc.	FGIS	0750Δ		
Sterne Agee Capital Markets, Inc.	SACM	0750Δ		
Sterne Agee Financial Services	SAFS	0750Δ	0750†	CNS
Sterne Agee Financial Services, Inc.	ITCC	0750Δ	0750†	CNS
Sterne, Agee & Leach, Inc.	SALI	0750	0750	CNS
Steven L. Falk & Associates	FALK	0235Δ	0235†	CNS
Stewart (W.P.) Securities Limited	WPST		0443†	CNS
Stifel Nicolaus Canada Inc.	SFCN	5008Δ		
Stifel, Nicolaus & Company Incorporated	STFL	0793	0793	CNS
Stifel, Nicolaus & Company Incorporated	TWPL	0793Δ	0793†	CNS
Stillpoint Wealth Management, LLC	SPWM		0443†	CNS
Stinson Securities, LLC	STIN		0103†	CNS
Stock Depot, Inc.	SDPT	0750Δ		
Stock Traders	SKTR	0279Δ	0279†	CNS
Stock USA Execution Services, Inc.	ALGO	0158Δ	0158†	CNS
Stock USA Execution Services, Inc.	MSTR	0158Δ		
Stock USA Execution Services, Inc.	SUSA	0158Δ		
Stock USA Investments	SPTD	0158Δ	0158†	CNS
Stockcross Financial Services, Inc.	STOX	0445	0445	CNS
Stockcross Financial Services, Inc.	STOS	0226Δ	0226†	CNS
Stockcross Financial Services, Inc.	STXG	0445Δ		
Stoever, Glass & Co Inc.	STOV		0443†	CNS
Stofan, Agazzi & Company, Inc.	SZZI		0141†	CNS
Stone & Youngberg LLC	STYO		0443†	CNS
Stone Coast Fund Services LLC		1775		
Stone Coast Fund Services LLC		1776		
Stone Coast Fund Services LLC		1786		
Stone Coast Fund Services LLC		1787		
Stone Coast Fund Services LLC		1788		
Stone Coast Fund Services LLC		1791		
Stonecastle Securities, LLC	SCSS	0161Δ	0161†	CNS
StormHarbour Securities LP	STHR	0352Δ	0352†	CNS
Strand Atkinson Williams & York, Inc.	ATKC		0141†	CNS
Strategas Research Partners, LLC	STRS	0161Δ	0161†	CNS
Strategic Capital Investments, LLC	SCIL	0052Δ	0052†	CNS
Strategic Financial Alliance (The)	TSFA		0443†	CNS
Stuart Frankel & Co., Inc.	STFC	0443Δ		
Studness Research	STUD	0352Δ		
Sturdivant & Co	STUR	0100Δ		
Sturges Company (The)	TSCD	0235Δ	0235†	CNS
Success Trade Securities, Inc	STJT	0052Δ		
Success Trade Securities, Inc.	STSS	0052Δ		
Success Trade Securities, Inc.	VERN	0052Δ		
Summer Street Research Partners	SUMM	0443Δ		
Summit Alliance Securities, L.L.C.	SIGS	0443Δ		
Summit Brokerage Services, Inc.	SUMT	0141Δ	0141†	CNS
Summit Brokerage Services, Inc.	SMPR		0443†	CNS
Summit Equities, Inc.	SEMQ		0226†	CNS
Sumridge Partners, LLC	SMRD	0352Δ	0352†	CNS
Sun Life Assurance Co. of Canada (U.S.)/Columbia Funds Distribution		4644		
Sun Life Assurance Co. of Canada (U.S.)/Sun Life Financial Investments (Bermuda) Ltd.		4647		

Sun Life Assurance Company of Canada (US)		4518		
Sun Life Assurance Company of Canada (US)		6794		
Sun Life Assurance Company of Canada/Life Business		4649		
Sun Life Insurance & Annuity Co. of NY (U.S.)/Columbia Funds Distribution		4645		
Sun Life Insurance & Annuity Co. of NY (U.S.)/Insurance Products		4646		
Sun Life Insurance and Annuity Company of New York		4519		
Sun Trading, LLC	SUNI	0396Δ		
Sun Trading, LLC	SUNF	0695Δ		
SunAmerica Capital Services, Inc.		5596		
SunAmerica Capital Services, Inc./Valic	SBLT	0226Δ	0226†	CNS
SunAmerica Capital Services, Inc./Valic		5560		
SunGard Brokerage & Securities Services, LLC	ASSD	0442		
SunGard Brokerage & Securities Services, LLC	ANDZ	0050Δ		
SunGard Brokerage & Securities Services, LLC	ASNC	0050Δ		
SunGard Brokerage & Securities Services, LLC	ASNX	0050Δ		
SunGard Brokerage & Securities Services, LLC	ASSA	0050Δ		
SunGard Brokerage & Securities Services, LLC	ASSD	0050Δ		
SunGard Brokerage & Securities Services, LLC	FREX	0050Δ		
SunGard Brokerage & Securities Services, LLC	FRET	0501Δ		
SunGard Brokerage & Securities Services, LLC	SUNB	0501Δ		
Sungard Institutional Brokerage Inc.	SIBI		0573†	CNS
Sungard Institutional Brokerage Inc.		6485		
Sungard Institutional Brokerage Inc./Intermediary Responses		6495		
Sunrise Brokers LLC	SUBR	0100Δ		
Sunrise Securities Corp.	SUNR	0161Δ	0161†	CNS
Sunset Financial Services, Inc.	SSET		0226†	CNS
Sunstreet Securities, LLC	SUST	0235Δ	0235†	CNS
SunTrust Bank - DTC #2971		2971		
SunTrust Bank/401K Mutual Funds Settlement		2810		
SunTrust Capital Markets, Inc.	RHCO	0226Δ	0418†	CNS
SunTrust Investment Services, Inc.	SSIN	0226Δ	0226†	CNS
SunTrust Robinson Humphrey Inc	STCM		2482	TFT
SunTrust Securities, Inc.		0153		
Superior Financial Services, Inc.	SFSI	0235Δ	0235†	CNS
Surety Financial Services	MESI		0226†	CNS
Surge Trading Inc.	SURG	0100Δ		
Susquehanna Capital Group	SUSQ	0551Δ	0551†	CNS
Susquehanna Financial Group, LLC	SUFI	0551Δ	0551†	CNS
Susquehanna Financial Group, LLP	SFGB	0161Δ	0161†	CNS
Susquehanna Fixed Income, L.P.	SUSF		0551†	CNS
Sutter Securities Incorporated	SUTT	0443Δ	0443†	CNS
SVB Securities, Inc./A Non-Bank Affiliate of Silicon Valley Bank	SVBS	0158Δ	0158†	CNS
SWBC Investment Services, LLC	SWBC		0226†	CNS
SWBT Securities, Inc.	SWTX		0443†	CNS
Swedbank First Securities, LLC	SWFS	0443Δ		
Sweney, Cartwright & Company	SWEN	7027	7027	CNS
Symetra Investment Services, Inc.	SFCO		0443†	CNS
Symetra Life Insurance Company		4609		
Symetra Life Insurance Company		5161		
Symetra Life Insurance Company/Symetra Fee Based		3618		
Symetra Life Insurance Company/Symetra Mutual Funds Trust		3692		
Symphonic Securities LLC	SYMP	0443Δ	0443†	CNS
Syndicated Capital, Inc.	SYCA	0443Δ	0443†	CNS
Syndicated Capital, Inc.		4881		
Synovus Securities Inc.	CBTD	0226Δ	0226†	CNS
T. R. Winston McKinney & Company, LLC	TRWN	0443Δ	0443†	CNS
T. Rowe Price Investment Services, Inc./SICAV Funds SICAV		4911		
T. Rowe Price Retirement Plan Services, Inc.		7117		
T.Rowe Price Investment Services Inc./ T.Rowe Price Trust Company		5907		
T.S Phillips Investments	TSPI		0141†	CNS
Taglich Brothers, Inc.	TAGS	0443Δ	0443†	CNS
Tahoe Fixed Income LLC	TAFI	0750Δ	0750†	CNS
Take Charge Financial	TCFF	0750Δ		
TCAdvisors Network, Inc.	TCAN	0443Δ	0443†	CNS
TCM Capital, Inc.	TCMU		0443†	CNS
TCT Securities & Derivatives, LLC	TCTD	0750Δ		
TCW Funds Distributors		6563		
TCW Funds Distributors/TCW Funds (Luxembourg)		3359		
TD Ameritrade Clearing, Inc.	FOMA	0188	0188	CNS
TD Ameritrade Clearing, Inc.		3867		
TD Ameritrade Trust Company		5982		
TD Ameritrade Trust Company		6566		
TD Ameritrade, Inc.	TDAR	0188Δ	0188†	CNS
TD Ameritrade, Inc.	SWIM	0158Δ		
TD Securities (USA) LLC	TDPA		0226†	CNS
TD Securities (USA) LLC	TDSI	0352Δ	0352†	CNS
TD Securities (USA) LLC	TDED	0158Δ		
TD Securities (USA) LLC	TDNY	0551Δ		
TD Waterhouse - Norstar Securities/CDS	NSIF	5061●		
TD Waterhouse Canada Inc. #2/CDS	GLII	5005●		
TD Waterhouse Canada Inc. #3		5033		
TD Waterhouse Canada Inc. #5/CDS	GLIR	5021●		
TD Waterhouse Canada Inc. #6/ATP	GLIP	5062●		
TD Waterhouse Canada Inc./CDS	GLIS	5036●		
TD Waterhouse-ITG Canada/CDS		5035		
Teachers Advisors, Inc.		5668		

Teachers Advisors, Inc./Life Funds		5392		
Teachers Insurance and Annuity Association of America		4693		
Teachers Insurance and Annuity Association of America/TIAA-CREF Life		5683		
Teckmeyer Financial Services	TFSL	0235Δ	0235†	CNS
Tejas Securities Group, Inc.	TEJS	0158Δ	0158†	CNS
Tejas Securities Group, Inc.	TSGI	0158Δ	0158†	CNS
Telemus Investment Brokers, LLC	TIBS		0443†	CNS
Telsey Advisory Group LLC	TAGC	0161Δ	0161†	CNS
Templeton Sicav Funds/Franklin/Templeton Distributors Inc.		5690		
Terminus Securities, Inc.	TRMS	0309Δ	0309†	CNS
TFS Derivatives Corporation	TFSV	0595Δ		
TGH Securities, Inc.	TGHS		0443†	CNS
The Bank of New York Mellon		0901		
The Bank of New York Mellon /MIDCAP SPDRS		2209		
The Bank of New York Mellon/ Mellon Trust of New England, National Association		0954		
The Bank of New York Mellon/BNYM ETF - UIT DTC/NSCC 0963		0963		
The Bank of New York Mellon/Mellon Securities Trust Co.		0904		
The Bank of New York Mellon/RRZ Public Markets, Inc.	RRZP		6033	TFT
The Bank of New York Mellon/Southtrust Bank of Alabama	SALA		6523	TFT
The Bond House	BHSE	0309Δ	0309†	CNS
The Fig Group, LLC	FIGG	0750Δ		
The Princeridge Group LLC	PRCO	0443Δ	0443†	CNS
The Rockwell Financial Group, Inc.	RFRC	0309Δ	0309†	CNS
The Street, Inc.	TSIB	0750Δ		
The United States Life Insurance Company In The City Of New York/SE2		4536		
The Vertical Trading Group, LLC	VGRP	8072Δ		
Themis Trading LLC	THEM	0443Δ		
ThinkEquity LLC	THNK	0158Δ		
ThinkEquity Partners LLC	VISR	0158Δ		
Thomas Group Capital	TSEC	0158Δ	0158†	CNS
Thomas McDonald Partners, LLC	TMCD		0141†	CNS
Thomas P. Reynolds Securities Ltd	REYN	0352Δ	0352†	CNS
Thompson Davis & Co., Inc.	TDCO	0443Δ	0443†	CNS
Thompson Davis & Co., Inc.		3836		
Thornburg Securities Corporation		5541		
Thornburg Securities Corporation/Thornburg Global Investment PLC		3352		
Thornton, Farish & Gauntt	THFA		6806	TFT
Thoroughbred Financial Services, LLC	TBRD		0226†	CNS
Thoroughbred Financial Services, LLC		6903		
Thrasher & Company	TRSH	0309Δ	0309†	CNS
Thrivent Investment Management, Inc.	AALC		0226†	CNS
Thrivent Investment Management, Inc.		7243		
Thrivent Mutual Funds		5106		
Thurston, Springer, Miller, Herd & Titak, Inc.	TMBI	0235Δ	0235†	CNS
TIAA-CREF Individual and Institutional Services, LLC	CREF		0443†	CNS
TIB The Independent Bankers Bank	TIBD		6412	TFT
Tibra Trading America LLC	TTAL	0695Δ		
Ticonderoga Securities LLC	REND		0226†	CNS
Ticonderoga Securities LLC	FORT		0443†	CNS
Timber Hill LLC	TMBR	0549	0549	CNS
Title Securities, Inc.	DIRA	0103Δ		
Title Securities, Inc.	DIRL	0103Δ		
Title Securities, Inc.	DIRX	0158Δ		
TJM Investments, LLC	TJMI	0551Δ		
TMC Bonds, L.L.C.	TMCC		0443†	CNS
Tobias Bros. Inc.	TOBI	0158Δ	0158†	CNS
Tocqueville Securities L.P.		5862		
Tocqueville Securities, L.P.	TOCQ	0443Δ	0443†	CNS
Todd & Company, Inc.	TODD	0158Δ		
Toll (William M.)	TOLL	0352Δ		
Tonge (R.M.) Company	TONG		0443†	CNS
Topeka Capital Markets, Inc.	TOPK	0501Δ		
Tora Trading Services	TORR	0501Δ		
Torc Investments and Research LLC	TORX	0284Δ		
Torc Investments and Research LLC	TORA	0695Δ		
Torc Investments and Research LLC	TORQ	0695Δ		
Torino Capital LLC	TORI		0235†	CNS
Touchstone Securities Inc.		5711		
Tourmaline Partners, LLC	TOUR	0501Δ		
Toussaint Capital Partners, LLC	TCPX	0443Δ	0443†	CNS
TOV Capital Markets, Inc.	TOVC	0235Δ	0235†	CNS
Tower Square Securities, Inc.	TRSQ	0443Δ	0443†	CNS
Tower Square Securities, Inc.		0354		
Tower Square Securities, Inc./Metlife		0327		
Towerhill Long/Short Equity, LLC		3583		
Track (ECN)	TRAO	0103Δ		
Track Data Securities Corporation	TDSC	0158Δ		
Trade Manage Capital, Inc.	YAMN	0443Δ	0443†	CNS
Tradebot Systems, Inc.	TRBT	0083		
Tradeking	TKNG	0158Δ		
Tradelink Securities, LLC	TRTR	0501Δ		
Tradelink Securities, LLC	TRLN	0551Δ		
Trade-PMR, Inc.	TPMR		0141†	CNS
Traderfield Securities	TDFD	0158Δ		
Traderight Securities	TRSL	0052Δ	0052†	CNS
TradeStar Investments, Inc.	TSII	0226Δ	0226†	CNS
TradeStation Securities, Inc.	TRAD	0271	0271	CNS
TradeStation Securities, Inc.	FILL	0352Δ	0352†	CNS
Tradewinds Financial Group, Incorporated	TRFI	0158Δ	0158†	CNS
Trading Block	AOSD	0158Δ		
Trading Block	BLOC	0158Δ		
Tradition Aisel	TFAS	0443Δ	0443†	CNS
Tradition Asiel Securities, Inc.	ASEL	0370	0370	CNS

Tradition Asiel Securities, Inc.	TASE		0443†	CNS
Tradition Asiel Securities, Inc.	ASLB	0370Δ		
Tradition Asiel Securities, Inc.	TRAS	0443Δ		
Transamerica Advisors Life Insurance Company		4728		
Transamerica Advisors Life Insurance Company of New York		4638		
Transamerica Capital, Inc.		5580		
Transamerica Capital, Inc./Diversified Funds		6739		
Transamerica Capital, Inc./Series Trust Funds		6738		
Transamerica Financial Advisors, Inc.		0285		
Transamerica Financial Advisors, Inc.//II		0224		
Transamerica Financial Advisors, Inc./World Group Securities, Inc.		0729		
Transamerica Financial Life Insurance Company		4561		
Transamerica Financial Life Insurance Company / FL, NY Annuity Business		4718		
Transamerica Financial Life Insurance Company / FL, NY Life Business		4719		
Transamerica Financial Life Insurance Company/KC NY Life Business		4683		
Transamerica Financial Life Insurance Company/Retirement Division		4680		
Transamerica Life Insurance Company		4566		
Transamerica Life Insurance Company /Life Business		4642		
Transamerica Life Insurance Company/Diversified Investors Securities Corp		4738		
Transamerica Life Insurance Company/Diversified Investors Securities Corp		4739		
Transamerica Life Insurance Company/Retirement Division		5768		
Transamerica Life Insurance Company/TLIAC		4543		
Transcend Capital, L.P.	TRSD	0158Δ		
Treasure Financial Corp.	TREA	0279Δ		
Triad Advisors, Inc.	TRID		0226†	CNS
Triad Advisors, Inc.		6430		
Triad Securities Corp.	TIAD	0161Δ	0161†	CNS
Triangle Securities, LLC	TRIN		0141†	CNS
Tricor Financial, LLC	TCOR		0226†	CNS
Trident Partners Ltd.	TRIP	0235Δ	0235†	CNS
Trident Securities Division of McDonald Investments Inc.	TRDT	0799Δ		
Tripleshot	TSBX	0443Δ		
TriPoint Global Equities, LLC	TGEQ	0052Δ	0052†	CNS
Triune Capital Advisors, LLC	TRUN	0750Δ		
Troika Dialog USA, Inc.	TRKA	0443Δ	0443†	CNS
Trubee, Collins & Co., Inc.	TRUB		0141†	CNS
Trust Company of America		5981		
Trustcore Investments, Inc.	TMII		0226†	CNS
Trustmark National Bank		2852		
Trustmont Financial Group, Inc.	CFGM	0235Δ	0235†	CNS
Tryco Securities, Inc.	TRCO	0279Δ	0279†	CNS
Tullett Liberty Securities Inc.	PATR	0624	0624	CNS
Tullett Prebon Financial Services LLC	CHPP	0624Δ	0624†	CNS
Tuohy Brothers Investment Research, Inc.	TBRO	0103Δ		
Turner (J.P.) & Co. LLC	JPTC	0158Δ	0158†	CNS
Turner (J.P.) & Company, LLC	JPTS	0158Δ	0158†	CNS
Tweedy, Browne Company LLC	TWEE	0352Δ	0352†	CNS
Tweedy, Browne Company LLC		5488		
21st Century Financial Services	TWFS		0188†	CNS
Twenty-First Securities Corporation	TFSC	0235Δ	0235†	CNS
Two Sigma Securities, LLC	SOHO	0161Δ		
U.S. Bancorp Investments Inc.	FBSB	0280	0280	TFT
U.S. Bank N.A.		2803		
U.S. Bank N.A./Municipal Securities Group	CMMB		6729	TFT
U.S. Boston Capital Corp.		4919		
U.S. Brokerage, Inc.	USDB	0279Δ	0279†	CNS
U.S. CA Securities LLC	USCA	0226Δ	0226†	CNS
U.S. Financial Investments, Inc	USFB	0595Δ	0595†	CNS
U.S. Financial Investments, Inc	USFI	0103Δ		
U.S. Global Investors Funds		5442		
U.S. Sterling Securities, Inc.	USSI	0309Δ	0309†	CNS
UBS Financial Services INC of Puerto Rico	PWPR		0221†	CNS
UBS Financial Services Inc.	PWJC	0221	0221	CNS
UBS Financial Services Inc.	PTFI	0221Δ		
UBS Global Asset Management (US) Inc.		5795		
UBS Global Asset Management (US), Inc./Offshore Funds		6510		
UBS Global Asset Management (US), Inc./Puerto Rico Fund		5593		
UBS Global Asset Management/Group Trust Funds		5603		
UBS International, Inc.	UBII	0221Δ	0221†	CNS
UBS Securities Canada Inc./CDS	BUNT	5017●		
UBS Securities LLC	UBSW	0642	0642	CNS
UBS Securities LLC	UBSB	0642Δ		
UBS Securities, LLC	UBSC	0642Δ		
UBS Securities, LLC	UBSG	0642Δ		
UBS Securities, LLC	UBSS	0642Δ		
UBS Securities, LLC	UBST	0642Δ		
UBS Securities, LLC	UBSX	0642Δ		
Uhlmann Price Securities, LLC	UHLM	0235Δ		
Ultimus Fund Distributors, LLC		5837		
Ultimus Fund Distributors, LLC/Alphamark Investment Trust		5653		
Ultimus Fund Distributors, LLC/Apex Funds		3342		
Ultimus Fund Distributors, LLC/Berwyn Funds		5605		
Ultimus Fund Distributors, LLC/Cincinnati Asset Management		3395		

Funds				
Ultimus Fund Distributors, LLC/CM Advisers Family of Funds		5730		
Ultimus Fund Distributors, LLC/Davenport & Company		5567		
Ultimus Fund Distributors, LLC/Flippin Bruce & Porter Funds		5733		
Ultimus Fund Distributors, LLC/Gardner Lewis Investment Trust		5888		
Ultimus Fund Distributors, LLC/Government Street Funds		5732		
Ultimus Fund Distributors, LLC/Hussman Strategic Growth Fund		5479		
Ultimus Fund Distributors, LLC/Jamestown Funds		5490		
Ultimus Fund Distributors, LLC/Lyrical Funds		7069		
Ultimus Fund Distributors, LLC/Papp Investment Trust		5114		
Ultimus Fund Distributors, LLC/Piedmont Investment Trust		6595		
Ultimus Fund Distributors, LLC/Profit Funds Investment Trust		6593		
Ultimus Fund Distributors, LLC/Schwartz Investment Trust		5830		
Ultimus Fund Distributors, LLC/Stadion Investment Trust		6692		
Ultimus Fund Distributors, LLC/Stralem Funds		5639		
Ultimus Fund Distributors, LLC/TFS Capital Investment Trust		5430		
Ultimus Fund Distributors, LLC/The Cutler Trust		3335		
Ultimus Fund Distributors, LLC/The First Western Funds		7003		
Ultimus Fund Distributors, LLC/The GKM Funds		5558		
Ultimus Fund Distributors, LLC/VFM Funds		3394		
Ultralat Corporation	ULAT		0443†	CNS
UMB Bank National Association	UMBI		2451	TFT
UMB Bank National Association/UMB Financial Services, Inc.		5988		
UMB Bank, N.A.		2450		
UMB Distribution Services LLC/Aspiriant Funds		3369		
UMB Distribution Services LLC/FPA Funds		5851		
UMB Distribution Services, LLC/Comerica Collective Investment Funds		5943		
UMB Distribution Services, LLC/Commonwealth International Series Trust		4878		
UMB Distribution Services, LLC/Giant 5 Funds		6534		
UMB Distribution Services, LLC/Green Century Funds		6676		
UMB Distribution Services, LLC/Lotsoff Capital Management Micro Cap Fund		5841		
UMB Distribution Services, LLC/Marsico Investment Funds		5728		
UMB Distribution Services, LLC/Stewart Capital Advisors		6599		
UMB Distribution Services, LLC/UMB Scout Funds		4854		
UMB Distribution Services, LLC/Vericimetry		3761		
UMB Distribution Services, LLC/Wasatch Advisors Fund Inc.		5773		
UMB Distribution Services, LLC/Westport Funds		5598		
UMB Financial Services, Inc.	UMBF	0226Δ	0226†	CNS
UMB Fund Services, Inc.		1036		
UMB Fund Services, Inc.		1793		
UMB Fund Services, Inc.		1794		
UMB Fund Services, Inc.		1855		
UMB Fund Services, Inc.		1857		
UMB Fund Services, Inc.		1864		
UMB Fund Services, Inc.		1869		
UMB Fund Services, Inc.		1966		
UMB Fund Services, Inc.		3410		
UMB Fund Services, Inc.		3436		
UMB Fund Services, Inc.		3447		
UMB Fund Services, Inc.		3504		
UMB Fund Services, Inc.		3868		
UMB Fund Services, Inc.		3869		
Unibanco Securities Inc	UNIB		0443†	CNS
Unicredit Capital Markets, Inc.	BVCM	0443Δ	0443†	CNS
Unified Financial Securities, Inc.		4965		
Unified Financial Securities, Inc./1492 Funds		3797		
Unified Financial Securities, Inc./Angel Oak Family of Funds		5147		
Unified Financial Securities, Inc./API Trust Fund		4972		
Unified Financial Securities, Inc./Appleseed Fund		5286		
Unified Financial Securities, Inc./Auer Growth Funds		5290		
Unified Financial Securities, Inc./BRC Funds		7028		
Unified Financial Securities, Inc./Cloud Capital Funds		3729		
Unified Financial Securities, Inc./Crawford Funds		5296		
Unified Financial Securities, Inc./Dean Family of Funds		4927		
Unified Financial Securities, Inc./Dreman Fund		5418		
Unified Financial Securities, Inc./FBO Alta Trust		5287		

Unified Financial Securities, Inc./FBO Roosevelt Funds		4725		
Unified Financial Securities, Inc./Geier Funds		5294		
Unified Financial Securities, Inc./Golub Group		5306		
Unified Financial Securities, Inc./Granite Funds		3790		
Unified Financial Securities, Inc./Green Owl Funds		3774		
Unified Financial Securities, Inc./Hirtle Callaghan Trust		6574		
Unified Financial Securities, Inc./Huntington Fund		5832		
Unified Financial Securities, Inc./Huntington National Bank		3712		
Unified Financial Securities, Inc./Iron Funds		5301		
Unified Financial Securities, Inc./Leeb Focus Funds		5302		
Unified Financial Securities, Inc./Longview Family of Funds		3731		
Unified Financial Securities, Inc./LS Opportunity Fund		5380		
Unified Financial Securities, Inc./Martin Funds		3303		
Unified Financial Securities, Inc./MCM Funds		7048		
Unified Financial Securities, Inc./Symons Funds		5307		
Unified Financial Securities, Inc./TEAM Financial		5408		
Unified Financial Securities, Inc./Toreador Funds		5308		
Unified Trust Company, National Association		5983		
Union Bank and Trust Company Nebraska		2067		
Union Bank N.A.	UBOC		2851	TFT
Union Bank N.A.		2145		
Union Capital Company	IVOL	0235Δ	0235†	CNS
Union Gaming Advisors, LLC	UGAM	0443Δ		
Unionbanc Investment Services, LLC	MKTI		0226†	CNS
United Bankers Bank	UBKR		6732	TFT
United Brokerage Services, Inc.	UBSI		0141†	CNS
United Capital Markets, Inc.	UCMI	0443Δ	0443†	CNS
United Capital Markets, Inc.	UCML	0052Δ		
United Equity Securities, LLC	PFML		0226†	CNS
United First Partners, LLC	UFPA	0501Δ		
United of Omaha Life Insurance Company		6027		
United Planners Financial Services of America	UPLN	0443Δ	0443†	CNS
United Planners Financial Services of America		6008		
United Realty Trust Incorporated		1773		
United States Life Insurance Company in the City of New York (The)		4531		
United States Life Insurance Company in the City of New York (The)/SunAmerica (NY)		4517		
United States Life Insurance Company in the City of New York (The)/Western National		4664		
Universal Life Insurance Company		6497		
Univest Securities, Inc.	UNIV	0158Δ		
UNX, Inc.	UNEX	0501Δ		
UOB Global Capital (Dublin) Limited		3393		
Upromise Investments, Inc.		5704		
Upromise Investments, Inc./Phoenix Platform Account		5670		
US Bancorp Fund Services LLC		1038		
US Bancorp Fund Services LLC		1737		
US Bancorp Fund Services LLC		1757		
US Bancorp Fund Services LLC		1873		
US Bancorp Fund Services LLC		1874		
US Bancorp Fund Services LLC		1880		
US Bancorp Fund Services LLC		1942		
US Bancorp Fund Services LLC		1945		
US Bancorp Fund Services LLC		3427		
US Bancorp Fund Services LLC		3462		
US Securities International Corp.	USIC		0226†	CNS
USAA Investment Management Co.	USAA	0367	0367	CNS
USAA Investment Management Company		5935		
USI Securities, Inc.	USIS		0226†	CNS
Uvest Financial Services Group, Inc.	UVST		0443†	CNS
Valdes & Moreno, Inc.	TORO	0309Δ	0309†	CNS
Valley Forge Asset Management Corporation	VLYF		0443†	CNS
Valley Forge Fund, Inc.		3611		
Valley National Investments, Inc.	VALI		0226†	CNS
Valmark Securities, Inc.	VALM	0235Δ	0235†	CNS
Valmark Securities, Inc.		0121		
Valores Finamax International, Inc.	FINA	0352Δ		
Van Clemens & Co., Inc.	VCLM	0279Δ	0279†	CNS
Van Eck Sec Corp/VIP Trust		4977		
Van Eck Securities Corporation		5524		
Van Kampen Funds, Inc.	ZEDD	0099Δ		
Vanderbilt Securities, LLC	VBLT	0226Δ	0226†	CNS
Vandham Securities Corp.	VNDM	0161Δ	0161†	CNS
Vandham Securities Corp.	VNDS	0161Δ	0161†	CNS
Vanguard Capital	VCAP	0443Δ	0443†	CNS
Vanguard Fiduciary Trust Company		5972		
Vanguard Marketing Corp./Vanguard Investments Series PLC Funds		4996		
Vanguard Marketing Corporation	VGRD	0062	0062	CNS
Vanguard Marketing Corporation		5772		
Variable Annuity Life Insurance Company (The)		6455		
Variant Research Corporation	VARI	0352Δ	0352†	CNS
Vasiliou & Company Inc.	VASL	0352Δ	0352†	CNS
Vaughan & Co. Securities, Inc.	VCSI		0443†	CNS

VBC Securities	VBCS		0158†	CNS
VCA Securities, LP	VCAS	0352Δ	0352†	CNS
VCG Securities LLC	VANQ	0158Δ		
VCM Securities, LLC	VCMS		0443†	CNS
Vector Partners, L.P.	VCTR	0352Δ	0352†	CNS
Vectormex WMG, Inc.	VMEX	0352Δ	0352†	CNS
Vega-Chi US Limited	VEGA		0443†	CNS
Veritas Securities Corp.	VRTS	0100Δ		
Vertical Management Systems, Inc./TPA		7122		
Vertical Trading Group, LLC (The)	VERT	0100Δ	0161†	CNS
Vest (H.D.) Investment Securities, Inc.		0268		
VFG Securities, LLC	VFGS	0750Δ	0750†	CNS
vFinance Investments, Inc.	VFNL	0052Δ	0052†	CNS
vFinance Investments, Inc.	VFIN	0226Δ	0226†	CNS
Victor Securities, Inc.	PEMA	0551Δ		
ViewTrade Securities, Inc.	VTDT	0158Δ	0158†	CNS
ViewTrade Securities, Inc.	VTSB	0049Δ		
Vining-Sparks IBG, L.P.	VSLP		6043	TFT
Virtu Financial BD LLC	VRTU	0063		
Virtu Financial BD LLC	VIRT	0063Δ		
Virtu Financial Capital Markets LLC	EWTT	0749		
Virtus Real Estate, LLC		1799		
Vision Brokerage Services, LLC	VBSL	0595Δ		
Vision Financial Markets LLC	VSIN	0595	0595	CNS
Vision Investment Services, Inc.	VISI		0443†	CNS
Vista Securities, Inc.	VSTS	0279Δ	0279†	CNS
Volant Liquidity, LLC	BZXL	0695Δ		
VP Distributors, Inc.		5517		
VP Distributors, Inc./VVIT Series		3764		
VSR Financial Servcies, Inc.		2615		
VSR Financial Services, Inc.	VSRF	0141Δ	0141†	CNS
VTB Capital Inc.	VTBI	0443Δ		
W.D. Latimer Company LTD./CDS	WDLF	5078●		
W.H. Reaves & Co., Inc	REAV	0443Δ	0443†	CNS
W.P. Carey & Co. LLC		1035		
W.P. Carey & Co. LLC		3663		
W.P. Carey & Co. LLC		3667		
W.P. Carey & Co. LLC		3672		
W.P. Carey & Co. LLC		3678		
W.P. Carey & Co. LLC		3679		
W.R. Hambrecht + Co., LLC	HAMC	0158Δ	0158†	CNS
W.R. Hambrecht + Co., LLC	HMRB	0235Δ	0235†	CNS
W.R. Hambrecht + Co., LLC	HAMR	0158Δ		
W.R. Taylor & Company, LLC	WRTC	0750Δ	0750†	CNS
Wachovia Securities Financial Network, LLC	FNET	0141Δ	0141†	CNS
Wachtel & Co., Inc.	WACL	0709	0709	TFT
Waddell & Reed, Inc.		0687		
Waddell & Reed, Inc.		4879		
Wade (G.W.) Asset Management Company, Inc.	GWWD		0226†	CNS
Wainwright (H.C.) & Co., Inc.	HCWT	0158Δ		
Wall Street Access	WABR	0443Δ	0443†	CNS
Wall Street Financial Group	WSFG	0226Δ	0226†	CNS
Wall Street Management Corporation	WSMC		0443†	CNS
Wall Street Money Center Corp.	WAMC	0750Δ		
Wallace R. Weitz & Company		5769		
Wallach Beth Capital LLC	WBET	0161Δ		
Wallstreet E Financial Services Inc.	WSEI	0052Δ	0052†	CNS
Wallstreet E Financial Services Inc.		0052Δ		
Walnut Street Securities	WNUT	0443Δ	0443†	CNS
Walnut Street Securities		0533		
Walthausen Funds		5595		
Wang Investment Associates, Inc.	WANG	0279Δ	0279†	CNS
Wang Investment Associates, Inc.	SOGO	0158Δ		
Wang Investment Associates, Inc.	WIAI	0158Δ		
Ward (K.C.) Financial	KCWF	0052Δ	0052†	CNS
Ward's Financial Services, Inc.	WARF	0235Δ	0235†	CNS
Warner Group Inc. (The)	WRGR	0235Δ	0235†	CNS
Warren D. Nadel & Company	NADL	0235Δ	0235†	CNS
Washington Securities Corporation	WSCC	0235Δ	0235†	CNS
Waterford Investors Services, Inc.	WTRI	0235Δ	0235†	CNS
Watermark Securities Inc.	WZSC	0158Δ		
Watermill Institutional Trading, LLC	WMLL	0501Δ		
Waterstone Financial Group, Inc	WFGP	0075Δ		
Waterstone Financial Group, Inc.	DRAS	0727Δ		
Watkins Financial Services, Inc.	SALT	0750Δ		
Watley (A.B.) Direct, Inc.	HLTN	0158Δ		
Wayne Hummer Investments LLC	WAYN	0141Δ	0141†	CNS
Wayne Hummer Investments LLC		0196		
WBB Securities, LLC	WBBC	0226Δ	0226†	CNS
Wealthfront Brokerage Corporation	KCBC	0017Δ		
Wealthfront Brokerage Corporation	WFBC	0158Δ		
Weaver Tidwell Capital, LLC	WEAV	0309Δ	0309†	CNS
Weber & Company, Inc.	WEBR	0727Δ		
Weckstein (D.) & Co., Inc.	WECK	0595Δ	0595†	CNS
Wedbush Morgan Securities, Inc.	WEDN	0103Δ	0103†	CNS
Wedbush Morgan Securities, Inc.	WEDO	0103Δ	0103†	CNS
Wedbush Morgan Securities, Inc.	IBKW	0017Δ		
Wedbush Morgan Securities, Inc.	HBIQ	0103Δ		
Wedbush Morgan Securities, Inc.	SCTT	0103Δ		
Wedbush Morgan Securities, Inc.	WEDP	0103Δ		
Wedbush Morgan Securities, Inc.	WEDX	0103Δ		
Wedbush Morgan Securities, Inc.	WEDZ	0103Δ		
Wedbush Morgan Securities, Inc.	WESG	0103Δ		
Wedbush Morgan Securities, Inc.	WIST	0103Δ		
Wedbush Morgan Securities, Inc.	WLSC	0103Δ		
Wedbush Morgan Securities, Inc.	WLTT	0103Δ		
Wedbush Morgan Securities, Inc.	WMAQ	0103Δ		
Wedbush Morgan Securities, Inc.	WMBY	0103Δ		
Wedbush Morgan Securities, Inc.	WMGN	0103Δ		
Wedbush Morgan Securities, Inc.	WMGT	0103Δ		
Wedbush Morgan Securities, Inc.	WMMO	0103Δ		
Wedbush Morgan Securities, Inc.	WMRA	0103Δ		
Wedbush Morgan Securities, Inc.	WMSN	0103Δ		
Wedbush Morgan Securities, Inc.	WMSR	0103Δ		
Wedbush Morgan Securities, Inc.	WMSW	0103Δ		
Wedbush Morgan Securities, Inc.	WMLF	0330Δ		
Wedbush Morgan Securities, Inc.	WEDJ	0352Δ		
Wedbush Morgan Securities, Inc.	WEDE	0355Δ		
Wedbush Morgan Securities, Inc.	WMLS	0512Δ		
Wedbush Morgan Securities, Inc.	LIFW	0695Δ		
Wedbush Morgan Securities, Inc.	WMSS	0695Δ		
Wedbush Securities Inc.	WEDB	0103	0103	CNS

Wedbush Securities Inc.	WMIT	0099Δ		
Wedbush Securities Inc.	WBJB	0103Δ		
Wedbush Securities Inc.	WBLL	0103Δ		
Wedbush Securities Inc.	WEDY	0103Δ		
Wedbush Securities Inc.	WMAV	0103Δ		
Wedbush Securities Inc.	WMCH	0103Δ		
Wedbush Securities Inc.	WMEU	0103Δ		
Wedbush Securities Inc.	WMGP	0103Δ		
Wedbush Securities Inc.	WMKO	0103Δ		
Wedbush Securities Inc.	WMLP	0103Δ		
Wedbush Securities Inc.	WMLW	0103Δ		
Wedbush Securities Inc.	WMMF	0103Δ		
Wedbush Securities Inc.	WMPN	0103Δ		
Wedbush Securities Inc.	WMQS	0103Δ		
Wedbush Securities Inc.	WMRB	0103Δ		
Wedbush Securities Inc.	WMSF	0103Δ		
Wedbush Securities Inc.	WMTY	0103Δ		
Wedbush Securities Inc.	WMVG	0103Δ		
Wedbush Securities Inc.	WMVS	0103Δ		
Wedbush Securities Inc.	WSCS	0103Δ		
Wedbush Securities Inc.	WSED	0103Δ		
Wedbush Securities Inc.	WSGG	0103Δ		
Wedbush Securities Inc.	WSMS	0103Δ		
Wedbush Securities Inc.	WSPO	0103Δ		
Wedbush Securities Inc.	WMLT	0158Δ		
Wedbush Securities Inc.	WMFO	0330Δ		
Wedbush Securities Inc.	WMLK	0512Δ		
Wedbush Securities Inc.	WMNE	0608Δ		
Wedbush Securities Inc.	WMFC	0695Δ		
Wedbush Securities Inc.	WSLT	0695Δ		
Wedbush Securities Inc./Stock Loan		5166		
Wedgewood Partners, Inc.	WGWD		0158†	CNS
Weeden & Co. L.P.	WEED	0161Δ	0161†	CNS
Wegener Investment Trust		5361		
Weil Company, Inc. (The)	WEIL		0226†	CNS
Weller, Anderson & Co., Ltd.	WACC		0279†	CNS
Wellington Shields & Co LLC	WESC	0141Δ	0141†	CNS
Wellington Shields & Co., LLC	MDWD	0743Δ	0141†	CNS
Wellington Trust Company, N.A.		6517		
Wells Fargo Advisors, LLC	WRET	0141Δ	0141†	CNS
Wells Fargo Advisors, LLC		7360		
Wells Fargo Bank N.A./Wells Fargo Collective Funds		5186		
Wells Fargo Bank, N.A.		6766		
Wells Fargo Bank, N.A.		7115		
Wells Fargo Bank, N.A./SIG	WBNA		2072	CNS
Wells Fargo Bank, N.A./Wachovia		0929		
Wells Fargo Bank, NA TR Recordkeeping Group		2195		
Wells Fargo Bank, National Association		2027		
Wells Fargo Brokerage Services, LLC	NORW	0250Δ	0250†	CNS
Wells Fargo Funds Distributor, LLC		5576		
Wells Fargo Funds Distributor, LLC/Wells Fargo(Lux) Worldwide		5936		
Wells Fargo Institutional Securities, LLC	WFIS	0250Δ	0250†	CNS
Wells Fargo Securities, LLC	WCHV	0250	0250	CNS
Wells Fargo Securities, LLC	WELX	0250Δ		
Wells Fargo Securities, LLC	WEMM	0250Δ		
Wells Fargo Securities, LLC	WFGO	0352Δ		
Wells Nelson & Associates, LLC	WELL	0309Δ	0309†	CNS
Wentworth Securities, Inc.	WWTH	0750Δ		
Wesbanco Securities, Inc.	CBII		0443†	CNS
Wescorp Investment Services, LLC	WCIS		0443†	CNS
West America Securities Corp.	WUSA	0750Δ		
Western Equity Group, Inc.	WEGP	0235Δ	0235†	CNS
Western Financial Corporation	WEFI	0103Δ	0103†	CNS
Western International Securities, Inc.	WISI	0352Δ	0352†	CNS
Western International Securities, Inc.		0635		
Western Pacific Securities, Inc.	WEPA	0750Δ		
Western Reserve Life Assurance Company of Ohio		4567		
Western Reserve Life Co. of Ohio/Annuity Business		4692		
Western Southern Life Assurance Company		4614		
Westhoff Cone & Holmstedt	WCAH		0279†	CNS
WestLB Securities, Inc.	WLBP	5177		
Westminster Securities Corporation	WSWW		0443†	CNS
Weston Securities Corporation		5737		
Westpark Capital, Inc.	WPCC	0052Δ	0052†	CNS
Westport Capital Markets, LLC	WCMS	0352Δ	0352†	CNS
Westport Resources Investment Services, Inc.	WRIS	0443Δ	0443†	CNS
Westrock Capital Management, Inc.	WSRK	0750Δ	0750†	CNS
WFG Investments, Inc.	WMBU	0226Δ	0226†	CNS
WFG Investments, Inc.		6014		
Wharton Equity Corporation	WHAE		0158†	CNS
Whipple (J.N.) Inc.	JNWS		0443†	CNS
Whitaker Securities, LLC	WTKR	0158Δ	0443†	CNS
Whitaker Securities, LLC	WHCG	0443Δ	0443†	CNS
White Mountain Capital, LLC	WMCL	0352Δ	0352†	CNS
White Pacific Securities, Inc.	WPSI	0158Δ	0158†	CNS
Whitehall-Parker Securities, Inc.	QWER		0443†	CNS
Whiteweld Trading, LLC	WWLD	0647Δ		
Whitewood Group, Inc	LGLL	0279Δ		
Whitman (M.J.) & Co., Inc.	MJWH	0352Δ	0352†	CNS
Whitman (M.J.), LLC		5829		
Whitney National Bank	WTNY		6414	TFT
Whotrades, Inc.	WHOT	0595Δ	0595†	CNS
WIC Corp.	WHIT		0279†	CNS
Wilbanks Securities, Inc.	WILB	0235Δ	0235†	CNS
Wiley Bros.-Aintree Capital, LLC	WBRO	0443Δ	0443†	CNS
Williams Capital Group, L.P. (The)	WLMS	0443Δ		
Williams Trading, LLC	WTCO	0501Δ	0050†	CNS
Willis Securities, Inc.	WSEC		0443†	CNS
Willow Cove Investment Group, Inc.	WCIG	0750Δ	0750†	CNS
Wilmington Capital Securities, LLC	WCSC	0235Δ	0235†	CNS
Wilmington Trust Company		2215		
Wilmington Trust Company		4894		
Wilmington Trust Company/Wilmington Trust Retirement & Institutional Services Company		0068		

Wilmington Trust Company/WTRIS TPS		3311		
Wilson-Davis & Co., Inc.	WDCO	0283		
Wilson-Davis & Company, Inc.	WDAC	0279Δ	0279†	CNS
Winchester Group Inc.	WINC	0226Δ	0226†	CNS
Windham Financial Services Inc.	WFSI	0352Δ	0352†	CNS
Windsor, Sheffield & Company, Inc.	WISC	0235Δ	0226†	CNS
Wine (D.E.) Investments, Inc.	WINE	0279Δ	0279†	CNS
Winslow, Evans & Crocker Inc.	WYNS	0443Δ	0443†	CNS
Winslow, Evans & Crocker Inc.		0332		
Winterflood Securities Incorporated	UWIN	0161Δ	0161†	CNS
Wisconsin Discount Securities Corp.	WIDS	0279Δ	0279†	CNS
Witenberg Investment Companies, Inc.	WITE	0501Δ		
WM Financial Services, Inc.	MRPH		0226†	CNS
WM Financial Services, Inc.		4310		
Wm Smith & Co.	WSSS	0158Δ		
Wolf A. Popper, Inc.	WAPS	0158Δ		
Wolfe Trahan Securities	WOLF	0100Δ		
Wolverine Execution Services LLC	WEXM	0161Δ		
Wolverine Execution Services LLC	WEXI	0501Δ		
Wolverine Execution Services LLC	WEXX	0501Δ		
Wolverine Execution Services, LLC	WEXL	0501Δ		
Wolverine Execution Services, LLC	XXEW	0501Δ		
Wolverton Securities (USA), Ltd.	WTOU	0052Δ	0052†	CNS
Wolverton Securities Ltd./CDS	WTOF	5079●		
Woodbury Financial Services, Inc.		0510		
Woodfield Fund Administration, LLC		1795		
Woodfield Fund Administration, LLC		1851		
Woodlands Securities Corp.	WODL		0279†	CNS
Woodlawn Advisors, LLC	WDLN	0161Δ	0161†	CNS
Woodmen Financial Services, Inc.		6004		
Woodstock Financial Group Inc.	RDNK	0279Δ	0279†	CNS
Woori Investment & Securities America, Inc.	LGSA	0158Δ	0443†	CNS
Worden Capital Management, LLC	WORD	0052Δ		
Wordentrade, Inc.	WRDN	0017Δ		
Workman Securities Corp.	WSCX	0235Δ	0235†	CNS
World Capital Brokerage, Inc.		5676		
World Choice Securities, Inc.	WCSO	0052Δ	0052†	CNS
World Equity Group Inc.	WEGI	0235Δ	0235†	CNS
World Equity Group, Inc.		0460		
World First Financial Services, Inc.	MDEB		0141†	CNS
World Trade Financial Corporation	WORL	0052Δ	0052†	CNS
World Trade Financial Corporation	WORX	0052Δ	0052†	CNS
WorldCommodity Funds, Inc.		3727		
WR Rice Financial Services, Inc.	WRRF	0750Δ	0750†	CNS
WRP Investments, Inc.	WRPI		0226†	CNS
WRP Investments, Inc.		4312		
WSA Fixed Income, LLC	WSAX	0501Δ		
Wulff Hansen & Co.	WHCO		5226	TFT
Wunderlich Securities, Inc.	WUND	0141Δ	0141†	CNS
Wunderlich Securities, Inc.	FUND	0226Δ	0226†	CNS
Wunderlich Securities, Inc.		0926		
Wynston Hill Capital,LLC	EXTF	0235Δ	0235†	CNS
Wyser-Pratte & Co., Inc.	WYSE	0158Δ	0158†	CNS
XCU Capital Corp.	EXCU	0443Δ	0443†	CNS
XEROX HR Solutions, LLC		7102		
XFA Securites, LLC	XFAS	0161Δ	0161†	CNS
XP Securities, LLC	XPUS	0443Δ		
YieldQuest Advisors, LLC	YQST		0279†	CNS
York Securities, Inc.	YOSE	0158Δ		
Young Stovall & Company	YOST	0235Δ	0235†	CNS
Zacks & Company	ZACK	0352Δ	0352†	CNS
Zecco Trading, Inc	ZECO	0158Δ	0158†	CNS
Zeus Securities, Inc	ZEUS	0309Δ		
Ziegler (B.C.) & Company	BCZC	0443Δ	0443†	CNS
Ziegler (B.C.) & Company		0753		
Zions Direct, Inc.	ZION		0065	CNS
Zions Direct, Inc.	ZDNF		0226†	CNS
Zions First National Bank	ZFNB		2104	TFT
ZIV Investment Co.	ZIVI	8082	8082	CNS
Zoullas (N.B.) Securities, Inc.	ZOUL	0352Δ		
Zurich American Life Insurance Company		4529		
Zurich American Life Insurance Company/Destinations		4578		